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利用分子動力學模擬探討水合物晶體界面特性  
Interfacial Properties of Methane Hydrate and Water via  
Molecular Dynamics Simulations

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## 中文摘要



分子動態模擬被用來進行研究冰-h 以及甲烷水合物晶體的表面性質。我們特別關注於其熱力學性質以及表面波動的動態性質。根據毛細管波動理論，我們測量出對於冰-h 在 270K 以及甲烷水合物在 285K 下，其界面自由能各為 29.24 與 34.60  $\text{mJ/m}^2$ 。這個結果與實驗值非常相似。在實驗中，冰-h 在 250K 至 283K 下其界面自由能為 25 至 35  $\text{mJ/m}^2$ ；而甲烷水合物則是在 260K 至 285K 下，其界面能為 31 至 36  $\text{mJ/m}^2$ 。我們的模擬表明，晶體面相對於界面自由能的影響很小，冰-h 和甲烷水合物分別只有 1% 和 3%。隨著冰-h 和甲烷水合物晶體的波長增加，表面波的弛豫會漸漸地被毛細波支配。此外，在液相擴散的時間尺度特徵中，甲烷水合物晶體的弛豫時間幾乎是冰-h-晶體的 40 倍。我們將這種差異歸因於複雜的氫鍵生成與斷裂和籠狀結構的水合物的存在。最後，我們通過模擬毛細波動力學來估計動力係數（晶體生長速率取決於過冷度），並將冰-h 和甲烷水合物晶體的動力係數與之前的模擬值與實驗值做比較

關鍵字：表面波動、界面自由能、晶體面相、熱力學、動力學、分子動力學模擬

## ABSTRACT



Molecular dynamics (MD) simulations were conducted to study the crystal-melt interface of ice and sI methane hydrate crystals. In particular, we focus on both the thermodynamics and dynamics of surface waves. Based on the capillary fluctuation theory, we determined the interfacial free energy of Ice-H ( $I_h$ )/water and sI methane hydrate/water to be  $29.24\text{mN/m}^2$  at  $270\text{K}$  and slightly higher value,  $34.60\text{mN/m}^2$  at  $285\text{K}$ , respectively. The results are consistent with experiment,  $25\sim 35\text{mJ/m}^2$  at  $250\text{K}$  to  $283\text{K}$  for  $I_h$ /water interface, and  $31\sim 36\text{mJ/m}^2$  at  $260\text{K}$  to  $285\text{K}$ , for the sI methane hydrate/water interface. Our simulations show that the effect of orientation of crystal to interfacial free energy is small, only 1% and 3% for  $I_h$ /water and sI methane hydrate/water, respectively. The relaxation of surface waves are dominated by the slow process as the wavelength increases for both  $I_h$  and sI methane hydrate crystal. Moreover, in a time scale characteristic for the diffusion of the liquid phase, the relaxation time of the crystal-melt interface of sI methane hydrate crystal is almost 40 times slower than that of  $I_h$  crystal. We ascribe this difference to the presence of complicate hydrogen bond network and cage-like configuration of hydrate. Finally, we estimate the kinetic coefficient (rate of crystal growth depends the degree of supercooling) from our simulation of the capillary wave dynamics and compare it with previous simulation studies and with experiments for the case of  $I_h$  and sI methane hydrate crystal.

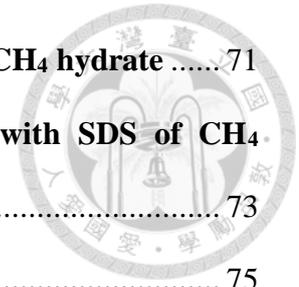
**Keywords:** Surface Wave; Interfacial Free Energy; Crystal Orientation; Thermodynamics; Dynamics; Simulation.

|  |           |
|--|-----------|
| 致謝.....  | 1         |
| 中文摘要.....  | 3         |
| ABSTRACT .....   | 4         |
| LIST OF FIGURES .....  | 8         |
| LIST OF TABLES .....   | 14        |
| <b>Chapter 1 Clathrate Hydrates.....</b>                       | <b>16</b> |
| 1.1 Clathrate Hydrates .....                                   | 16        |
| 1.2 Application of Clathrate Hydrates .....                    | 18        |
| 1.3 Surface fluctuation of the interface of solid/fluid.....   | 21        |
| 1.4 Sodium Dodecyl Sulfate .....                               | 23        |
| 1.5 Motivations.....   | 24        |
| <b>Chapter 2 Theory.....</b>                                   | <b>27</b> |
| 2.1 Molecular Dynamics Simulation .....                        | 27        |
| 2.2 Integration of Equation of Motion .....                    | 29        |
| 2.3 Force field .....  | 29        |
| <b>2.3.1 Non-Bond Terms .....</b>                              | <b>30</b> |
| <b>2.3.2 Valence Terms .....</b>                               | <b>32</b> |
| 2.4 Ensemble .....   | 33        |
| 2.5 Temperature Thermostat .....                               | 33        |
| 2.6 Pressure Barostat .....                                    | 34        |
| 2.7 MSD (mean square displacement).....                        | 35        |
| 2.8 ACF (autocorrelation function) .....                       | 35        |
| 2.9 Physical meaning for some interfacial technical terms..... | 36        |
| <b>2.9.1 Surface stress .....</b>                              | <b>36</b> |
| <b>2.9.2 Surface free energy .....</b>                         | <b>36</b> |



|  |           |
|--|-----------|
| <b>2.9.3 Stiffness</b> .....   | 37        |
| 2.10 Calculation of surface stress from MD simulation .....                                  | 37        |
| 2.11 Mold integration method.....  | 38        |
| 2.12 F4 order parameter.....   | 40        |
| 2.13 Capillary Fluctuation Theory .....  | 40        |
| <b>Chapter 3 Computational Details.....</b>  | <b>45</b> |
| 3.1 Models.....  | 45        |
| <b>3.1.1 Models for melting point of sI CH<sub>4</sub> hydrate &amp; I<sub>h</sub></b> ..... | <b>46</b> |
| <b>3.1.2 Models for diffusivity of H<sub>2</sub>O at different melting condition</b> .....   | <b>47</b> |
| <b>3.1.3 Models for heat of fusion of I<sub>h</sub> &amp; sI methane hydrate</b> .....       | <b>48</b> |
| <b>3.1.4 Models for mold integration method of H<sub>2</sub>O system</b> .....               | <b>49</b> |
| <b>3.1.5 Models for capillary fluctuation method of I<sub>h</sub>/water</b> .....            | <b>50</b> |
| <b>3.1.6 Models for capillary fluctuation of sI methane hydrate/water</b> ....               | <b>52</b> |
| <b>3.1.7 Models for capillary fluctuation of SDS/methane hydrate/water</b> .....             | <b>53</b> |
| 3.2 The setting of temperature and pressure condition.....                                   | 54        |
| 3.3 Method to generate the solid/liquid coexistence system.....                              | 55        |
| 3.4 Force Field.....   | 56        |
| 3.5 Hydrogen Bond Identification.....  | 57        |
| 3.6 Position of Interface Solid/Fluid System Identification.....                             | 58        |
| <b>Chapter 4 Results and Discussion .....</b>  | <b>60</b> |
| 4.1 Properties of CH <sub>4</sub> hydrate and I <sub>h</sub> .....                           | 60        |
| <b>4.1.1 Bulk Phase Properties of CH<sub>4</sub> hydrate and I<sub>h</sub></b> .....         | <b>60</b> |
| 4.2 Interfacial Properties of Methane Hydrate and Water .....                                | 63        |
| <b>4.2.1 The performance of F4 order parameter</b> .....                                     | <b>64</b> |
| <b>4.2.2 Thermodynamics properties of CMI system of I<sub>h</sub></b> .....                  | <b>66</b> |

|                  |   |            |
|------------------|---|------------|
| 4.2.3            | Thermodynamics properties of CMI system of CH <sub>4</sub> hydrate .....          | 71         |
| 4.2.4            | Thermodynamics properties of CMI system with SDS of CH <sub>4</sub> hydrate ..... | 73         |
| 4.2.5            | The validation by Mold Integration method.....                                    | 75         |
| 4.2.6            | Dynamics properties of CMI system of I <sub>h</sub> .....                         | 80         |
| 4.2.7            | Dynamics properties of CMI system of CH <sub>4</sub> hydrate .....                | 87         |
| 4.2.8            | Effect of parameters to CMI .....   | 97         |
| <b>Chapter 5</b> | <b>Conclusions.....</b>   | <b>100</b> |
| References       | .....   | 103        |



# LIST OF FIGURES



Figure 1.1-1 Different types of cages: pentagonal dodecahedron ( $5^{12}$ ), tetrakaidecahedron ( $5^{12}6^2$ ), hexakaidecahedra ( $5^{12}6^4$ ), dodecahedron ( $4^35^66^3$ ), icosahedron ( $5^{12}6^8$ ). Different cages with specific ratio can constitute structure I, structure II and structure H [4]..... 17

Figure 1.2-1 Distribution of gas hydrate around the world [12]. ..... 19

Figure 1.2-2 Phase diagram of hydrates. (pink line: equilibrium line of  $\text{CH}_4$  hydrates from experiments; green line: equilibrium line of  $\text{CO}_2$  hydrates from experiments; gray line: vapor- liquid equilibrium line of pure  $\text{CO}_2$  [12]. ..... 20

Figure 1.4-1 Structure of the SDS ..... 23

Figure 2.1-1 The flowchart of MD simulation. .... 28

Figure 2.3-1 The component of Ewald summation[78]. ..... 32

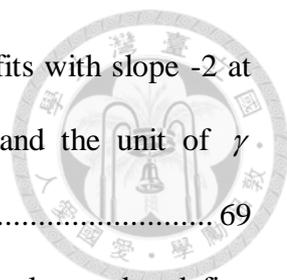
Figure 2.12-1 Illustration of criteria of F4 calculation..... 40

Figure 2.13-1 Snapshot of a typical configuration for capillary wave simulation. Only represents oxygen atom of  $\text{H}_2\text{O}$ . Particles colored by red denotes fluid-like, colored by white if they have crystalline behavior, and colored by blue represents the interface position. The symbols  $v$ ,  $s$  and  $n$  represent wave prorogation direction, the shortest depth length direction, and normal vector direction of CMI, respectively.  $L_v$ ,  $L_s$ . and  $L_n$  denotes the length of simulation box along with  $v$ ,  $s$  and  $n$ , respectively. .... 41

Figure 2.13-2 Illustration the  $h(v_n, t)$  and  $\theta$ . Snapshot of a configuration of a hydrate slab in equilibrium with liquid water, and the color symbols are as same as Figure3. .... 43

Figure 3.1-1 Models for melting point of  $\text{I}_h$  crystal. .... 46

|  |    |
|--|----|
| Figure 3.1-2 Models for melting point of CH <sub>4</sub> hydrate crystal. ....   | 47 |
| Figure 3.1-3 Models for diffusivity of fluid-like H <sub>2</sub> O at melting condition of I <sub>h</sub> and CH <sub>4</sub> hydrate.....   | 48 |
| Figure 3.1-4 Models for heat of fusion of I <sub>h</sub> hydrate. ....   | 49 |
| Figure 3.1-5 Models for heat of fusion of CH <sub>4</sub> hydrate. ....  | 49 |
| Figure 3.1-6 Models for mold integration of pI (D1), pII (D2) and basal (D3) plane of I <sub>h</sub> /water. The snapshots of all Figures are viewed from side of normal direction of interface. ....  | 50 |
| Figure 3.1-7 Models for capillary fluctuation of different orientation interface of I <sub>h</sub> /water. ....  | 51 |
| Figure 3.1-8 Models for capillary fluctuation of different orientation interface of methane hydrate/water.....   | 52 |
| Figure 3.1-9 Models for capillary fluctuation of different orientation interface of SDS/methane hydrate/water.....   | 53 |
| Figure 3.2-1 The flowchart of simulation. ....   | 55 |
| Figure 3.5-1 Two criteria of identification of hydrogen bond. ....   | 58 |
| Figure 3.6-1. The schematic of interface position determination. ....  | 59 |
| Figure 4.1-1 Potential energy of (a) I <sub>h</sub> and (b) sI-CH <sub>4</sub> hydrate versus different temperature. ....  | 61 |
| Figure 4.2-1 (a) F4 probability distribution of the system with no block-average. (b) block-average size is set as 4. (c) block-average size is set as 20. (d) block-average size is set as 40. The line colored by azure, orange and silver denote I <sub>h</sub> , water, and sI hydrate, respectively. .... | 66 |
| Figure 4.2-2 Plots of $\ln[ \langle  h_q ^2 \rangle A/k_B T ]$ versus $\ln(q)$ for all I <sub>h</sub> /water with different orientations studies. The unit of $\langle  h_q ^2 \rangle A/k_B T$ is used in m <sup>3</sup> *N <sup>-1</sup> and q is given  |    |



in  $m^{-1}$ . The red solid-lines shown in (a) ~ (e) are linear fits with slope -2 at low-q region. The intercept of the fitting is  $-\ln(\gamma)$ , and the unit of  $\gamma$  calculating by intercept is N/m. .... 69

Figure 4.2-3 Coordinate system of  $I_h$ ,  $\theta, \phi$  are spherical coordinate angles used to define the orientation of normal vector direction ( $n$ ) to CMI.[109] ..... 69

Figure 4.2-4 Plots of  $\ln[ \langle |h_q|^2 \rangle A/k_B T ]$  versus  $\ln(q)$  for all sI hydrate/water with different orientations studies. The unit of  $\langle |h_q|^2 \rangle A/k_B T$  is used in  $m^3 * N^{-1}$  and  $q$  is given in  $m^{-1}$ . The orange solid-lines shown in (a) ~ (c) are linear fits with slope -2 at low-q region. The intercept of the fitting is  $-\ln(\gamma)$ . .... 72

Figure 4.2-5  $\ln[ \langle |h_q|^2 \rangle A/k_B T ]$  versus  $\ln(q)$  for [010](100) sI hydrate/water case. The -2 slope is fitted by the data point at the middle frequency region of run 1. ... 75

Figure 4.2-6 The F4 of the system at different  $r_w$  conditions. .... 76

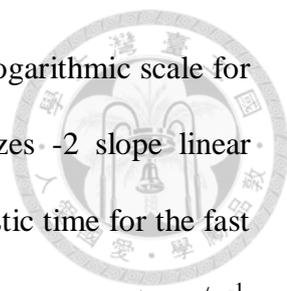
Figure 4.2-7 Average number of filled wells versus well depth ( $\epsilon/k_B T$ ) for the pII plane of the TIP4P/Ice model. The radius of the mold wells is (a)  $r_w=1.30 \text{ \AA}$  and (b)  $1.25 \text{ \AA}$ . Orange circles (blue line) correspond to simulations starting from an equilibrated configuration with the mold switched on (off). .... 78

Figure 4.2-8 Filled symbols: interfacial free energy for different values of the well radius and crystal orientations of  $I_h$ . Orange, blue, and gray denote pII, pI and basal, respectively. Dash lines indicate the linear fits to filled symbols. Points with vertical line indicate  $r_w^0$ . .... 79

Figure 4.2-9 Filled symbols: interfacial free energy for different values of the well radius of pII plane of  $I_h$ . Blue, purple, and green denote 32, 64 and 128 numbers of mold, respectively. .... 79

Figure 4.2-10 Autocorrelation functions for the water [pII](pI). The color of azure, orange, silver, yellow, and blue denote  $k=1, k=2, k=3, k=4,$  and  $k=5,$

|   |    |
|---|----|
| respectively.....   | 82 |
| Figure 4.2-11 The representation of $\ln(f_q(t)/dt$ vs $t$ for $I_h$ [pI](pII). The color of azure, orange, and silver denote $k=1$ , $k=2$ , and $k=3$ , respectively.....   | 82 |
| Figure 4.2-12 Prefactor for the double exponential fit as function of $q$ for water [pII](pI). .....  | 83 |
| Figure 4.2-13 (a) Dimensionless characteristic time for the slow relaxation process, $\tau_{ds}$ , versus the dimensionless wave-vector $q/\sigma^{-1}$ in a double logarithmic scale for all orientation interface. The orange dash line symbolizes -2 slope linear straight line ( $\tau_{ds} \propto q - 2$ ) (b) Dimensionless characteristic time for the fast relaxation process, $\tau_{df}$ , plotted against the dimensionless wave-vector $q/\sigma^{-1}$ ..... | 84 |
| Figure 4.2-14 $\tau_{ds} * q^2$ in logarithmic scale versus against with $q$ . Azure, orange, silver, yellow, and blue denote [pI](basal), [basal](pI), [pII](pI), [basal](pII) and [pI](pII), respectively.....  | 85 |
| Figure 4.2-15 Crystal growth rate as a function of degree of subcooling ( $\Delta T$ ). Yellow line denotes experimental result by Pruppacher[116]. Blue line indicates simulation result by Rozmanov[117]. Brown line is the result by this work.....  | 86 |
| Figure 4.2-16 (a) Autocorrelation functions for the sI hydrate [010](100). (b) [110](110) (c) [110](100).....   | 88 |
| Figure 4.2-17 Prefactor for the double exponential fit as function of $q$ for sI hydrate. The line color by azure, silver and orange is represented by [010](100), [110](110) and [110](100), respectively .....  | 89 |
| Figure 4.2-18 (a) Dimensionless characteristic time for the slow relaxation process, $\tau_{ds}$ ,  |    |



versus the dimensionless wave-vector  $q/\sigma^{-1}$  in a double logarithmic scale for all orientation interface. The green dash line symbolizes -2 slope linear straight line ( $\tau_{ds} \propto q - 2$ ) (b) Dimensionless characteristic time for the fast relaxation process,  $\tau_{df}$ , plotted against the dimensionless wave-vector  $q/\sigma^{-1}$ . The line color are shown in azure, silver and orange symbolized as [010](100), [110](-110) and [110](100), respectively..... 91

Figure 4.2-19  $\tau_{ds} * q^2$  in logarithmic scale versus against with  $q$ . Azure, orange, and silver denote [010](100), [110](110), and [110](100), respectively. .... 92

Figure 4.2-20 Crystal growth rate as a function of degree of subcooling ( $\Delta T$ ). Orange line denotes experimental result by C.J.Taylor[118]. Yellow line indicates simulation result by JY,Wu [102]. Azure and silver line are the results done by this work. .... 92

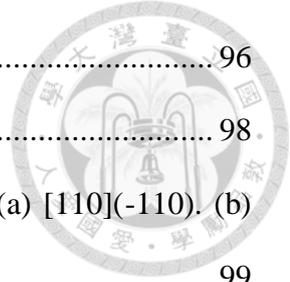
Figure 4.2-21 Crystal growth rate as a function of degree of subcooling ( $\Delta T$ ). The heavy-blue curve is plotted by the method as same as JY,Wu [102] but in multiple temperature condition. .... 94

Figure 4.2-22 Motion of  $CH_4$  atom during the simulation. Green and red denote the methane atoms initially located at the interface and gas phase, respectively. (a)  $t=0$ . (b) 42ns. (c) 84ns..... 95

Figure 4.2-23 The  $CH_4$  concentration in each of the layers compares with different orientation to CMI. Width of each of the layers is 4.125A which is the average of the radius of small cage and big cage of sI-hydrate. Layer 0 symbolizes the first layer under the CMI. Layer 1, 2, 3, and 4 denote 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> layer over the CMI, respectively. .... 96

Figure 4.2-24 Average F4 value of  $H_2O$  molecules which are located in 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup> and 4<sup>th</sup>

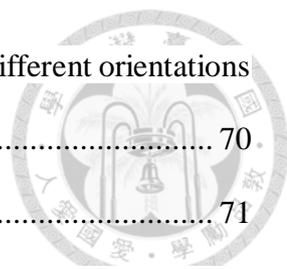
|   |    |
|---|----|
| layer.....  | 96 |
| Figure 4.2-25 The effect of block size to the CMI .....   | 98 |
| Figure 4.2-26 The effect of number of discrete points to the CMI (a) [110](-110). (b) [110](100). (c) [010](100)..... | 99 |



# LIST OF TABLES



|  |    |
|--|----|
| Table 1.1-1 Geometry of cages in different hydrate structure [5]. .....  | 17 |
| Table 3.1-1 The composition and simulation targets of model A1~G3. ....  | 45 |
| Table 3.1-2 System size and composition for the models of mold integration .....   | 50 |
| Table 3.1-3 The system size and composition for the models of capillary fluctuation for<br>I <sub>h</sub> /water system. The Miller index in the square bracket and parenthesis<br>indicate the direction parallel to the direction of propagation of surface wave,<br>and the direction parallel to the normal of the interface, respectively. .... | 51 |
| Table 3.1-4 The system size and composition for the models of capillary fluctuation for<br>methane hydrate/water system. ....  | 52 |
| Table 3.1-5 The system size and composition for the models of capillary fluctuation for<br>methane hydrate/water system. ....  | 54 |
| Table 3.2-1. The composition and simulation targets of model A1~A2, B1~B2, C1~C4,<br>D1~D3, E1~E5, F1~F3 and G1~G3 .....   | 55 |
| Table 3.4-1 Parameters used in this work: (a) Van der Waals and Coulomb (b) modified<br>cross term (c) bond (d) angle.....   | 56 |
| Table 4.1-1 Overall work on detecting the melting point of I <sub>h</sub> and sI CH <sub>4</sub> hydrate .....   | 62 |
| Table 4.1-2 Overall work about diffusivity (unit: cm <sup>2</sup> /s) of H <sub>2</sub> O at various melting-point<br>condition.....   | 62 |
| Table 4.1-3 Fusion heat of I <sub>h</sub> and sI CH <sub>4</sub> hydrate at melting-point condition.....   | 62 |
| Table 4.2-1 the interfacial stiffness (unit: mN/m) of each orientation for I <sub>h</sub> /Water.....  | 69 |
| Table 4.2-2 The expression of normalized spherical harmonics function [108] .....  | 69 |
| Table 4.2-3 Interfacial free energy in terms of normalized spherical harmonics for<br>different orientations.....  | 70 |



|   |    |
|---|----|
| Table 4.2-4 Stiffness in terms of normalized spherical harmonics for different orientations<br>.....  | 70 |
| Table 4.2-5 Interfacial free energy of different orientation of $I_h$ .....   | 71 |
| Table 4.2-6 The stiffness and expression of cubic harmonics function for different<br>orientations .....  | 73 |
| Table 4.2-7 Comparison of interfacial free energy of methane hydrate and water with<br>experiment.....  | 73 |
| Table 4.2-8 Summarize the $r_{wo}$ and interfacial energy of basal, pI, and pII plane.....  | 76 |
| Table 4.2-9 Comparing the interfacial energy of basal, pI, and pII plane by MI and CFT<br>in this work.....   | 76 |
| Table 4.2-10 Overall simulation work about interfacial free energy of $I_h$ /water (unit:<br>mN/m). MI, CMS, CNT, and CFT are corresponded to Mold-integration,<br>Cleaving-methods, Classical-nucleation theory, and Capillary-fluctuation<br>theory, respectively. The value of interfacial tension of MI, CMs, CNT, and<br>CFT is obtained from ref. [31, 43, 45, 47], respectively. The * mark denotes<br>the result verified by this work..... | 80 |
| Table 4.2-11 Kinetic coefficient for different orientations of $I_h$ /water .....   | 86 |
| Table 4.2-12 Kinetic coefficient for different orientations of sI $CH_4$ -hydrate/water.....  | 93 |

# Chapter 1 Clathrate Hydrates



## 1.1 Clathrate Hydrates

From a macroscopic view, clathrate hydrates are a kind of non-stoichiometric crystal solid, in which guest molecules such as methane ( $\text{CH}_4$ ), carbon dioxide ( $\text{CO}_2$ ) or hydrogen can be trapped.  $\text{CH}_4$  hydrate can naturally nucleate and grow under the environment of low temperatures and high pressures, like permafrost regions or the deep seafloor below the ocean. Because there are a great amount of methane gas trapped in the hydrate all over the world [1-3], it has been considered as a new potential source of energy

From a microcosmic view, gas hydrate is consisted of gas molecules, usually called guest molecules, are enclathrated by the rigid water cage via hydrogen bonds. The water cage is constructed by polygon rings, for example, the dodecahedron ( $5^{12}$ ) is composed of twelve pentagons, and the tetrakaidecahedron ( $5^{12}6^2$ ) is composed of twelve pentagons and two hexagons. Using different cages with specific ratio can constitute different types of structure of hydrate, the most common structures of hydrate are structure I (sI), structure two (sII) and structure H (sH), as shown in Figure 1.1-1. For instance, a unit cell of the sI hydrate is composed of two  $5^{12}$  (small) cages and six  $5^{12}6^2$  (large) cages. According to the thermodynamics stability, pentagons and tetrakaidecahedron can trap one guest gas, so there are 8 guests in a unit cell of sI under full-occupied cages condition.

Due to the size of guest molecules and the geometry of cages listed in Table 1.1-1, different kinds of guest will form specific hydrate structure which the thermodynamics property is the most stable. For example, the size of guest molecules between  $4.2 \text{ \AA}$  and  $6 \text{ \AA}$ , such as methane, ethane and carbon dioxide, can usually form sI and sII hydrate structures. The larger guest molecule, size in the range of  $6.5 \text{ \AA}$  to  $6.95 \text{ \AA}$ , such as tetrahydrofuran (THF) and propane, can be stabilized in sII hydrate structure.

In this work, we only discuss both the thermodynamics and dynamics properties for sI CH<sub>4</sub> hydrate structure under the liquid/solid coexistence condition.

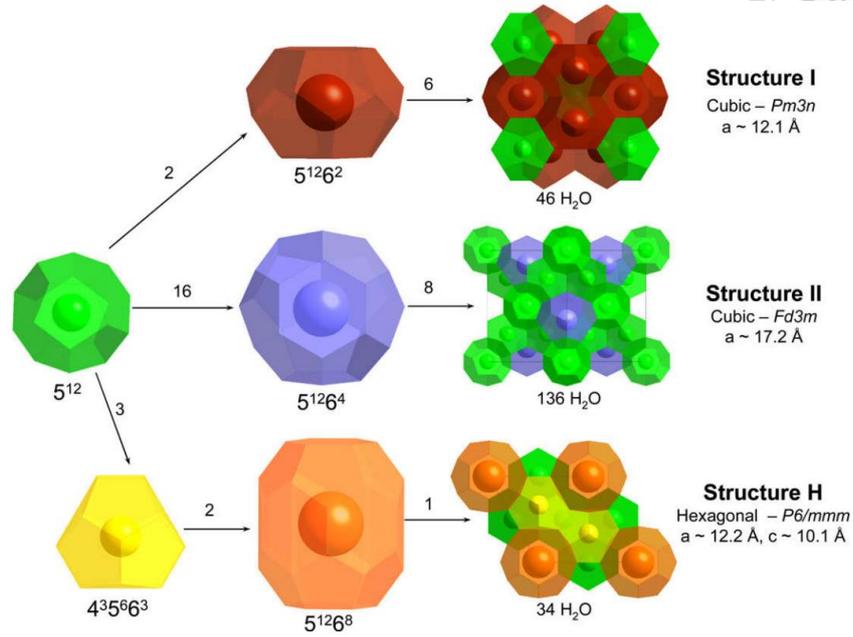
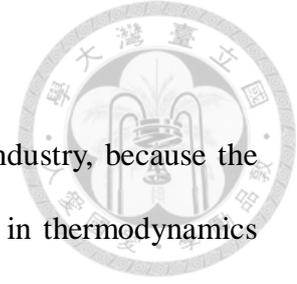


Figure 1.1-1 Different types of cages: pentagonal dodecahedron ( $5^{12}$ ), tetrakaidecahedron ( $5^{12}6^2$ ), hexakaidecahedra ( $5^{12}6^4$ ), dodecahedron ( $4^35^66^3$ ), icosahedron ( $5^{12}6^8$ ). Different cages with specific ratio can constitute structure I, structure II and structure H [4].

Table 1.1-1 Geometry of cages in different hydrate structure [5].

| structure                  | I        |             | II       |             | H        |             |             |
|----------------------------|----------|-------------|----------|-------------|----------|-------------|-------------|
|                            | small    | large       | small    | large       | small    | medium      | large       |
| Cavity                     |          |             |          |             |          |             |             |
| Name                       | $5^{12}$ | $5^{12}6^2$ | $5^{12}$ | $5^{12}6^2$ | $5^{12}$ | $4^35^66^3$ | $5^{12}6^8$ |
| No. of cavities/ unit cell | 2        | 6           | 16       | 8           | 3        | 2           | 1           |
| Average in radius (Å)      | 3.95     | 4.33        | 3.91     | 4.73        | 3.94     | 4.04        | 5.79        |
| Variation in radius (%)    | 3.4      | 14.4        | 5.5      | 1.73        | 4.0      | 8.5         | 15.1        |
| No. of water molecules     |          |             |          |             |          |             |             |
| /cavity                    | 20       | 24          | 20       | 28          | 20       | 20          | 36          |

## 1.2 Application of Clathrate Hydrates



Gas hydrates have been first paid an attention in petroleum industry, because the temperature and pressure of the transporting-petroleum pipeline is in thermodynamics stable region of formation the nature gas hydrates resulting in the blockage of pipelines. [6-8]. However, in recent years, other applications of hydrate, such as gas transportation or direct energy source of methane gas attract much attention.

Although there are lots of different kinds of clathrate hydrates, the CH<sub>4</sub> hydrates are the most common one, which been found in permafrost and sea floors. The amount of methane enclathrated in hydrate and distribute whole the world, so that it is regarded as a new potential energy source [3, 9-11]. The distribution of CH<sub>4</sub> hydrate [12] is shown in Figure 1.1-1. The storage capability of methane in hydrate distributed whole the world is estimated to be around  $\sim 3 \times 10^{13} \text{ m}^3$  [13], which is almost sane as the shale gas deposits in the USA [14]. Therefore, finding out feasible methods to exploit the methane from CH<sub>4</sub> hydrate is unstoppable, especially for Taiwan. In Taiwan, except for the great amount of CH<sub>4</sub> hydrate [15] discovered under the southwestern offshore Taiwan, there is nearly not found oil, shale gas or any other raw materials. Because of above reason, gas hydrate can become an important energy source for Taiwan.

In addition to being an energy material, hydrate can also be used as a medium of gas transportation. By boxing the gas molecules into the cages, such as natural gas [6, 16], CO<sub>2</sub> [6] and H<sub>2</sub> [17-21], comparing to traditional LNG method, the gas can be transported under a higher temperatures and lower pressures by a solid crystal form directly. Within certain distance, it's the most economical method of gas transportation [22].

In this few years, the issue about environment, such as the emissions of CO<sub>2</sub> and global warming has been paid a lot of attention. Although there are lots of energy source-

methane hydrates spread all over the world, exploiting these resources from the seafloors is concerned by the possibility of forming the tsunami. Furthermore, if the exploited  $\text{CH}_4$  leaks into the atmosphere, the degree of global warming caused by  $\text{CH}_4$  is more serious than  $\text{CO}_2$ . In recent years, some scientists propose a new method, called  $\text{CO}_2$  sequestration, to overcome the problem of greenhouse gas and extracting  $\text{CH}_4$  from the hydrates at the meanwhile. According to phase diagram shown in Figure 1.2-2, it is possible to recover the  $\text{CH}_4$  gas from the hydrate and entrap the  $\text{CO}_2$  into the cavity of the cage of the original methane hydrate. This method can achieve three goal simultaneously. First, extract the energy resource, methane gas, from the methane hydrate on the seafloors. Second, reducing the emissions of  $\text{CO}_2$  in the atmosphere retards the global warming. Third, without melting the hydrate in the seafloors, there is not any possibility of occurring tsunami. [23].

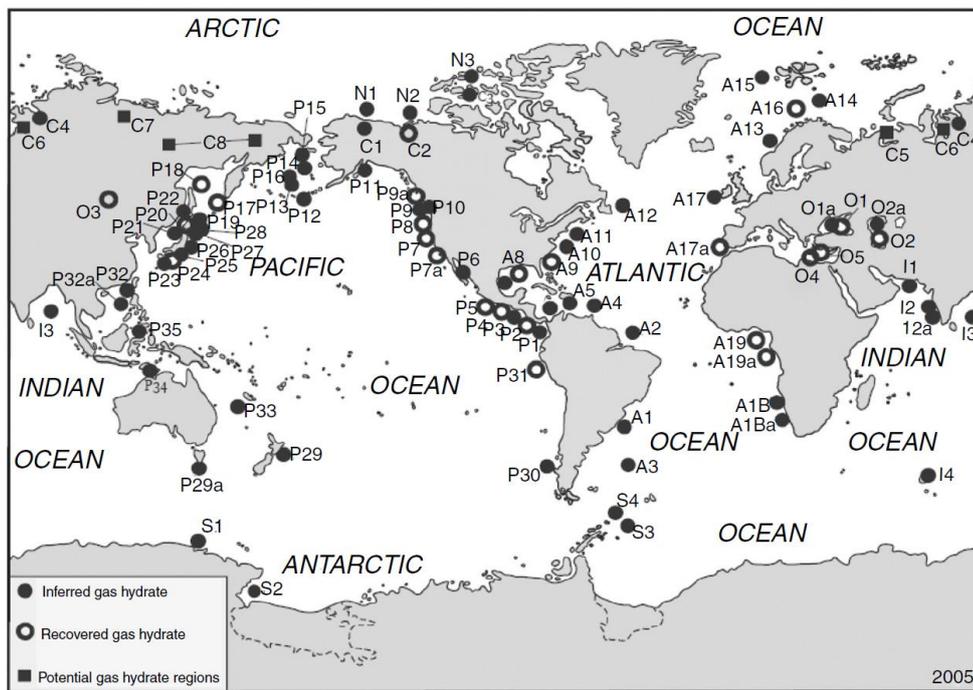


Figure 1.2-1 Distribution of gas hydrate around the world [12].

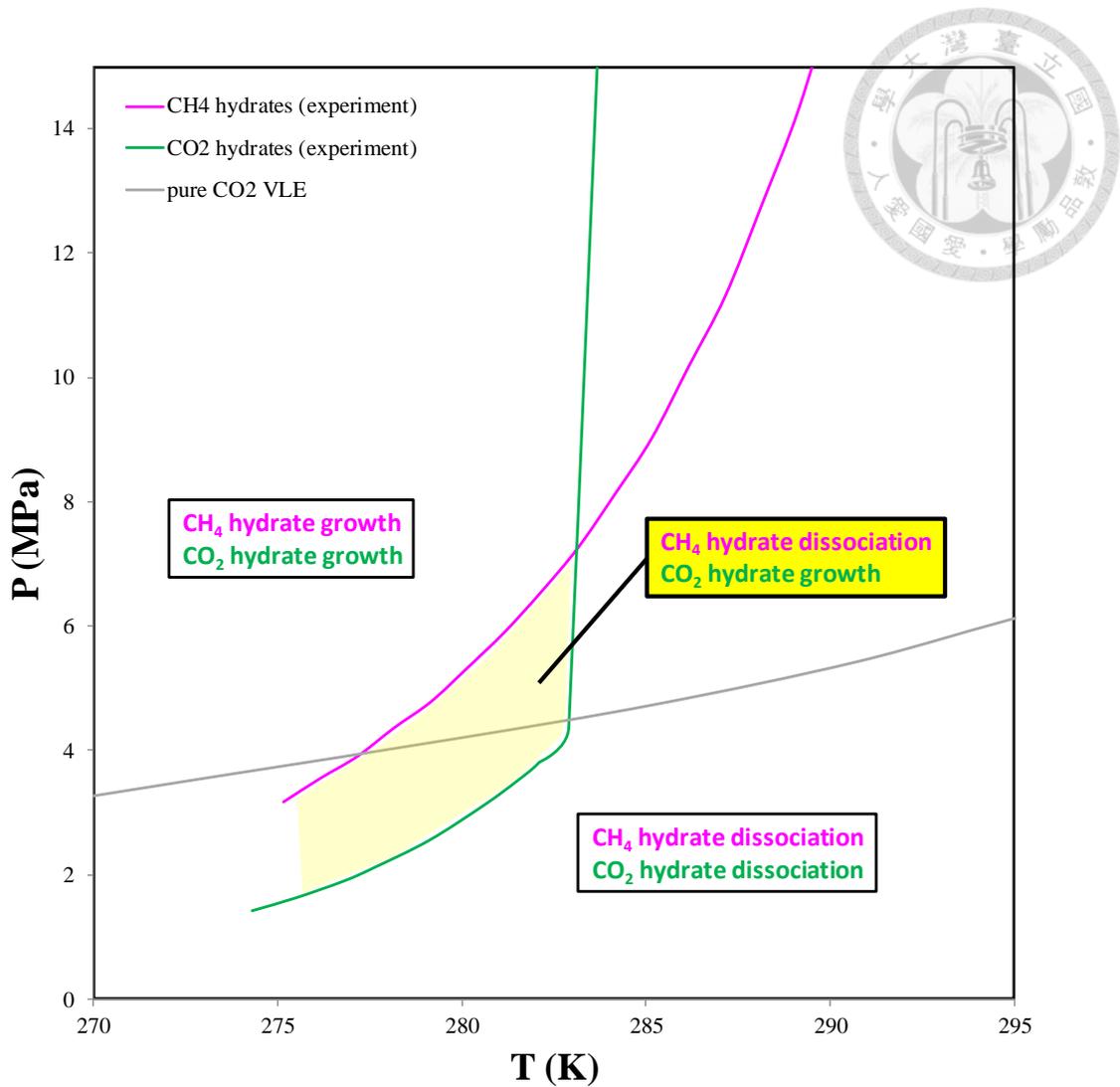


Figure 1.2-2 Phase diagram of hydrates. (pink line: equilibrium line of CH<sub>4</sub> hydrates from experiments; green line: equilibrium line of CO<sub>2</sub> hydrates from experiments; gray line: vapor- liquid equilibrium line of pure CO<sub>2</sub> [12].

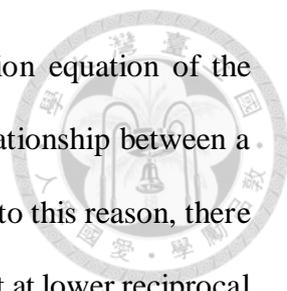
### 1.3 Surface fluctuation of the interface of solid/fluid

The interfacial properties (e.g., stiffness, surface tension) of a crystal-melt interface (CMI) have a great influence on the nucleation and growth of the crystal [24-26]. However, the CMI is far less understood than fluid-fluid interface because the measurements involving CMI are much more challenging with typical experimental instrument and method. It is well accepted that the interfacial free energy between the water and air at normal condition is 72mN/m; however, there is a great discrepancy in the reported interfacial free energy between ice and water at ambient pressure, ranging from 25 to 35mN/m [27-30] The analysis of CMI dynamics is useful for understanding the crystal-growth properties [31]

The CMI interface is not static but undulates due to thermal fluctuations. For length scales below the capillary length, the surface wave (SW) is mainly dominated by the interfacial stiffness known as capillary waves (CW). The equilibrium thermodynamic and dynamics properties of CW at the fluid-fluid interface were studied by Smoluchowski and Kelvin [32]. For the CMI, the study of the CW spectrum provides static properties, like the interfacial stiffness and also the interfacial free energy [31, 33-36].

At smaller length scales and higher frequency, the surface of elastic media exhibits thermal vibration known as the name of Rayleigh wave [37]. These waves are small amplitude but high frequency vibrations that result from the elastic response of the solid. The solid phase is elastic and principally exhibit Rayleigh waves. On the other hands, the fluid phase is viscous and would rather show capillary wave.

Unfortunately, although there are fair amount of theoretical researches in this field, it seems like there is not an appropriate theory can fully describe the CMI dynamics through Rayleigh wave or Kelvin wave theory [38-41]. In 1993, Karma published a theory



for the relaxation dynamics of crystal-melt CW based on a diffusion equation of the interfacial profile [33]. The theory shows there exist a power law relationship between a characteristic relaxation time and reciprocal space vector. According to this reason, there were fair amount simulations focused on CMI system and showed that at lower reciprocal space vector region, the simulation results agreed with the Karma's theory [31, 34-36, 42] and the interfacial stiffness and interfacial free energy, by means of an analysis of the spectrum of interface fluctuations, calculated by Karma's theory can reproduce the experiment results [36]. Although, there are existing other computational methods to calculate the crystal-fluid interfacial free energy: the cleaving method[43, 44], classical nucleation theory method[45] and mold integration method.[46, 47], it seems like these methods cannot measure the dynamic properties and other interracial properties.

## 1.4 Sodium Dodecyl Sulfate

Sodium Dodecyl Sulfate (SDS) is a kind of famous surfactant which is composed of hydrophilic head group and hydrophobic tail.

In the 1990s, Kalogerakis et al. first reported that the rate of methane hydrate formation could be enhanced by adding SDS.[48]

Okutani et al. later discovered that methane hydrate formed in the unstirred chamber with existing SDS not only can promote the nucleation rate but also worked better than other kinds of surfactant (sodium tetradecyl sulfate, hexadecyl sulfate).[49]

Beside, Yoslim et al. found that in the nucleation process, hydrates do not form as a thin solid layer on the gas/liquid interface without surfactant, however, if adding SDS, the nucleation will occur at the solid(container)/gas/liquid where a three phase intersection place.[50]

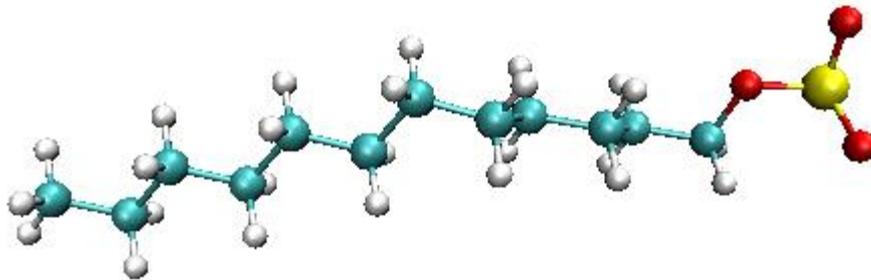
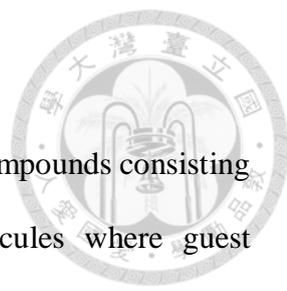
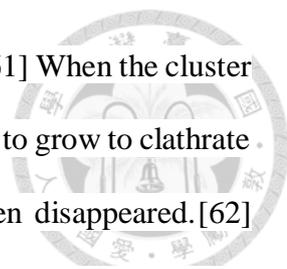


Figure 1.4-1 Structure of the SDS

## 1.5 Motivations



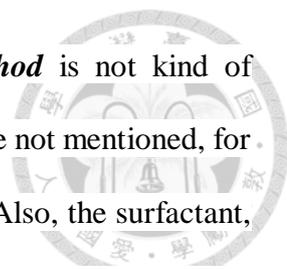
Clathrate hydrates are a kind of nonstoichiometric crystalline compounds consisting of cavities (or cages) formed by hydrogen-bonded water molecules where guest molecules are trapped.[51] The empty lattice (cavities) is thermodynamically unstable, and its existence is stabilized by hydrogen bond resulting from the enclathration of the trapped solutes in its cages. [51] Methane is one kind of guest molecules that stabilizes the water cages in the clathrate hydrate structure. There are three known common hydrate structures: sI, sII and sH. [51] In type I hydrate, methane clathrate hydrates have attracted much attention because the large amount found in nature can be a potential source of energy.[52] However, it is still unknown for the mechanism of hydrate formation (nucleation). There are many efforts made to better understand the nucleation mechanism of gas hydrates. Sloan et al.,[53-56] in order to describe the kinetic data of gas hydrate formation,[57] proposed a hypothetical model based on the labile cluster hypothesis (LCH), where the mixture of guest molecules and labile cages formed by water and then may combine to form a nucleus. Radhakrishnan et al.[58] argued that the high energy barriers of forming larger aggregates from labile clusters should not exist in a nucleation process. They proposed a local structuring hypothesis (LSH), where a group of the guest molecules are arranged in a configuration similar to that in the clathrate phase as a result of thermal fluctuation. When sufficient gas molecules are solved, the arrangement of the gas cluster, also helps the surrounding water molecules re-orientate to form a nuclei. The LSH was later supported by the results of molecular dynamics (MD) simulations from Rodger and co-workers.[59] In 2009, Walsh et al.[60] reported the first unconstrained MD simulations of methane hydrate nucleation on the microsecond time scale. They observed that the cages formed in early stage are partial face-shearing with small cages



(5<sup>12</sup>), also proposed the mutually coordinated guests theory (MCG).[61] When the cluster of MCGs size is larger than the critical size, the nuclei will be favorite to grow to clathrate crystalline structure; otherwise, the nuclei will be fluctuate and then disappeared.[62] Jacobson et al. studied the nucleation process using MD simulations.[63] They observed the constant formation and dissociation of guest-rich amorphous precursors (the morphology of a blob or cylinder) as a result of thermal fluctuation. As the size of the blob (or cylinder) becomes larger than some critical size, the blob can continue to grow and solved in water then transform into crystalline clathrate. Such two-step nucleation, or the blob hypothesis (BH), was also supported by atomistic MD simulations of Vatamanu and Kusalik.[64]

Although there were a lot of paper discussing the phenomenon of nucleation; however, the paper studied the interfacial free energy, a crucial parameter in nucleation and growth[45, 65, 66], between hydrate crystalline and water can be counted on fingers[67-69] L.C Jacobson *et al.* calculated the tension by Gibbs-Thomson equation and showed that the tension of amorphous crystal and crystalline are 32 and 36 mJ/m<sup>2</sup>, respectively.[68] This result is consistent with the experimental results worked by R. Anderson also using Gibbs-Thomson equation.[67] B.C Knott *et al.* calculated the interfacial tension by classical nucleation theory, and found that the nucleation rate of homogeneous process is pretty low ( $3 \times 10^{-111}$  nuclei cm<sup>-3</sup>s<sup>-1</sup>). In other words, the homogeneous process is almost impossible reaction path of hydrate nucleation [69]. The curvature of the nuclei in this method theoretically changes during the simulation, but it is treated as constant owing to complication for discussing. Therefore, the value of interfacial energy in this method might not be accurate.

Even if there are handful paper calculating the interfacial free energy between hydrate and water, limited by analysis method, the precision of the interfacial free energy



in other methods comparing to *capillary fluctuation theory method* is not kind of accuracy and also the further information about hydrate crystalline are not mentioned, for instance, the effect of orientation to hydrate, and kinetic properties. Also, the surfactant, SDS, is verified that can effectively promote the nucleation rate of hydrate forming rate, [48-50] however, the reason that SDS can promote the nucleation rate of CH<sub>4</sub> hydrate is still unknown. Though there are some papers claimed that it is caused by the forming SDS micelle,[70-72] but this hypothesis is soon rebelled from several experiments which indicate that SDS would not form the micelle under hydrate forming condition.[73-75] So far, there is still no any reasonable hypothesis can explain this phenomena. Motivated by these reasons, we use capillary fluctuation method to analyze the CMI between hydrate crystalline and water and also what will be different when SDS adsorb on hydrate interface. In this method, not only the interfacial free energy but also other surface dynamics properties of hydrate crystal can be measured. To get a deeper understanding of the dynamics of the CMI, we analyzed three different systems: ice/water, hydrate/water and SDS/hydrate/water. We show that that relaxation of crystal-melt SW is well described by a double exponential for both two cases. We also show that details of the microscopic dynamics are not important for the relaxation of crystal-melt. Then, we compare the relaxation dynamics of SW for hydrate/water and ice/water with several orientation of CMI. Finally, following the Karma's theory [33] and several method proposed in [31, 35], we estimate the kinetic coefficient (the constant ratio of crystal growth-rate to degree of supercooling) from our measurements of the CW relaxation dynamics.

## Chapter 2 Theory

### 2.1 Molecular Dynamics Simulation



Molecular dynamics (MD) simulation is a kind of powerful method to study the microscopic dynamics behavior on a molecular level. By MD simulation, we can observe how molecules interact with each other directly, which cannot be easily measure in experiment. Therefore, MD simulation is a very suitable tool to analyze the interface properties between hydrate and water.

MD simulation can calculate the interaction force between atoms based on Newton's second law of motion at each simulation time. The standard operation process of the MD calculations is shown as Figure 2.1-1. Initially, the position of each atom in the system should be appointed, and the velocity of each atom will be assign by Maxwell-velocity distribution at specific temperature. The position of next moment can be calculated by current position, velocity and Newton's second law of motion. Since we can calculate the position of each atom in system at every times, in other words, the trajectory of the whole system in a specific time period can be known, so directly observing the microscopic mechanism of molecules is feasible. Furthermore, based on ergodicity, the postulate of statistical mechanics, some macroscopic properties such as total energy of the system or the diffusion coefficient of the molecules can also be obtained by analyzing the enough long ensemble simulation. Comparing the macroscopic results from MD simulation to experiment data, the validity of our simulation can be trusted.

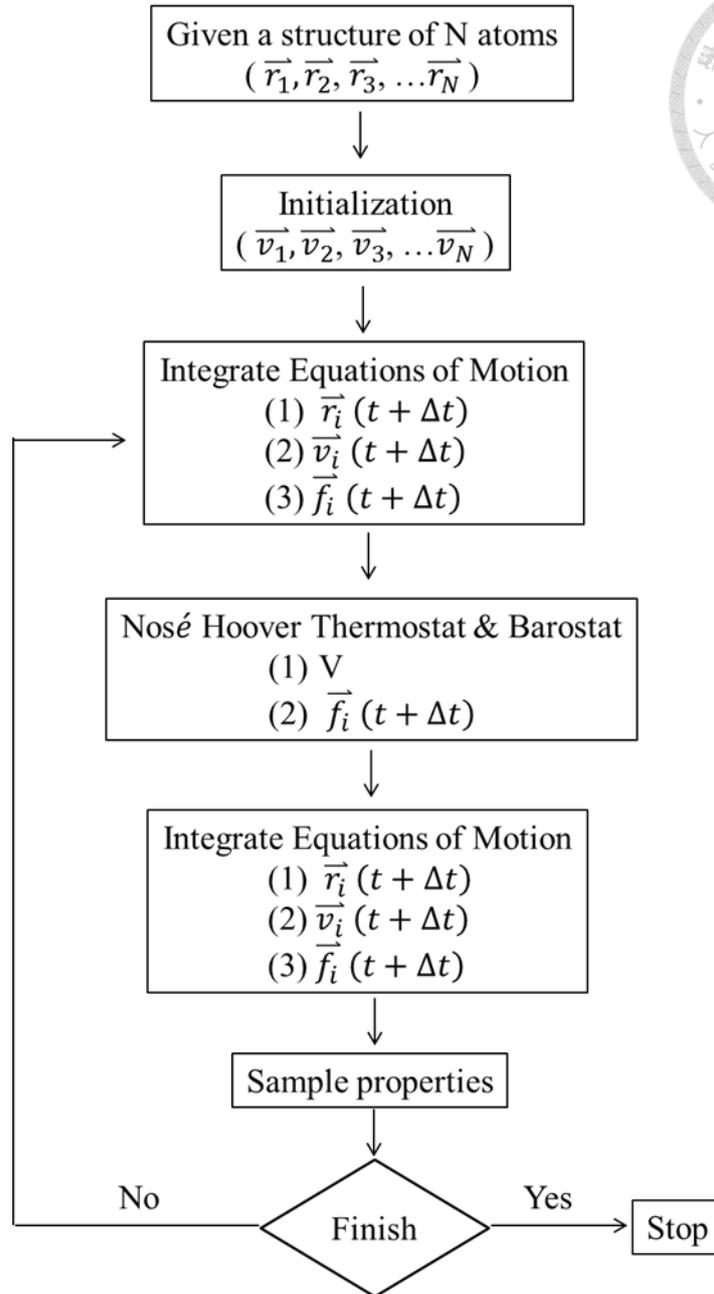
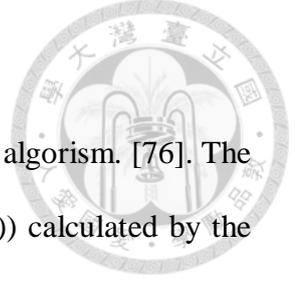


Figure 2.1-1 The flowchart of MD simulation.



## 2.2 Integration of Equation of Motion

The Leapfrog integrator method is chosen as our MD integrator algorithm. [76]. The positions and velocities are updated by atom-interaction force ( $\mathbf{F}(t)$ ) calculated by the positions at time  $t$ :

$$\mathbf{v}\left(t+\frac{1}{2}\Delta t\right)=\mathbf{v}\left(t-\frac{1}{2}\Delta t\right)+\frac{\Delta t}{m}\mathbf{F}(t) \quad (1)$$

$$\mathbf{r}(t+\Delta t)=\mathbf{r}(t)+\Delta t\mathbf{v}\left(t+\frac{1}{2}\Delta t\right) \quad (2)$$

The trajectories generated by Leapfrog are identical to the Verlet algorithm[77], shown as equation (3):

$$\mathbf{r}(t+\Delta t)=2\mathbf{r}(t)-\mathbf{r}(t-\Delta t)+\frac{1}{m}\mathbf{F}(t)\Delta t^2+O(\Delta t^4) \quad (3)$$

The velocity Verlet integrator[77] is one of the other generally used integrator. The equations are listed as equation (4) and (5):

$$\mathbf{r}(t+\Delta t)=\mathbf{r}(t)+\Delta t\mathbf{v}+\frac{\Delta t^2}{m}\mathbf{F}(t) \quad (4)$$

$$\mathbf{v}(t+\Delta t)=\mathbf{v}(t)+\frac{\Delta t}{2m}[\mathbf{F}(t)+\mathbf{F}(t+\Delta t)] \quad (5)$$

## 2.3 Force field

The force field refers to the parameter sets of potential model, which are calculated from quantum mechanics and experimental data. The potential energy, between any two atoms in the system, can be calculated by deriving force (according to Newton's second law) respect to the relative distance of a pair of atoms, shown as the equation (6):

$$-\frac{\partial U(t)}{\partial \mathbf{r}_i}=\mathbf{F}(t) \quad (6)$$

The potential energy of system,  $U(t)$  can be contributed into many parts, shown as equation (7):

$$U = E_{\text{non-bond}} + E_{\text{valance}} = E_{\text{vdw}} + E_{\text{coul}} + E_{\text{bond}} + E_{\text{angle}} + E_{\text{dihedral}} \quad (7)$$

The van der Waals interaction ( $E_{\text{VDW}}$ ) and coulomb interaction ( $E_{\text{coul}}$ ) are the non-bond terms, which are generated by inducing-interaction in the space. The valance terms, caused by the existence of valance bond, can be departed into bond interaction ( $E_{\text{bond}}$ ), angle interaction ( $E_{\text{angel}}$ ) and torsion interaction ( $E_{\text{dihedral}}$ ). The atoms can combine with one or more valance bond(s).

The non-bond interactions are neglected for atoms consisted with 1 or 2 valance bond(s) (the bond and angle interactions). For the atoms combined with more than 4 valance bonds, they are considered as in the different molecules so that only the non-bond interactions are considered. For the atoms combined with 3 valance bond, the torsion interaction, caused from the dihedral-angle of valance bonds, is considered and also equal importance with the non-bond interactions, however, non-bond interaction are combined with a weighting factor in order to avoid non-bond interactions too powerful at this circumstance, and this phenomenon is called 1-4 interaction. In this study, there are only  $\text{H}_2\text{O}$  and  $\text{CH}_4$  molecules, so we only consider about bond, angle interactions and non-bond interaction.

### 2.3.1 Non-Bond Terms

The van der Waals interaction in the MD simulation describes the repulsive and dispersive interactions. Lennard-Jones 12-6 function is the most popular model to describe the van der Waals in MD simulation, shown as equation (8).

$$E_{\text{vdw}}(r) = 4\varepsilon \left[ \left( \frac{\sigma}{r} \right)^{12} - \left( \frac{\sigma}{r} \right)^6 \right] \quad (8)$$

The model is described by two parameters,  $\varepsilon$  is the well depth and  $\sigma$  is the collision diameter. The energy is contributed by two parts:  $r^{-6}$  represents attractive term and  $r^{-12}$  represents repulsive term. The power-law relationship  $r^{-6}$  is same as a theoretical

treatment for the leading term in Drude model. The  $r^{-12}$  variation is quite reasonable for rare gases, however, it is still too steep for hydrocarbons. Even the above reasons, the Lennard-Jones potential is one of the most common model be used, especially in calculation of large system.

Usually we only define the parameters for pure substance, for example, atom A and B. When we want to consider the non-bond interaction between A and B, the parameters,  $\epsilon_{AB}$  and  $\sigma_{AB}$  will be determined by mixing rule, shown as equation (9) and (10):

$$\epsilon_{ij} = \sqrt{\epsilon_{ii}\epsilon_{jj}} \quad (9)$$

$$\sigma_{ij} = \sqrt{\sigma_{ii}\sigma_{jj}} \quad (10)$$

The other type of non-bond parameter is the coulomb interaction, it describes the electrostatic energy of atoms, shown as equation (11):

$$E_{\text{coul}}(\mathbf{r}_{ij}) = \frac{q_i q_j}{4\pi\epsilon_0 r_{ij}} \quad (11)$$

However, the coulomb interaction of point charge doesn't converge in a periodic boundary system since the order of distance- $r$  is  $-1$ . To avoid this problem, Ewald et al. proposed the Ewald summation method[78], commonly applied in computational calculation, it can be efficiently summed the electrostatic interactions between particles in simulation system box or their infinite periodic images. In Ewald sum method, the coulomb interaction is constructed by two parts: short range, which can converge quickly in real space, and long range, which converge quickly in reciprocal space, as Figure 2.3-1.

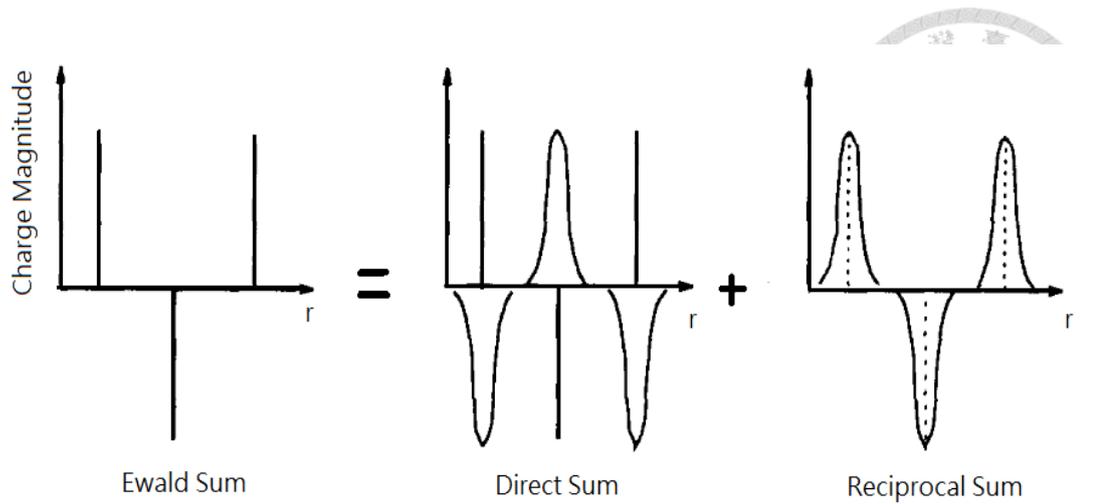


Figure 2.3-1 The component of Ewald summation[78].

By reorganizing the replica sum the coulomb interaction become:

$$E_{\text{coul}}(\mathbf{r}_{ij}) = \frac{1}{2} \sum_{\mathbf{m}} \sum_{i=1}^N \sum_{j=1}^N \frac{q_i q_j \text{erfc}(\sqrt{\alpha} |\mathbf{r}_{ij} + \mathbf{mL}|)}{|\mathbf{r}_{ij} + \mathbf{mL}|} + \frac{1}{2V} \sum_{\mathbf{k} \neq 0} \sum_{i=1}^N \sum_{j=1}^N \frac{4\pi}{k^2} q_i q_j e^{-i\mathbf{k} \cdot \mathbf{r}_{ij}} e^{-\frac{k^2}{4\alpha}} - \frac{\alpha^{1/2}}{\pi} \sum_{i=1}^N q_i^2 \quad (12)$$

It can predict the molecular dynamics motions more accurately with a careful parameterization. Furthermore, according to molecular dynamics motions, we can find out the interaction between atoms in the microscopic level, and observe the mechanism between particles that cannot directly observe in experiment.

### 2.3.2 Valence Terms

The bond energy describes the chemical bonding interaction between two atoms. The interaction is imagined as a spring states at the equilibrium length (bond length)  $l_0$  and force constant  $k$ , shown as equation (13):

$$E_{\text{bond}} = \frac{1}{2} k_l (l - l_0)^2 \quad (13)$$

The angle bending energy is also described by a harmonic potential. The various potential energy is contributed to the degree of deviation of angles from their equilibrium values  $\theta_0$ , shown as equation (14):

$$E_{\text{angle}} = \frac{1}{2} k_\theta (\theta - \theta_0)^2 \quad (14)$$

If the molecule is consisted of more than 3 continuous and linear valance bond, the torsion energy, the rotation of chemical bonds from basic plane, is needed to consider. The value of dihedral energy depends on the difference between dihedral angles and valance bonds, simplified to the following equation:

$$E_{\text{dihedral}} = k_{\phi} \cos m(\phi - \phi_S) \quad (15)$$

Where multiplicity  $m$  is 1~3. The  $\phi_S$  is the dihedral angle with the strongest structural energy.

## 2.4 Ensemble

The concept of ensemble was first introduced into statistical thermodynamics by J. Willard Gibbs[79, 80]. Based on ergodicity, the postulate of statistical thermodynamics, we should analyze a large number of samples to make the simulation results consistent with macroscopic experimental results since not long enough ensemble will be influenced by uncontrollable microscopic details and may lead to different results. There are few different types of ensembles designed to fulfill different macroscopic constraints. For example, isothermal-isobaric ensemble (NPT), system with the same specific pressure and temperature in each sample. Canonical ensemble (NVT) was chosen to simulate the surface fluctuation phenomenon of hydrates at certain volume and temperatures.

## 2.5 Temperature Thermostat

Nosé-Hoover temperature thermostat[81] is applied to simulate a heat bath in order to control the temperature of system during whole simulation in this work. An additional external force ( $\mathbf{F}_i$ ) is applied to atoms in the system to maintain the temperature at target value:

$$\mathbf{F}_i = - \frac{\partial U(\mathbf{r}_i^N)}{\partial \mathbf{r}_i} + \xi m_i \mathbf{v}_i \quad (16)$$

$$\dot{\xi} = \frac{3N}{Q} k_B (T - T_{\text{set}}) \quad (17)$$

Where  $Q$  is a thermal inertia parameter, reflecting the rate of heat transfer between systems and surrounding heat bath;  $\dot{\xi}$  is a friction factor determined by the difference between real-time temperature ( $T$ ) and desired temperature ( $T_{\text{set}}$ ) and  $Q$ .  $N$  is the number of particles in the simulation box, and  $k_B$  is the Boltzmann constant.

## 2.6 Pressure Barostat

In this study, there are two types pressure barostats applied. One pressure controlling we used for our system is Berendsen[82, 83]. The Berendsen barostat rescales the coordinates and box vectors every step, or every  $n_{\text{PC}}$  steps, with a matrix  $\mu$ , which has the effect of a first-order kinetic relaxation of the pressure towards a given reference pressure  $P_0$  according to equation (18):

$$\frac{dP}{dt} = \frac{P_0 - P}{\tau_p} \quad (18)$$

The scaling matrix  $\mu$  is given by equation (19):

$$\mu_{ij} = \delta_{ij} - \frac{n_{\text{PC}} \Delta t}{3\tau_p} \beta_{ij} \{P_{0ij} - P_{ij}(t)\} \quad (19)$$

where  $\beta$  is the isothermal compressibility of the system. In most cases this will be a diagonal matrix, with equal elements on the diagonal, the value of which is generally not known. It suffices to take a rough estimate because the value of  $\beta$  only influences the non-critical time constant of the pressure relaxation without affecting the average pressure itself, however, it is the most efficient way to scale a box at the beginning of a run.

The other pressure barostat we used is the Parrinello-Rahman barostat[84]. Parrinello-Rahman approach is similar to the Nosé-Hoover temperature coupling, and gives the true NPT ensemble in theory. By the Parrinello-Rahman barostat, the box vectors as represented by the matrix  $b$  obey the matrix equation of motion:

$$\frac{db^2}{dt^2} = V\mathbf{W}^{-1}\mathbf{b}^{-1}(P - P_{\text{ref}}) \quad (20)$$

Where  $V$  is the volume of the simulation box, and  $W$  is a matrix parameter that determines the strength of the coupling. The matrices  $P$  and  $P_{\text{ref}}$  are the current and reference pressures, respectively.

Comparing Parrinello-Rahman to Berendsen barostat method, the former controls the system pressure more smoothly and naturally, and the latter one provides a direct pressure controlling, so that there will be a concern for kinetic section. Although there might be a problem for kinetic motions using Berendson, it is a nice choice to quickly let the pressure of system approach to the setting value. After approaching to the target pressure, Parrinello-Rahman is then used to collect the true simulation data.

## 2.7 MSD (mean square displacement)

To understand whether the guest molecules moved during all nucleation process, to determine the self-diffusion coefficient  $D_a$  of particles of type A is important, one can use the Einstein relation[85]:

$$\lim_{t \rightarrow \infty} \langle \|r_i(t) - r_i(0)\|^2 \rangle_{i \in A} = 2ntD_A \quad (21)$$

Where  $r_i(t)$  is the position of atom  $i$  at correlation time  $t$  and  $n$  is the number of dimension of system. Normally, simulations were hold at 3D space in MD simulation, so  $n$  is equal to 3.

## 2.8 ACF (autocorrelation function)

In the data analysis, sometimes we need to consider the correlation between the data. The autocorrelation function is a good method that can tell us the relationship between the data. The below line is the definition:

$$R(\tau) = \frac{\langle (X_t - \mu)(X_{t+\tau} - \mu) \rangle}{\sigma^2} \quad (22)$$

Where  $\tau$  is the correlation time,  $\sigma^2$  is the variance of whole samples,  $\mu$  is the average of all data and  $X$  denotes the sample. When  $R(\tau) < 0$  and  $R(\tau) > 0$ , it means there is negative-correlation and positive-correlation between the specific time-lag ( $\tau$ ) of the data, respectively. When  $R(\tau) = 0$ , it indicates that there is no correlation between the specific time-lag ( $\tau$ ) of the data.

## 2.9 Physical meaning for some interfacial technical terms

### 2.9.1 Surface stress

Surface stress ( $\sigma$ ) was first defined by Josiah Willard Gibbs as the amount of the reversible work per unit area needed to elastically stretch an existing surface.

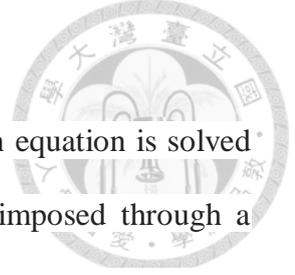
### 2.9.2 Surface free energy

Surface free energy, which represents the excess free energy per unit area needed to create a new surface. The relation between the surface stress  $\sigma$ , and surface free energy  $\gamma$ , was first pointed out by Shuttleworth [86],

$$\sigma_{ij} = \gamma \times \delta_{ij} + \frac{\partial \gamma}{\partial \varepsilon_{ij}} \quad (23)$$

where  $\sigma_{ij}$  is surface stress tensor,  $\gamma$  is surface free energy,  $\delta_{ij}$  is kronecker delta and  $\varepsilon_{ij}$  is strain tensor. It is noteworthy that when a liquid/vapor interface is stretched, atoms can move freely to the interface from the bulk. Therefore, the interface can remain its structural and energetic characters, indicating that derivative term in eq. 23 is negligible. In other words, the surface stress and surface free energy are the same for liquid/vapor system. These two terms have been referred to as the “surface tension.”

However, for solid systems (including solid/vapor or solid/liquid systems), the derivative term cannot be neglected. In this case the surface stress and surface free energy are not same.



### 2.9.3 Stiffness

In the modeling of dendritic solidification the thermal diffusion equation is solved\* subjected to a boundary condition on the interfacial temperature imposed through a velocity-dependent Gibb–Thomson condition. Specifically, the temperature at any point along the moving crystal–melt interface depends on both curvature ( $R_i$ ) and normal velocity ( $V_n$ ) according to the equation:

$$T_L = T_M - \frac{T_M}{L} \sum_{i=1,2} [\gamma(\hat{n}) + \frac{\delta^2 \gamma(\hat{n})}{\delta \theta^2}] * \frac{1}{R_i} - \frac{V_n}{\mu(\hat{n})} \quad (24)$$

where  $T_M$  is the melting temperature and  $L$  the latent heat of melting per unit volume. The second-term on the right-hand side of equation (24) represents the change of the local equilibrium melting point due to the curvature of the interface, where  $\gamma(\hat{n})$  is the excess free-energy of the solid–liquid interface,  $\theta_i$  are the local angles between the normal direction of the average interface and the normal directions on the local-interface, and  $R_i$  are the principal radii of curvature. The kinetic coefficient,  $\mu(\hat{n})$ , is defined to be the proportionality constant between the interface undercooling ( $T_M - T_L$ ), and the normal velocity,  $V_n$ , of a planar interface for a given crystallographic growth direction  $\hat{n}$ .

The second-term of Equation. (21),  $\gamma(\hat{n})$  denotes the energy needed to create the new interface and the second differential term originates from the energy needed to rotate locally the interface from its average orientation, respectively. The sum of these two terms defines as interfacial stiffness,  $\tilde{\gamma}$ .

## 2.10 Calculation of surface stress from MD simulation

For a planar interface perpendicular to the  $z$ -axis, surface stress  $\sigma$  is given by[87],

$$\sigma = \frac{1}{n} \int_{-\infty}^{\infty} [P_N(z) - P_T(z)] dz = \frac{L_z}{n} [\overline{P_N} - \overline{P_T}] \quad (25)$$



Where  $P_N = P_{zz}$  and  $P_T = \frac{P_{xx} + P_{yy}}{2}$ ,  $L_z$  is the length of z-direction of the system and  $n$  is the number of interface.

## 2.11 Mold integration method

Even if the thermodynamics condition of a system is under two or more phase coexisting region, it is hard to observe the phase change of single phase system in molecular simulation due to the high energy barrier for creating a new phase.

To overcome this problem, the mold integration method was developed.[46, 47] In this method, it defines a kind of particles, called mold particles (or wells). There is an artificial non-bond interaction force between well and oxygen atom (takes  $I_h$ /water for example), so that the system can easily overcome the nucleation energy barrier. Because it is adjustable for the interaction force between well and atom, in other words, the progress that a system creates a new phase could be controlled so that the work needed to create the new surface can be determined by thermodynamics integration.

In order to apply the thermodynamics integration, the potential energy of the system is defined the following terms:

$$U(\lambda) = U_{pp}(r_1 \dots r_N) + \lambda U_{pm}(r_1 \dots r_N; r_{w_1} \dots r_{w_{N_w}}) \quad (26)$$

where  $N$  is the number of particles and  $N_w$  the number of wells;  $r_1 \dots r_N$  denotes the positions of all particles and  $r_{w_1} \dots r_{w_{N_w}}$  the position of the wells (which are fixed during the simulation);  $U_{pp}$  is the potential energy between the atoms in the system (except the wells) and  $U_{pm}$  is the potential energy between the specific kind of atom and wells, in addition, this potential term is pair additive;  $\lambda$  is the degree of coupling between the specific kind of atom and wells, and the number is from 0 to 1.

$$U_{pm}(r_1 \dots r_N; r_{w_1} \dots r_{w_{N_w}}) = \sum_{i=1}^N \sum_{w_j=1}^{N_w} u_{pw}(r_{iw_j}) \quad (27)$$

where  $u_{pw}(r_{iwj})$  is a square-well interaction between the  $i^{\text{th}}$  particle and  $j^{\text{th}}$  well, and this term also depends on the  $r_{iwj}$ , the distance between their center

$$u_{pw}(r_{iwj}) = \begin{cases} -\varepsilon, & \text{if } r_{iwj} \leq r_w \\ 0, & \text{if } r_{iwj} > r_w \end{cases} \quad (28)$$

where  $r_w$  and  $\varepsilon$  are the radius and depth of the wells, respectively. In other words,  $r_w$  is the range that wells can influence the specific atoms and  $\varepsilon$  is the magnitude of attractive force, both of two parameters are adjustable parameters.

Now, applying the thermodynamics integration to the wells, the free energy difference between the fluid and plus the interaction between the well and atoms,  $\Delta G_m$ , can be obtained.

$$\Delta G_m = \int_{\lambda=0}^{\lambda=1} d\lambda \left\langle \frac{\partial U(\lambda)}{\partial \lambda} \right\rangle_{\lambda, N, P, N, T} \quad (29)$$

Since the applying wells, the interface will be generated. The  $\Delta G_m$  is departed into the free energy changing caused by generating the interface,  $\Delta G_s$ , and the interaction between the well and atoms, the latter term is simplified by  $-N_w \varepsilon$ .

$$\Delta G_m = \Delta G_s - N_w \varepsilon \quad (30)$$

$$\Delta G_s = N_w \varepsilon + \int_{\lambda=0}^{\lambda=1} d\lambda \left\langle \frac{\partial U(\lambda)}{\partial \lambda} \right\rangle_{\lambda, N, P, N, T} \quad (31)$$

Based on the fundamental concept of free energy, the interfacial free energy is the free energy caused by new interface creating, so that

$$\Delta G_s = 2A\gamma_{iw} \quad (32)$$

The physical meaning of pre-factor 2 is there are two interfaces generated when a new phase created.

$$\gamma_{iw} = \frac{1}{2A} (N_w \varepsilon + \int_{\lambda=0}^{\lambda=1} d\lambda \left\langle \frac{\partial U(\lambda)}{\partial \lambda} \right\rangle_{\lambda, N, P, N, T}) \quad (33)$$

Combining equation (28) and equation (29), the equation (33) can be written as:



$$\gamma_{iw} = \frac{1}{2A} (N_w \varepsilon - \int_{\varepsilon=0}^{\varepsilon=\varepsilon_m} d\varepsilon \langle N_{fw}(\varepsilon) \rangle_{N,P,N,T}) \quad (34)$$

Where  $N_{fw}(\varepsilon)$  is the number of the specific atoms filled in wells.

## 2.12 F4 order parameter

Some configurations are constructed by same species, for instance, the hydrate, ice-h, ice-c and water are all consisted by H<sub>2</sub>O. In order to discriminate the difference among these construction, order parameters are used.

F4 order parameter is used to distinguish the hydrate-like, fluid-like of H<sub>2</sub>O typically.

The definition of F4 is listed below:

$$F4 = \langle \cos 3\phi \rangle \quad (35)$$

Where  $\phi$  is the dihedral angle H<sup>a</sup>-O<sup>a</sup> · · O<sup>b</sup>-H<sup>b</sup> from two neighboring H<sub>2</sub>O molecules (<3.5 Å), with H<sup>a</sup> and H<sup>b</sup> are the farthest hydrogen atoms on each of the water molecules.

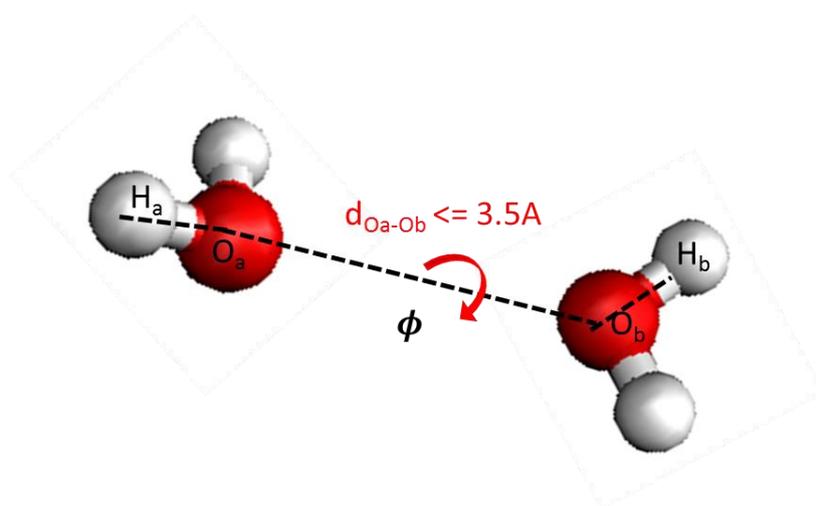


Figure 2.12-1 Illustration of criteria of F4 calculation

## 2.13 Capillary Fluctuation Theory

In order to calculate the interfacial free energy between solid crystalline and fluid, we use the capillary fluctuation theory.[33, 42]

Before we discuss the capillary fluctuation theory, there are some parameters should be

defined. First of all, the local surface position, or interface height is defined as  $h(v_n)$ , where  $v_n$  is discrete position along with the direction of wave propagation (i.e.,  $x_n$  represents that discrete position along with wave propagation is forward to  $x$  direction).

Detail explanations are written and shown in Figure 2.13-1.

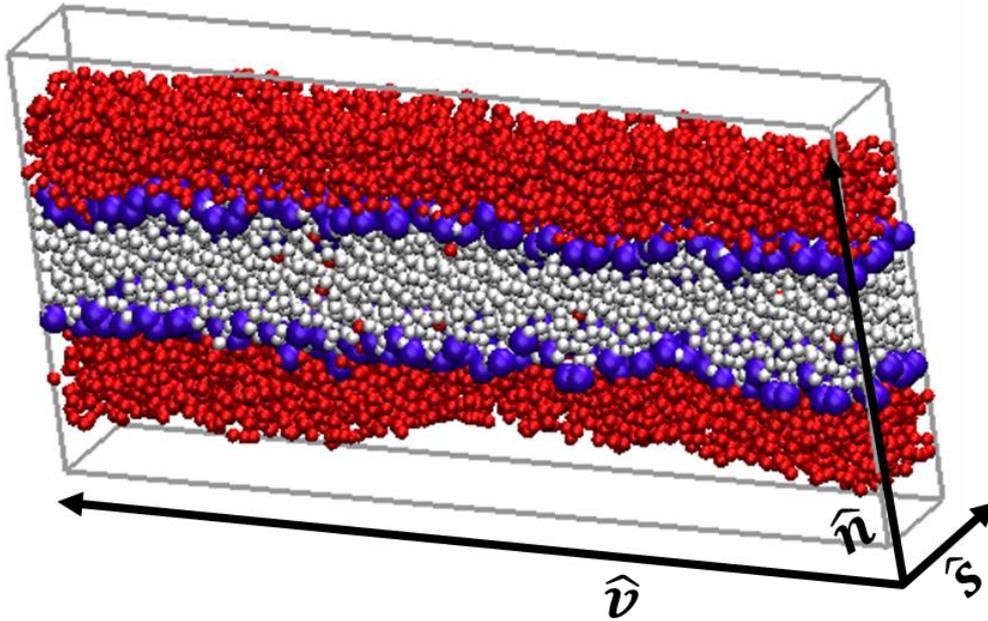


Figure 2.13-1 Snapshot of a typical configuration for capillary wave simulation. Only represents oxygen atom of  $H_2O$ . Particles colored by red denotes fluid-like, colored by white if they have crystalline behavior, and colored by blue represents the interface position. The symbols  $\hat{v}$ ,  $\hat{s}$  and  $\hat{n}$  represent wave propagation direction, the shortest depth length direction, and normal vector direction of CMI, respectively.  $L_v$ ,  $L_s$ , and  $L_n$  denotes the length of simulation box along with  $\hat{v}$ ,  $\hat{s}$  and  $\hat{n}$ , respectively.

The interface profile is then applied to Fourier transformed, and Fourier modes  $h_q$  are defined as:

$$h_{qk}(t) = \frac{1}{N} \sum_{n=1}^N h(v_n, t) e^{iq_k v_n} \quad (36)$$

where  $N$  is the number of discretization points along the wave propagation direction ( $\hat{v}$ ) of the simulation system, each wave mode is associated with a reciprocal space vector,  $q_k$ , and defines as  $2\pi k/L_v$  where  $k$  is a nature number (1,2,3 etc.), and  $v_n$  is  $L_v * n/N$  ( $n$  runs from 1 to  $N$ ). Small  $q_k$  represents low frequency or large wave length vice versa.

The capillary fluctuation theory points out that there is a relationship between  $h_q$  and the interfacial stiffness,  $\tilde{\gamma}$ : [33, 42]

$$\langle |h_q|^2 \rangle = \frac{k_B T_M}{A \tilde{\gamma} q^2} \quad (37)$$

where  $A=L_v * L_s$  is the interfacial area. The calculated stiffness depends on the crystal plane that is exposed to the fluid and on the direction along which the wave propagates.

We apply the stiffness, calculated from previous step, into the original definition of stiffness:

$$\tilde{\gamma}(\hat{n}, \hat{v}) = \left( \gamma(\hat{n}) + \frac{\delta^2 \gamma(\hat{n})}{\delta \theta^2} \right) \quad (38)$$

where  $\theta$  are the local angles between the normal direction of the average interface and the normal directions on the local-interface, see Figure 2.13-2. In order to obtain the interfacial free energy through equation (24), it is necessary to consider the variation of the interfacial free energy with surface orientation,  $\gamma(\hat{n})$  and  $\gamma(\theta)$ . We will carefully discuss this topic in the next section.

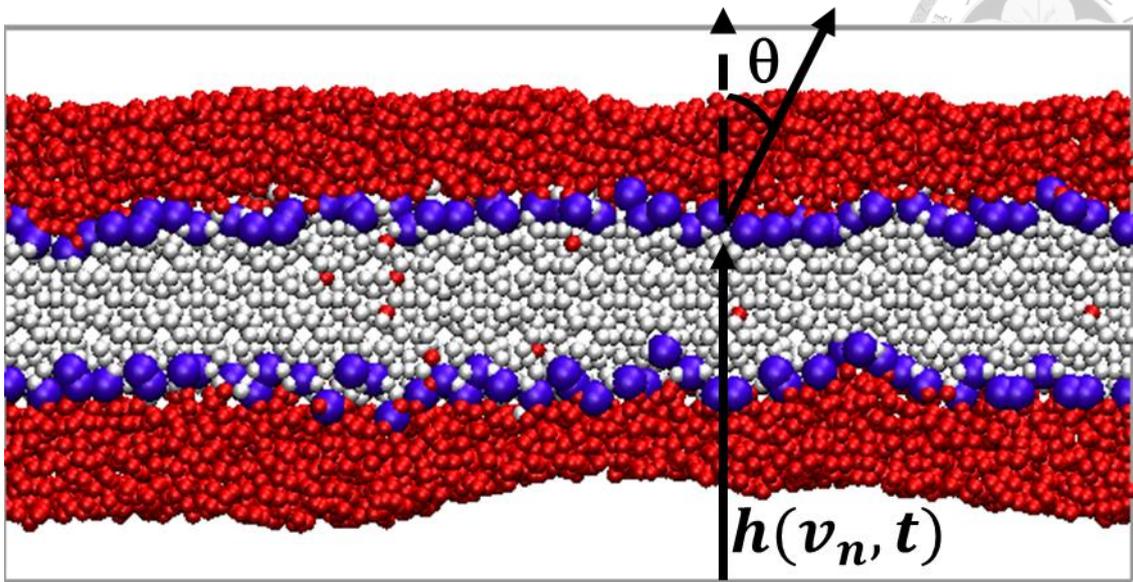


Figure 2.13-2 Illustration the  $h(v_n, t)$  and  $\theta$ . Snapshot of a configuration of a hydrate slab in equilibrium with liquid water, and the color symbols are as same as Figure 3.

The dynamics of interfacial waves, the time-dependent autocorrelation function of  $h_q$  is then defined as:

$$f_q(t) = \frac{\langle h_q(0)h_q(t)^* \rangle}{\langle h_q(0)h_q(0)^* \rangle} \quad (39)$$

This function shows information about the relaxation behavior of the surface wave of each mode. Because of  $h_q$  is effected by both  $\hat{n}$  and  $\hat{v}$ ,  $f_q(t)$  also depends on  $t, q, \hat{n}$  and  $\hat{v}$ , however, we usually use Miller index to represent the crystalline orientation, so that we can write as  $f_q \equiv f(t, q, (hkl), [ijk])$ . One the  $f_q$  is known, we can use exponential equation to fit the equation and get the relaxation time of mode,  $\tau$ .

The capillary wave theory indicates that:

$$\frac{1}{\tau} = \frac{\mu \bar{\gamma} T_m}{\Delta H_m \rho} q^2 \quad (40)$$

where  $\Delta H_m$  denotes the molar melting enthalpy,  $\rho$  is the crystal density and  $\mu$  is the coefficient of the degree that crystal growth rate in a supercooled state ( $v = \mu \Delta T$ ).

Apply all the parameters into equation (40), then the kinetic coefficient of crystal can be obtained.



## Chapter 3 Computational Details

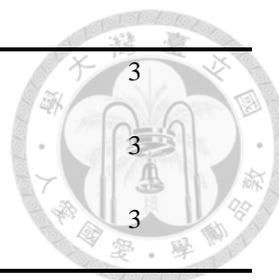


### 3.1 Models

We constructed 22 different models, A1 ~ G3 are labeled, using commercial software. Material Studio 5 was used to create the systems and MD were performed by Gromacs 4.5.5.[88, 89]

Table 3.1-1 The composition and simulation targets of model A1~G3.

| Target of simulation                          | Model | Composition                               | Number of phase |
|---|-------|---|-----------------|
| Melting point of sI methane hydrate & $I_h$   | A1    | 1344H <sub>2</sub> O                      | 2               |
|   | A2    | 1656H <sub>2</sub> O + 250CH <sub>4</sub> | 3               |
| Diffusivity of H <sub>2</sub> O               | B1&B2 | 2112H <sub>2</sub> O                      | 1               |
| Heat of fusion for sI methane hydrate & $I_h$ | C1/C2 | 2112H <sub>2</sub> O                      | 1               |
|   | C3/C4 | 368 H <sub>2</sub> O+64CH <sub>4</sub>    | 1               |
| Mold integration of $I_h$                     | D1    | 1792 H <sub>2</sub> O+128mold             | 1               |
|   | D2    | 768 H <sub>2</sub> O+128mold              | 1               |
|   | D3    | 1792 H <sub>2</sub> O+128mold             | 1               |
| Capillary fluctuation of $I_h$                | E1    | 8064H <sub>2</sub> O                      | 2               |
|   | E2    | 9216H <sub>2</sub> O                      | 2               |
|   | E3    | 15360H <sub>2</sub> O                     | 2               |
|   | E4    | 9216H <sub>2</sub> O                      | 2               |
|   | E5    | 10800H <sub>2</sub> O                     | 2               |



|                       |    |   |   |
|-----------------------|----|---|---|
| Capillary             | F1 | $16556\text{H}_2\text{O} + 2720\text{CH}_4$               | 3 |
| fluctuation of sI     | F2 | $11170\text{H}_2\text{O} + 2022 \text{CH}_4$              | 3 |
| methane hydrate       | F3 | $9464 \text{H}_2\text{O} + 1760 \text{CH}_4$              | 3 |
| Capillary             | G1 | $32\text{SDS}+16556\text{H}_2\text{O} + 2720\text{CH}_4$  | 3 |
| fluctuation of SDS/sI | G2 | $32\text{SDS}+11170\text{H}_2\text{O} + 2022 \text{CH}_4$ | 3 |
| methane hydrate       | G3 | $32\text{SDS}+9464 \text{H}_2\text{O} + 1760 \text{CH}_4$ | 3 |

There are two things should be notable—First, the initial ice structure is zero-dipole and generated based on the rule proposed by *Hayward et al.* [90] Second, the zero-dipole of sI methane hydrate structure created based on *Takeuchi. F et al.*[91]

### 3.1.1 Models for melting point of sI $\text{CH}_4$ hydrate & $\text{I}_h$

The two- phase model A1, as shown in Figure 3.1-1, consisting of a  $\text{I}_h$  solid phase and a pure  $\text{H}_2\text{O}$  phase were used in this study to observe the melting point of  $\text{I}_h$ . The solid phase contained  $6 \times 3 \times 3$  unit cells of perfect  $\text{I}_h$  hydrate and was created following the both *Hayward* rules. [90] and Bernal-Fowler ice rules [92] , and the pure  $\text{H}_2\text{O}$  zone totally composed of 864 molecules, which stayed in liquid phase under our simulation conditions. The size of this model was  $27.26 \text{ \AA} \times 23.62 \text{ \AA} \times 65.00 \text{ \AA}$  .

**Model A1**

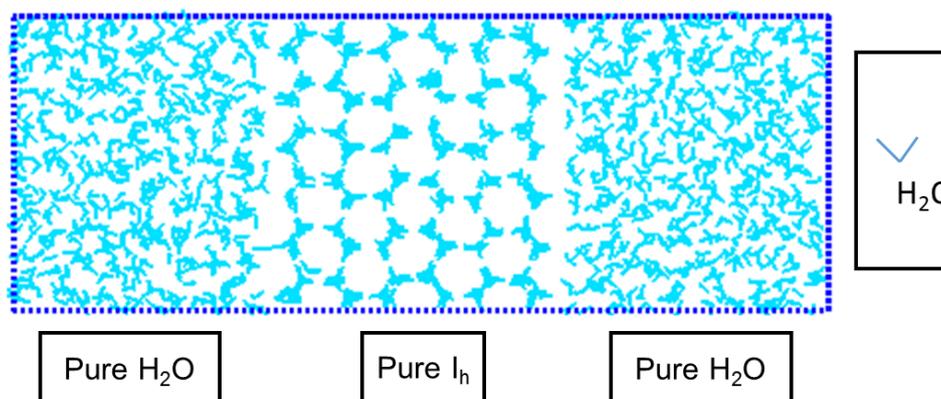


Figure 3.1-1 Models for melting point of  $\text{I}_h$  crystal.

The four- phase model A2, as shown in Figure 3.1-2, consisting of a CH<sub>4</sub> solid phase, a pure CH<sub>4</sub> phase, a pure H<sub>2</sub>O phase and a H<sub>2</sub>O/CH<sub>4</sub> mixture phase were used in this study to observe the melting point of CH<sub>4</sub> hydrate. The solid phase contained 2×2×1 unit cells of perfect sI methane hydrate and the cavities filled with 32 CH<sub>4</sub> molecules and created following the both Bernal-Fowler ice rules [92] , the pure H<sub>2</sub>O zone composed of 738 molecules, the pure CH<sub>4</sub> zone composed of 90 molecules, and the H<sub>2</sub>O/CH<sub>4</sub> mixture phase was consisted of 736 molecules and 128 molecules, respectively. The size of this model was 23.74 Å×23.74 Å×150.00 Å .

**Model A2**

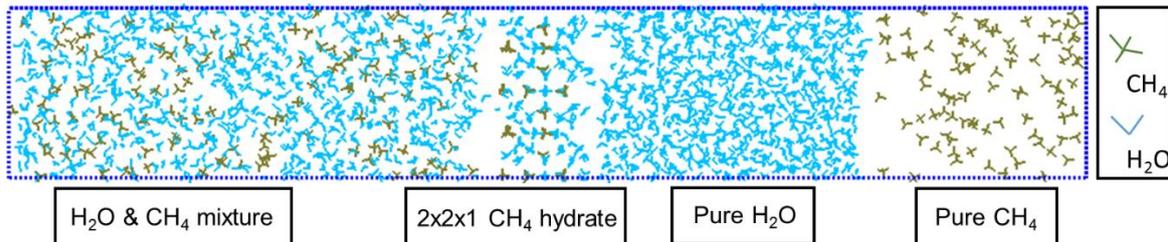


Figure 3.1-2 Models for melting point of CH<sub>4</sub> hydrate crystal.

### 3.1.2 Models for diffusivity of H<sub>2</sub>O at different melting condition

After finding out the melting point of both I<sub>h</sub> and CH<sub>4</sub> hydrate phase at specific pressure, the diffusivity of H<sub>2</sub>O molecules at the each of two melting point conditions is also needed. Calculating the diffusivity is not only can check the accuracy of force field, but also used in the calculation of dynamics property for capillary fluctuation theory.

The single- phase model B1&B2, as shown in Figure 3.1-3, consisting of a pure H<sub>2</sub>O phase, composed of 2112 molecules, was used in this study to observe the diffusivity of H<sub>2</sub>O at the melting point condition. The size of this model was 40.00 Å×40.00Å×40.00 Å .

**Model B1**

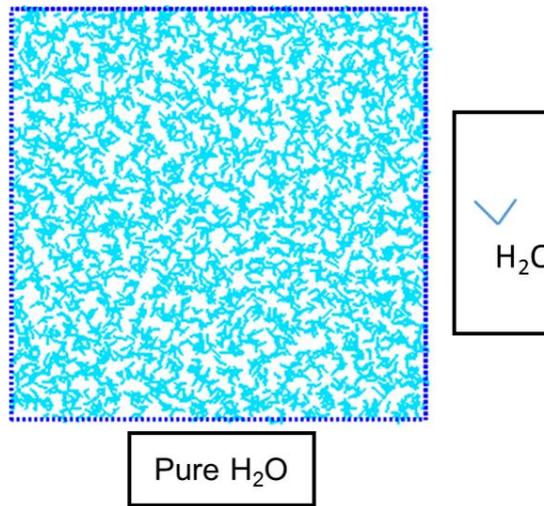


Figure 3.1-3 Models for diffusivity of fluid-like H<sub>2</sub>O at melting condition of I<sub>h</sub> and CH<sub>4</sub> hydrate

### 3.1.3 Models for heat of fusion of I<sub>h</sub> & sI methane hydrate

There is another property, heat of fusion of solid phase, can be also used in confirming the accuracy of force field. Moreover, heat of fusion of crystal is also another parameter used in the calculation of dynamics property for capillary fluctuation theory.

There are two sets of single- phase model C1/C2 & C3/C4, as shown in Figure 3.1-4 and Figure 3.1-5. C1 and C3 consisting of a pure solid I<sub>h</sub> and pure CH<sub>4</sub> hydrate crystal phase, respectively. C2 and C4 are the totally melting configuration of C1 and C3, respectively. In other words, the heat of fusion of crystal of I<sub>h</sub> and CH<sub>4</sub> hydrate can be obtained by comparing the difference of enthalpy energy of both C1/C2 and C3/C4 system, respectively. The size of C1/C2 model was 27.05 Å × 31.23 Å × 29.44 Å, and that of C3/C4 model was 23.74 Å × 23.74 Å × 23.74 Å.

### Model C1&C2

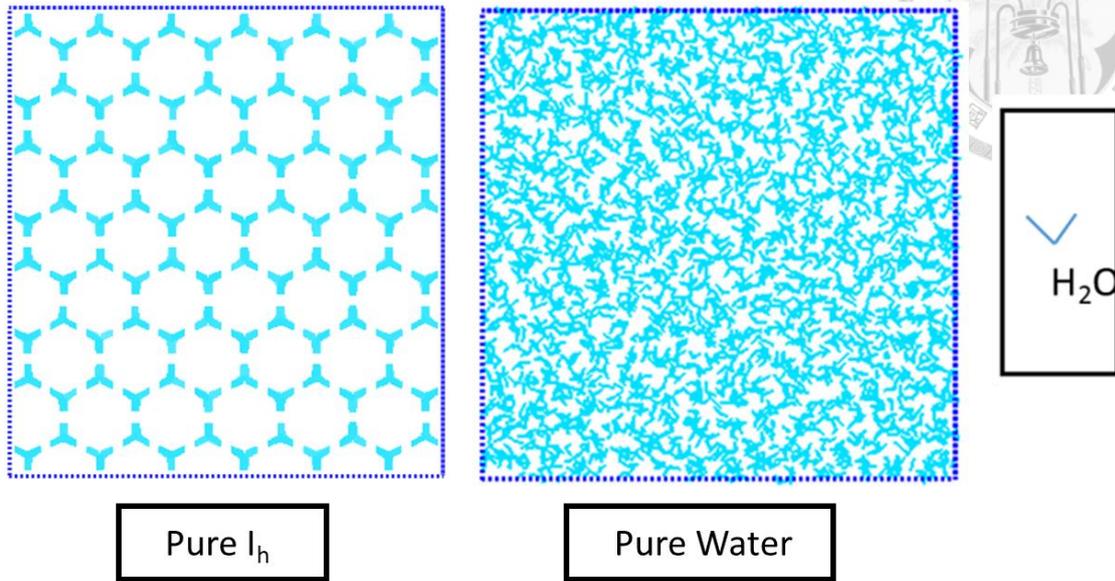


Figure 3.1-4 Models for heat of fusion of I<sub>h</sub> hydrate.

### Model C3&C4

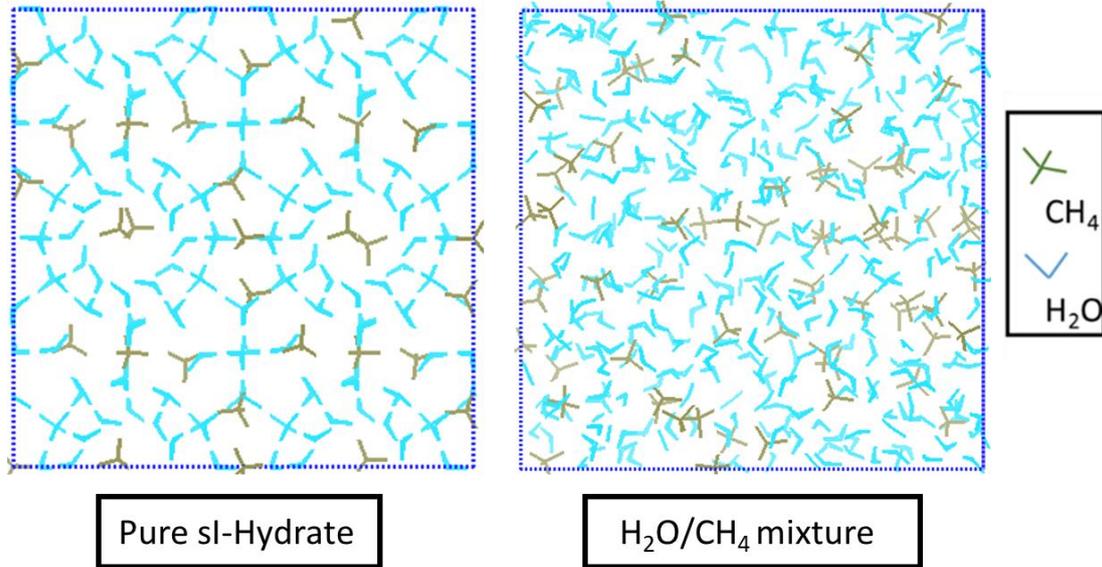


Figure 3.1-5 Models for heat of fusion of CH<sub>4</sub> hydrate.

### 3.1.4 Models for mold integration method of H<sub>2</sub>O system

The one-phase model D1 ~ D3, as shown in Figure 3.1-6, consisting of a pure H<sub>2</sub>O phase was used in this study to observe the interfacial free energy of different orientation I<sub>h</sub>/water interface. The pure H<sub>2</sub>O zone stayed in melting point condition during whole

simulation. The parameters of size and composition about this set of models are listed in Table 3.1-2.

Table 3.1-2 System size and composition for the models of mold integration

| Interface orientation | $L_x$ (Å) | $L_y$ (Å) | $L_z$ (Å) | H <sub>2</sub> O numbers | Mold numbers |
|-----------------------|-----------|-----------|-----------|--------------------------|--------------|
| pI                    | 36.37     | 50.43     | 29.63     | 1792                     | 128          |
| pII                   | 25.44     | 31.22     | 29.44     | 768                      | 128          |
| basal                 | 36.37     | 31.35     | 47.66     | 1792                     | 128          |

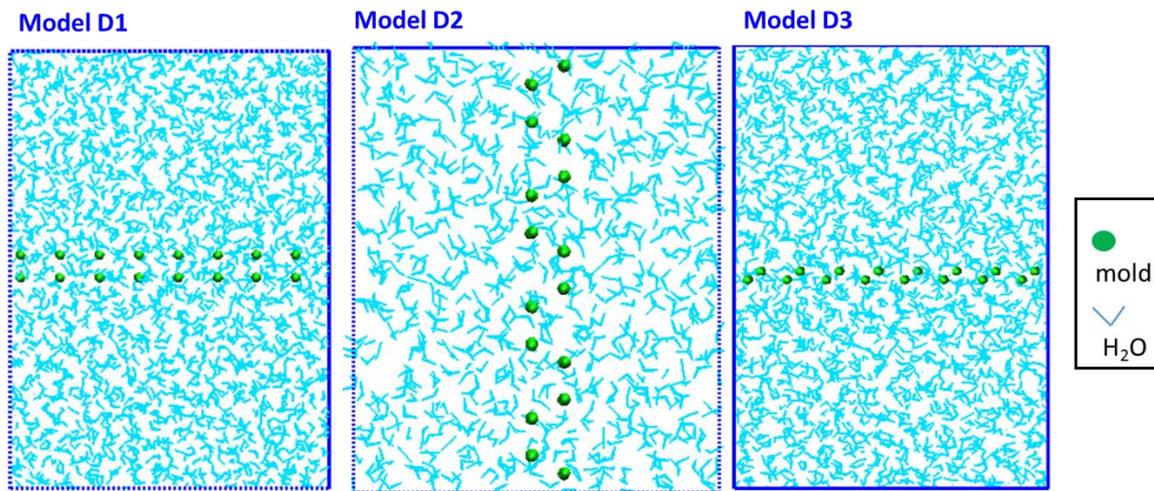


Figure 3.1-6 Models for mold integration of pI (D1), pII (D2) and basal (D3) plane of I<sub>h</sub>/water. The snapshots of all Figures are viewed from side of normal direction of interface.

### 3.1.5 Models for capillary fluctuation method of I<sub>h</sub>/water

The two-phase model E1 ~ E5, as shown in Figure 3.1-7, consisting of a pure H<sub>2</sub>O phase and pure I<sub>h</sub> phase were used in this study to observe the fluctuation of interface between fluid/solid phase, then interfacial free energy of different orientation I<sub>h</sub>/water interface can be calculated from the fluctuation data. The I<sub>h</sub>/water systems stayed in melting point

condition during whole simulation. The parameters of size and composition about this set of models are listed in Table 3.1-3.



### Model E1 ~ E5

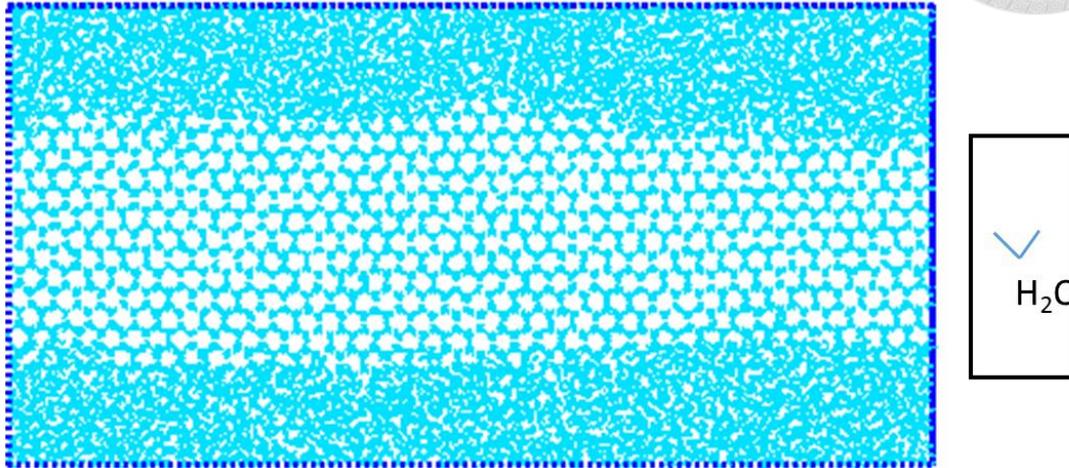


Figure 3.1-7 Models for capillary fluctuation of different orientation interface of  $I_h$ /water.

Table 3.1-3 The system size and composition for the models of capillary fluctuation for  $I_h$ /water system. The Miller index in the square bracket and parenthesis indicate the direction parallel to the direction of propagation of surface wave, and the direction parallel to the normal of the interface, respectively.

| <b>Interface orientation</b> | <b><math>L_s</math> (Å)</b> | <b><math>L_v</math> (Å)</b> | <b><math>L_n</math> (Å)</b> | <b><math>H_2O</math> numbers</b> |
|------------------------------|-----------------------------|-----------------------------|-----------------------------|----------------------------------|
| [pI](basal)                  | 18.151                      | 165.242                     | 85.805                      | 8064                             |
| [basal](pII)                 | 25.44                       | 177.755                     | 90.932                      | 9216                             |
| [pII](pI)                    | 29.587                      | 181.548                     | 90.97                       | 15360                            |
| [basal](pII)                 | 23.608                      | 177.532                     | 69.781                      | 9216                             |
| [pI](pII)                    | 22.190                      | 196.688                     | 78.776                      | 10800                            |

### 3.1.6 Models for capillary fluctuation of sI methane hydrate/water

The three-phase model F1 ~ F3, as shown in Figure 3.1-8, consisting of a pure CH<sub>4</sub> phase, H<sub>2</sub>O/CH<sub>4</sub> mixture phase and pure sI methane phase were used in this study to observe the fluctuation of interface between water/hydrate-crystal phase, then interfacial free energy of different orientation methane hydrate/water interface can be calculated from the fluctuation data. The methane hydrate/water systems stayed in melting point condition during whole simulation. The parameters of size and composition about this set of models are listed in Table 3.1-4.

#### Model F1 ~ F3

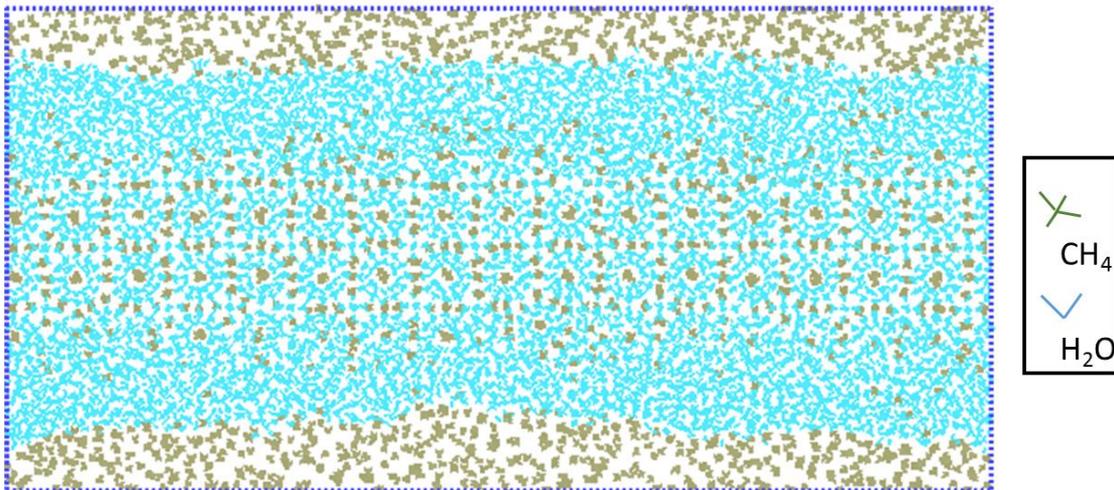


Figure 3.1-8 Models for capillary fluctuation of different orientation interface of methane hydrate/water

Table 3.1-4 The system size and composition for the models of capillary fluctuation for methane hydrate/water system.

| Interface orientation | $L_s$ (Å)  | $L_v$ (Å) | $L_n$ (Å) | $H_2O$ numbers |
|-----------------------|------------|-----------|-----------|----------------|
|                       | [110](100) | 34.00     | 170.613   | 118.326        |

|                      |        |         |         |       |
|----------------------|--------|---------|---------|-------|
| [110]( $\bar{1}10$ ) | 24.212 | 171.363 | 117.836 | 11170 |
| [010](100)           | 24.091 | 191.105 | 94.186  | 9464  |



### 3.1.7 Models for capillary fluctuation of SDS/methane hydrate/water

The three-phase model G1~G3, as shown in Figure 3.1-9, consisting of a pure CH<sub>4</sub> phase, H<sub>2</sub>O/CH<sub>4</sub> mixture phase and pure sI methane phase which covered by around 30% of full coverage of SDS on surface were used in this study to observe the fluctuation of interface between water/hydrate-crystal phase, then interfacial free energy of different orientation methane hydrate/water interface can be calculated from the fluctuation data. The SDS/methane hydrate/water systems stayed in melting point condition during whole simulation. The parameters of size and composition about this set of models are listed in Table 3.1-5.

#### Model G1~G3

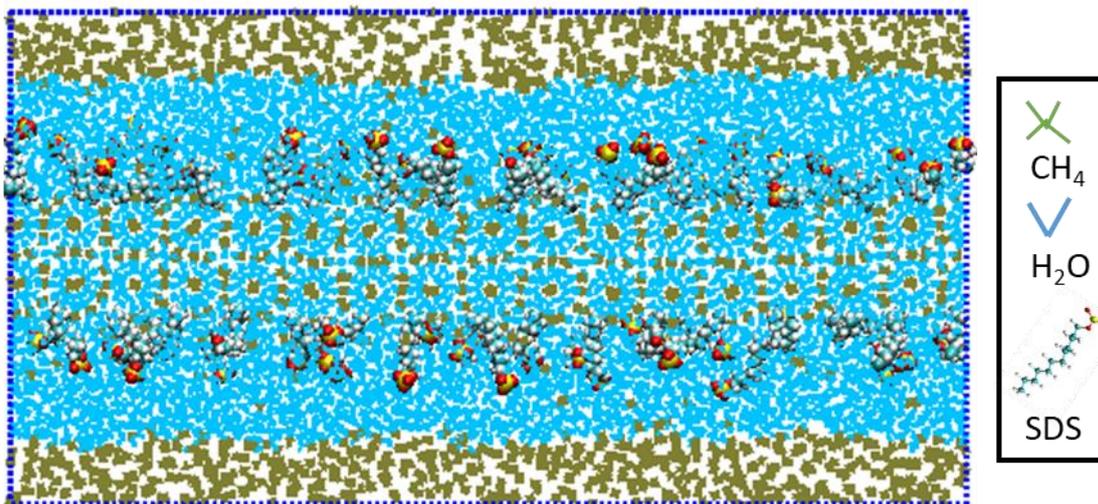
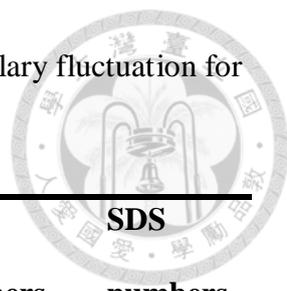


Figure 3.1-9 Models for capillary fluctuation of different orientation interface of SDS/methane hydrate/water

Table 3.1-5 The system size and composition for the models of capillary fluctuation for methane hydrate/water system.



| <b>Interface orientation</b> | <b>L<sub>s</sub> (Å)</b> | <b>L<sub>v</sub> (Å)</b> | <b>L<sub>n</sub> (Å)</b> | <b>H<sub>2</sub>O numbers</b> | <b>SDS numbers</b> |
|------------------------------|--------------------------|--------------------------|--------------------------|-------------------------------|--------------------|
| [110](100)                   | 34.00                    | 170.613                  | 134.69                   | 16556                         | 32                 |
| [110]( $\bar{1}$ 10)         | 24.212                   | 171.363                  | 135.443                  | 11170                         | 32                 |
| [010](100)                   | 24.091                   | 191.105                  | 98.945                   | 9464                          | 32                 |

### 3.2 The setting of temperature and pressure condition

Before starting the main simulation, doing the following steps can let the system shown as Figure 3.2-1. The initial structure was first energy minimized. A short, 200 ps, NVT simulation was then conducted at 200 K to relax extra-stresses in the system. The temperature was then increased to the desired value at a rate of 0.5 K ps<sup>-1</sup> using NPT simulation at desired pressure. This was followed by a long, up to 10 ns NPT simulation to approach equilibrium state. The Nose–Hoover thermostat is used to maintain the temperature of the system throughout the simulation. The Berendsen barostat was applied to the third step in order to efficiently close to target pressure, and Parrinello-Rahman barostat was applied in the fourth and fifth steps to maintain the system at equilibrium. It is notable that the leap frog algorithm was used for all ensemble, integrator time fixed at 1 fs. PME and Lennard-Jones potential energy were used to calculate the intermolecular interaction and the long-range Coulomb energy, respectively. The cut-off of PME and LJ is 0.95nm.

For different purpose, different setting of target temperature and pressure was given to each part of simulation, the detail of setting was shown as Table 3.2-1.

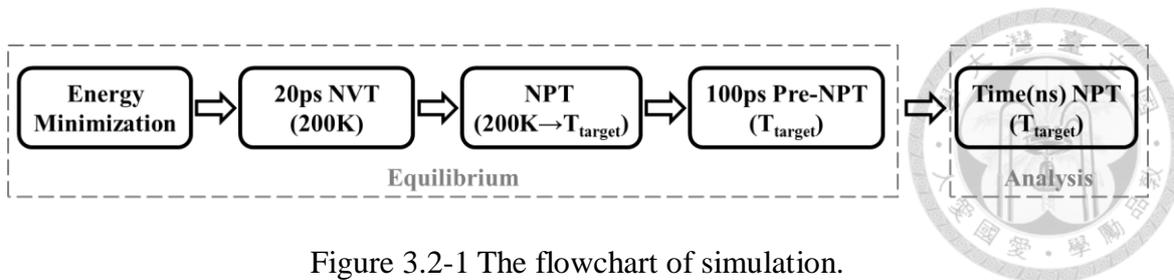


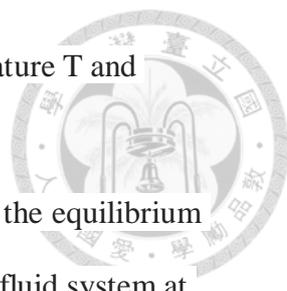
Figure 3.2-1 The flowchart of simulation.

Table 3.2-1. The composition and simulation targets of model A1~A2, B1~B2, C1~C4, D1~D3, E1~E5, F1~F3 and G1~G3

| Target of simulation                            | Model   | P<br>(bar) | T<br>(K) | Simulation   |
|---|---------|------------|----------|--------------|
|   |         |            |          | Time<br>(ns) |
| Melting point of sI                             | A1      | 1          | 268~272  | 60           |
| methane hydrate & I <sub>h</sub>                | A2      | 110        | 280~288  | 60           |
| Diffusivity of H <sub>2</sub> O                 | B1      | 1          | 270      | 10           |
|   | B2      | 110        | 285      | 10           |
| Heat of fusion for sI                           | C1 & C2 | 1          | 270      | 10           |
|   |         | 110        | 285      | 10           |
| methane hydrate & I <sub>h</sub>                | C3 & C4 | 1          | 270      | 1.5          |
|   |         | 110        | 285      | 335          |
| Mold integration of I <sub>h</sub>              | D1 ~D3  | 1          | 270      | 1.5          |
| Capillary fluctuation of I <sub>h</sub>         | E1 ~ E5 | 1          | 270      | 335          |
| Capillary fluctuation of sI                     | F1~F3   | 110        | 285      | 840          |
| methane hydrate                                 |         |            | 285      | 235          |
| Capillary fluctuation of SDS/sI methane hydrate | G1~G3   | 110        | 285      | 235          |

### 3.3 Method to generate the solid/liquid coexistence system

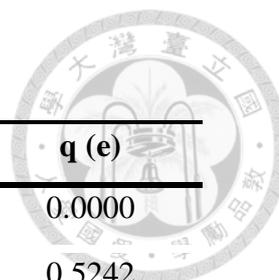
In order to get no extra-surface stress solid/liquid coexistence system, the most important is to generate no-extra surface stress crystalline. The detail of creating no extra-stress crystal are listed below:

- 
- (1) The solid phase was equilibrated at the reference melting temperature  $T$  and pressure  $P$  using the anisotropic NPT ensemble.
  - (2) The  $L_v$  and  $L_s$  of simulation box of fluid phase should be fixed at the equilibrium length of solid phase. Then, following the step (1), simulated the fluid system at reference  $T$  and  $P$  using  $NP_nT$  simulation ( $n$  indicates the normal direction of the contact plane).
  - (3) Carefully connected the equilibrium solid and fluid phase, then fixed  $L_v$ ,  $L_s$  and performed a short run of  $NP_nT$  ensemble simulation. After these three steps, the density of solid and fluid phase would equilibrium at reference  $T$  and  $P$ .

### 3.4 Force Field

TIP4P-ICE [93] four site model force field was chosen in this study to describe the molecular interactions of  $H_2O$  molecules. For TIP4P-ICE force field, water molecules were described as a rigid body, and the reported melting point of ice was 272K, with good consistency to experiment data. OPLS-AA [94] all atom force field was for  $CH_4$  molecules. Generally, the off-diagonal terms ( $\epsilon$  and  $\sigma$ ) between atoms from different molecules is gotten by geometric mean, shown as equation (9) and (10), but for some special case, we will modify the off-diagonal terms to get a better result which is much closer to the experiment data. All the force field parameters we used are listed in Table 3.4-1. The Mold molecules is a kind of user defined molecules, which is applied into the mold integration method.

Table 3.4-1 Parameters used in this work: (a) Van der Waals and Coulomb (b) modified cross term (c) bond (d) angle



(a)

| Molucule         | Atom | $\epsilon$ (kJ/mol) | $\sigma$ (Å) | $q$ (e) |
|------------------|------|---------------------|--------------|---------|
| H <sub>2</sub> O | O    | 0.680862            | 3.16437      | 0.0000  |
|                  | H    | 0.000000            | 0.00000      | 0.5242  |
|                  | M    | 0.000000            | 0.00000      | -1.1794 |
| CH <sub>4</sub>  | C    | 0.276144            | 3.50000      | -0.2400 |
|                  | H    | 0.125520            | 2.50000      | 0.0600  |
| Mold             | wp   | 0~17.958            | 0            | 0       |

(c)

| Molecule         | Atom 1 | Atom 2 | $k$ (kJ/mol/nm <sup>2</sup> ) | $l$ (Å) |
|------------------|--------|--------|-------------------------------|---------|
| H <sub>2</sub> O | O      | H      | -                             | 0.9572  |
| CH <sub>4</sub>  | C      | H      | 2786980.80                    | 1.090   |
| Mold             | wp     | -      | -                             | 1.149   |

(d)

| Molecule         | Atom 1 | Atom 2 | Atom 3 | $k$ (kJ/mol/rad <sup>2</sup> ) | $\theta$ (°) |
|------------------|--------|--------|--------|--------------------------------|--------------|
| H <sub>2</sub> O | H      | O      | H      | -                              | 104.52       |
| CH <sub>4</sub>  | H      | C      | H      | 292.88                         | 109.50       |
| Mold             | -      | wp     | -      | -                              | -            |

### 3.5 Hydrogen Bond Identification

We choose Chandler's algorithm [95] to identify the hydrogen bonds between water molecules in this work. Shown as Figure 3.5-1, there are two criteria to form a hydrogen bonding:

- (1) The distance between the oxygen atoms from donor and acceptor ( $d_{O_d-O_a}$ ) should be less than  $3.5 \text{ \AA}$ .
- (2) The angle of hydrogen from donor-oxygen from donor-oxygen from acceptor should be less than  $30$  degree.

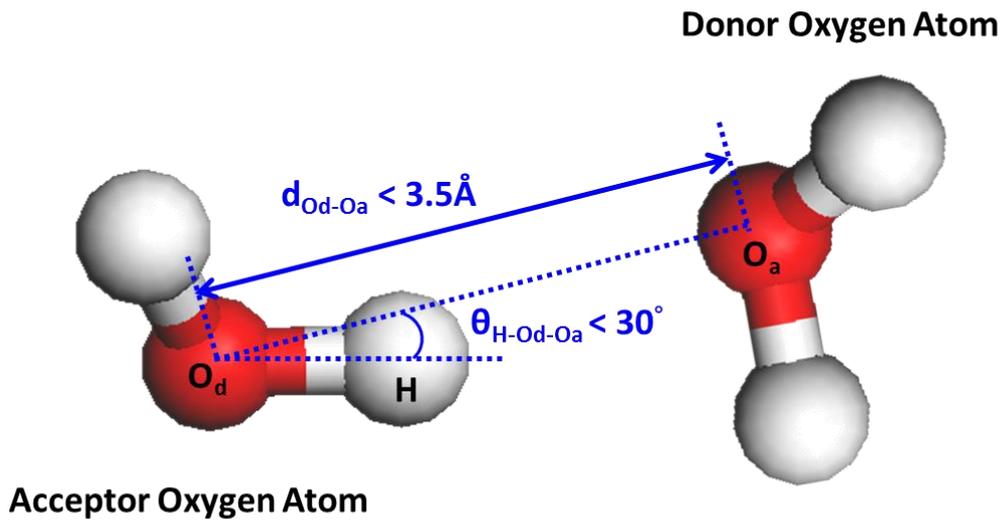


Figure 3.5-1 Two criteria of identification of hydrogen bond.

### 3.6 Position of Interface Solid/Fluid System Identification

To understand the position of interface between solid phase and fluid phase of two phase coexistence system, an order parameters has been used.

In this work, no matter the  $I_h$ /water or methane hydrate/water system, the composition of interface is  $H_2O$  molecule, so that the order parameter chosen in this case should work well in distinguishing difference between the fluid-like  $H_2O$  and solid-like  $H_2O$ . Usually, the order parameter  $\bar{q}_l(i)$ , proposed by Lechner and Dellago [96] is used to judge the solid-like or liquid-like behavior of  $H_2O$ , [31, 36, 46] however, in our knowledge, it does not be used to distinguish the hydrate solid-like. The F4 order parameter [97, 98] is used to distinguish ice, water and hydrate-like molecules, so we finally decide to use F4 to find



out the CMI position, and the steps are listed below:

- (1) We divided the system into grids of size around  $4 \text{ \AA} \times 4 \text{ \AA} \times L_n \text{ \AA}$ , shown like Figure 3.6-1.
- (2) Calculating the F4 value of every atom in the system.
- (3) Generating neighbour lists, and then recording the index of atoms in every dividing grids
- (4) For every grids, recording the information of highest atom, whose F4 value must at the solid-like region, in the normal direction of interface.
- (5) Average the high of interface obtained in step 4 along with the  $\hat{s}$  direction of interface, so that the data of  $h(v_n)$  at every discrete point,  $v_n$ , can be obtained.

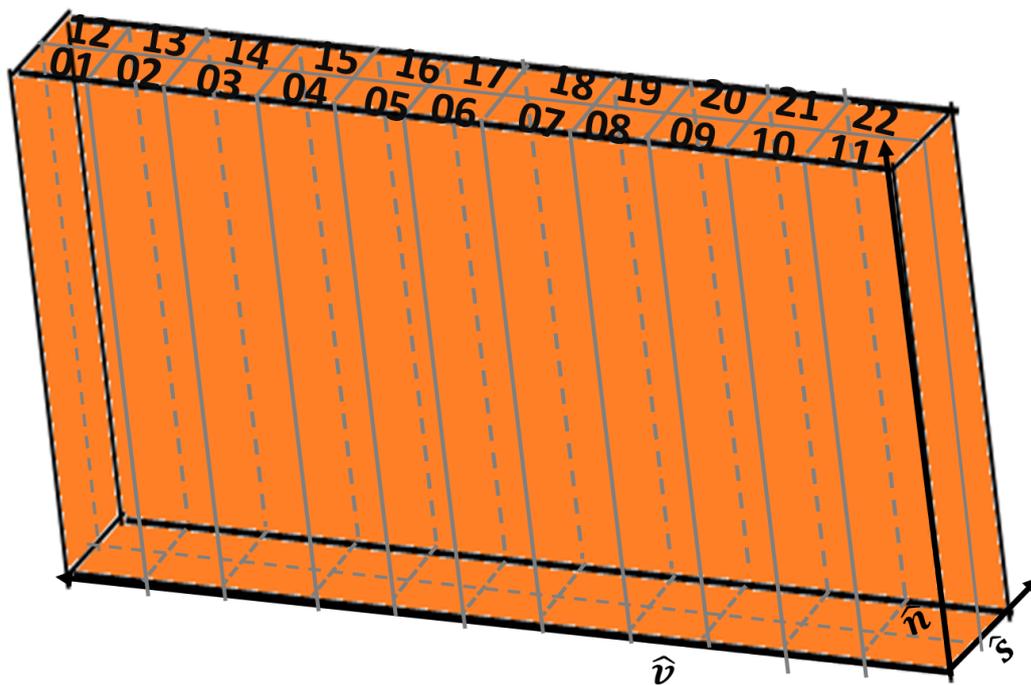


Figure 3.6-1. The schematic of interface position determination.

## Chapter 4 Results and Discussion



### 4.1 Properties of CH<sub>4</sub> hydrate and I<sub>h</sub>

In this part, model A was chosen to run NPT simulation under 1bar and 1.1 MPa, to find out the melting point of I<sub>h</sub> and methane hydrate, respectively. After finding out the melting point (see **chapter 4.1.1**), both the diffusivity of fluid-like H<sub>2</sub>O (see **chapter 4.1.1**) and fusion heat (see **chapter 4.1.1**) are calculated by model B and model C, respectively, under the melting condition that we found.

#### 4.1.1 Bulk Phase Properties of CH<sub>4</sub> hydrate and I<sub>h</sub>

In melting point part, fixing the pressure at 1bar and 11MPa for I<sub>h</sub> and CH<sub>4</sub> hydrate, respectively. Furthermore, simulating the system for different temperature in order to observe the configuration changing. The potential energy will increase when the solid crystal convert to fluid phase, in contrast, the potential energy will decrease while fluid phase change to solid phase. In other words, if the potential energy of the system is fluctuated at specific value that means the system melts and grows, the temperature of that situation is called melting point. The result of potential energy for different temperature is shown as Figure 4.1.1. Obviously, the melting point for I<sub>h</sub> and CH<sub>4</sub> hydrate are 270K and 285K, respectively. The results are consist with both other simulation value [47, 99] and experimental data. The diffusivity calculate by equation (21) at melting point of two types of crystal are listed in Table 4.1-1, the result looks reasonable comparing to the experimental data.[100] The Tip4p\_ice force-field is known for reproduce of the phase boundary between liquid water and ice, the density of both water and ice, and the heat of fusion. The self-diffusivity does not work as well as other properties, however, usually is around 40%~60% of experimental value.[101]

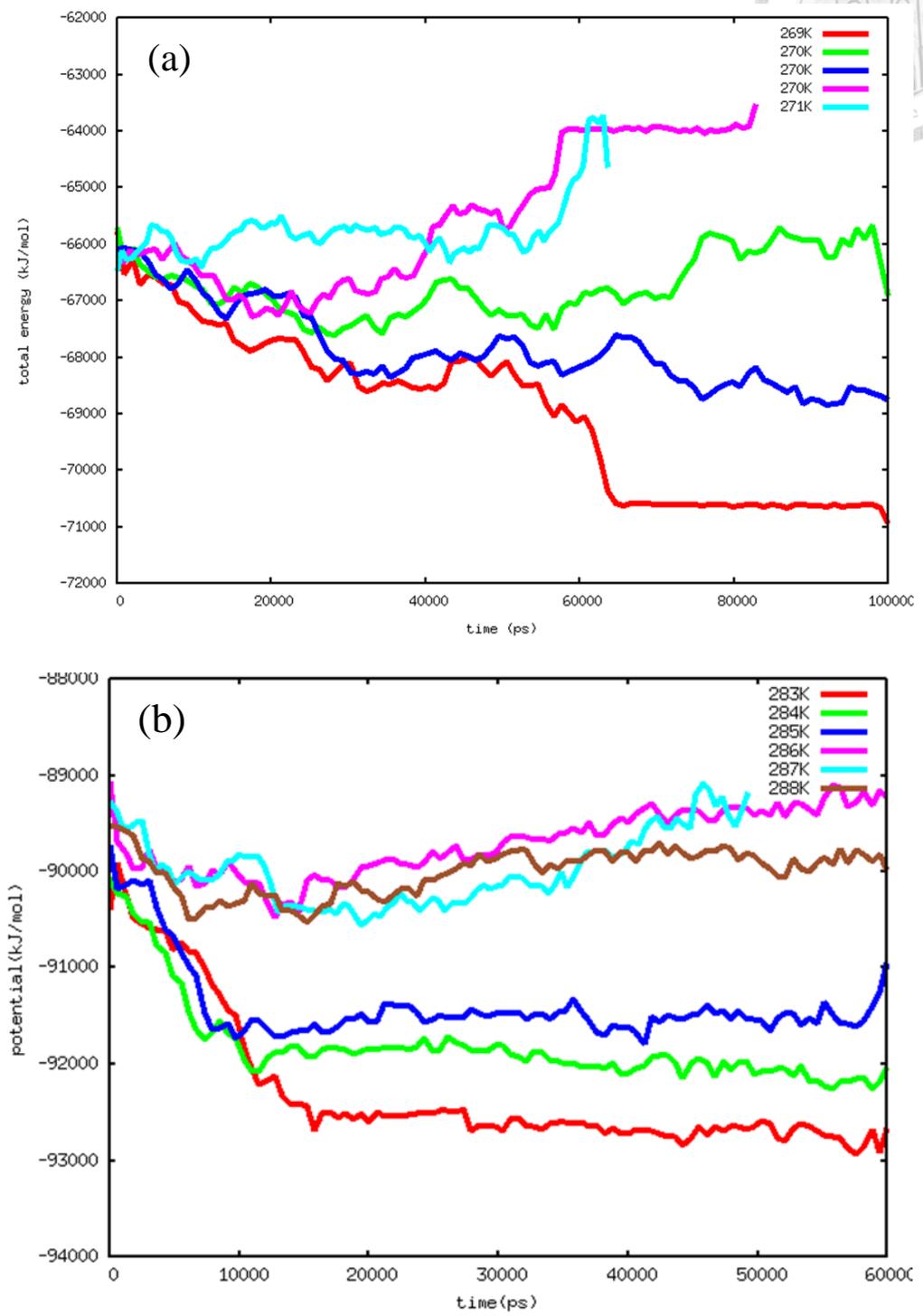
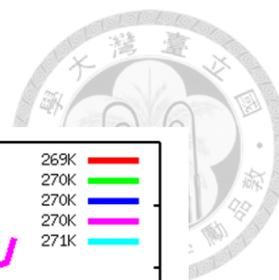


Figure 4.1-1 Potential energy of (a)  $I_h$  and (b) sI- $CH_4$  hydrate versus different temperature.

Table 4.1-1 Overall work on detecting the melting point of I<sub>h</sub> and sI CH<sub>4</sub> hydrate

|                  | P=1bar        | P=11MPa     |
|------------------|---------------|-------------|
| This work        | 270K          | 285K        |
| Ref (simulation) | 270K[99, 101] | 285K[102]   |
| Ref (experiment) | 273.15K[103]  | 286.5K[104] |

Table 4.1-2 Overall work about diffusivity (unit: cm<sup>2</sup>/s) of H<sub>2</sub>O at various melting-point condition

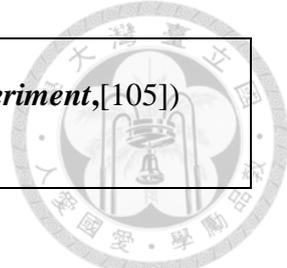
|                       | 270K/1bar | 285K           |
|-----------------------|-----------|----------------|
| This work             | 0.3994    | 0.7932 (11MPa) |
| Ref (simulation)[101] | 0.393     | 0.743 (1bar)   |
| Ref (experiment)[100] | 1.01      | 1.61 (1bar)    |

The last property needed to measure so as to calculate the dynamics property of crystal in CFT method is heat of fusion. There are two sets of systems, and each of set is contain two systems – the pure crystal phase and pure fluid mixture phase which is from the totally melting crystal phase. Comparing the equilibrium enthalpy energy difference between these two systems, the heat of fusion ( $\Delta H_m$ ) can be obtained, and listed at Table 4.1.1-2. It is consisted with the other simulation work [47] and also experimental data [103, 105].

Table 4.1-3 Fusion heat of I<sub>h</sub> and sI CH<sub>4</sub> hydrate at melting-point condition

| Fusion heat (kJ/mol) | 270K/1bar (I <sub>h</sub> ) | 285K/11MPa (hydrate) |
|----------------------|-----------------------------|----------------------|
| This work            | 1.29                        | 7.36                 |

|            |   |                                  |
|------------|---|----------------------------------|
| Other work | 1.29 ( <i>simulation</i> ,[47])<br>1.44 ( <i>experiment</i> ,[103]) | 7.73 ( <i>experiment</i> ,[105]) |
|------------|---|----------------------------------|



## 4.2 Interfacial Properties of Methane Hydrate and Water

For the purpose of studying the dynamics and thermodynamics of sI methane hydrate, the main method we used is capillary fluctuation theory.

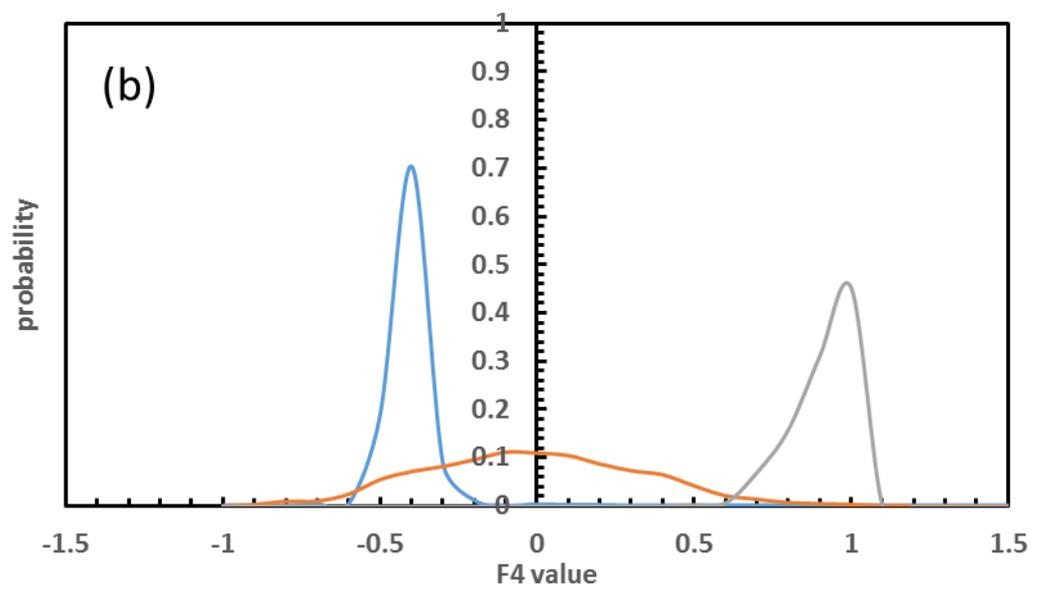
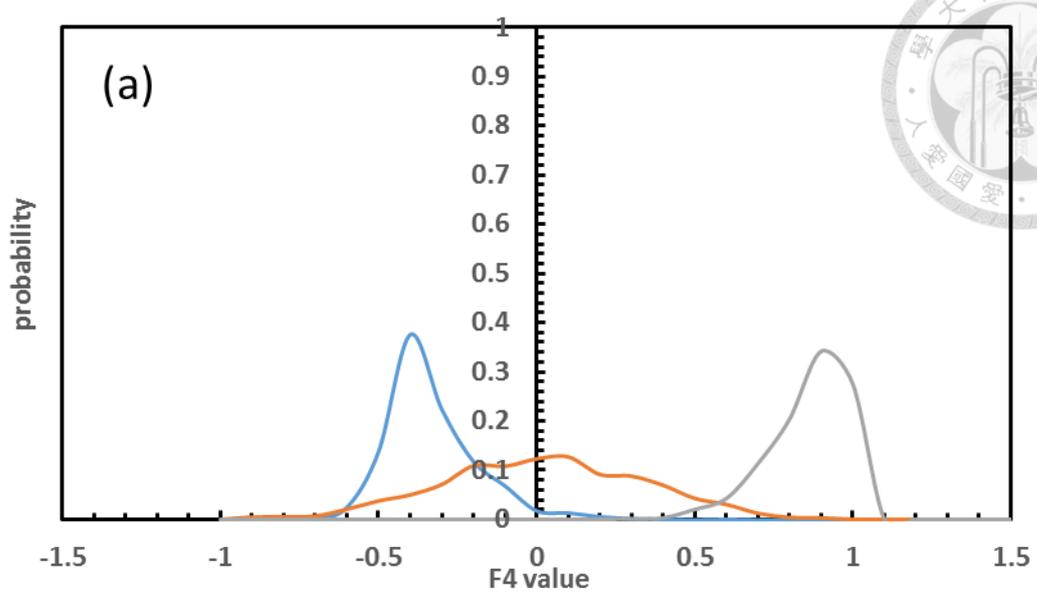
In CFT, before calculating the fluctuation property of interface, the position of interface of solid/fluid phase should be distinguished. The F4 order parameter is used in discriminating the solid-like or fluid-like of H<sub>2</sub>O (the reason was written in **chapter 3.6**). It is found that the performance is not good for F4 in describing atoms in the system without block-average of the coordinate of the atoms. In order to well differentiate solid-like and fluid-like water molecules, average of 40 steps (200ps) is chosen in this work (see **chapter 4.2.1**). However, there is one concern while using the coordinate block-average - *Does the fluctuation of wave be averaged if using block-average technique or will the block-average generate the artificial CMI?* We show that the block-average of the coordinate only influences the high-frequency wave (Rayleigh wave) and there is no influence for the low-frequency wave (capillary wave) (see **chapter 4.2.8**). Based on CFT, the interfacial free energy (see **chapter 4.2.2 and 4.2.3**) and the dynamics property (see **chapter 0 and 4.2.7**) are calculated. The results show that the effect of orientation of crystal to interfacial free energy is very small. The relaxation time of methane hydrate is slower than that of I<sub>h</sub> by 30 times, and the kinetic coefficient of methane hydrate is 21 times slower than that of I<sub>h</sub>.

To validate the results from capillary fluctuation theory (CFT), the mold integration method (MI) is also be used. We validate the capillary fluctuation theory by comparing

the interfacial free energy of CFT to MI because MI calculates the free energy from creating a new interface. The interfacial free energy by MI (see **chapter 4.2.5**) and CFT (see **chapter 4.2.2**) are almost same in the case of  $I_h$ , so that the results from CFT is reliable.

### 4.2.1 The performance of F4 order parameter

There was not any research using F4 order parameter to describe the population distribution of every each oxygen atom of whole system.[97, 98, 106, 107] In order to well distinguish the CMI position of the system, we have to carefully select the optimize value of F4, the result of F4 value of oxygen atoms distribution are shown in Figure 4.2.1(a)~(d). The average value of the F4 distribution that did not do any block-average analysis (Figure 4.2.1-(a)) for  $I_h$ , water and sI hydrate is -0.45, 0, and 0.86, respectively. These values correspond to data published, indicating that the distribution profile really reflects to the system.[97, 98, 106, 107] Figure 4.2.1 shows that if the block size below 20 (100 ps), the overlap area for  $I_h$ -water and sI hydrate-water is very large and the degree of the overlapping of  $I_h$ -water is much large than sI hydrate-water (see Figure 4.2.1-(b)). The reason we do not want to see the overlapping area is because it is hard to use F4 order parameter to differentiate the crystal and fluid-like  $H_2O$  at this condition. There will not be a critical F4 value can discriminate the difference of material. Finally, we decide to use the 40 of block size (200 ps) as our baseline of resolution. However, there is a main problem exist – *Does the fluctuation of wave be averaged if using block-average technique or will the block-average generate the artificial CMI?* We will discuss this problem in the last part, but we can spoil the answer at this time, the answer is – Block-average only affects the Rayleigh wave of the CMI, the capillary wave will not be affected by that.



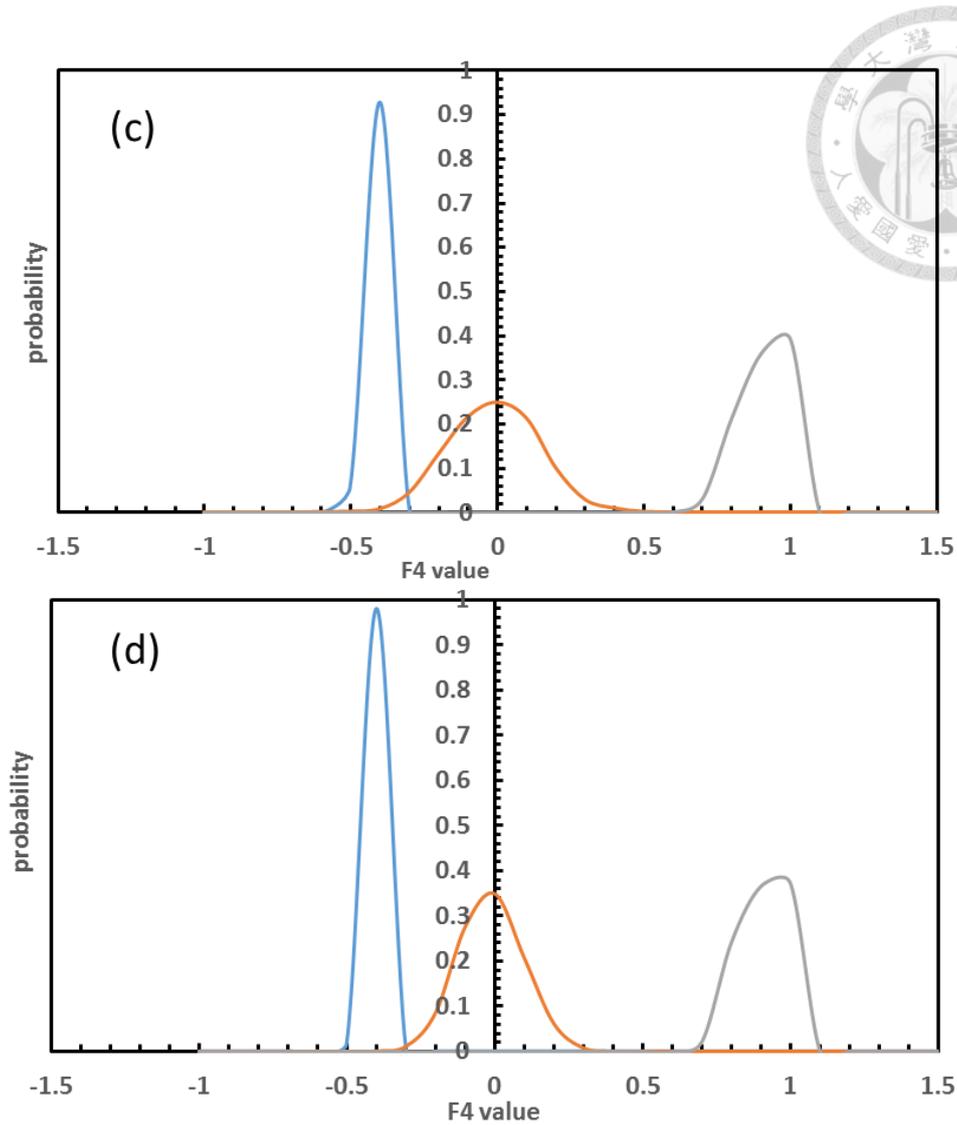


Figure 4.2-1 (a) F4 probability distribution of the system with no block-average. (b) block-average size is set as 4. (c) block-average size is set as 20. (d) block-average size is set as 40. The line colored by azure, orange and silver denote  $I_h$ , water, and sI hydrate, respectively.

#### 4.2.2 Thermodynamics properties of CMI system of $I_h$

We have already shown that using F4 to find out the location of CMI is adequate. Then we input the  $h(v_n, t)$  of each orientation system into the Fourier-transform formula, equation (36), to obtain the profile of  $|h_q|^2$ , then we average result to get  $\langle |h_q|^2 \rangle$ . For a

not smooth interface, by representing  $\ln[ \langle |h_q|^2 \rangle A / (k_B T) ]$  versus  $\ln(q)$ , according to Equation.(37), we should obtain a straight line with -2 slope and the intercept  $-\ln(\tilde{\gamma})$ . The data profiles are shown in Figure 4.2.2 for every orientation also be focused in E.Sanz *et al.*[36] The blue solid-circle in Figure 4.2.2 correspond to our simulation data and red solid-line denotes a straight fitting line with -2 slope at low-q region.

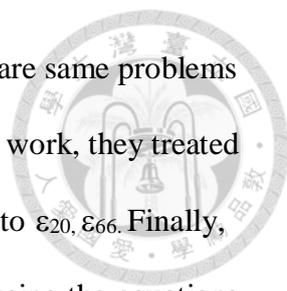
Obviously, our simulation data points in low-q region are perfectly described by equation (37). These data points allow us to calculate the reasonable  $\tilde{\gamma}$  from intercept, and the result of calculation are shown in Table 4.2-1. We only use first 4 points, determined by observing discrepancy level, in fitting for every orientation.

Once the stiffness is known for a set of different orientations, we can get the interfacial free energy by solving Equation (38). In order to solve equation (38), the relationship between  $\theta$  and normal vector direction  $\hat{n}$  should first determined. Since the point group of  $I_h$  is  $6/mmm (D_{6h})$ , the  $\theta$  and  $\hat{v}$  can be written as an expansion in terms of Spherical Harmonics [108, 109] :

$$\gamma(\theta, \phi) = \gamma_0 [1 + \varepsilon_{20} y_{20}(\theta, \phi) + \varepsilon_{40} y_{40}(\theta, \phi) + \varepsilon_{60} y_{60}(\theta, \phi) + \varepsilon_{66} y_{66}(\theta, \phi)] \quad (27)$$

where  $\gamma_0$  is the interfacial free energy averaged over all orientations,  $\theta, \phi$  are spherical coordinate defined in Figure 4.2-3 and  $\varepsilon_{lm}$  are anisotropy parameters.

The spherical  $y_{lm}(\theta, \phi)$  represent normalized real harmonics functions taking into the form shown in Table 4.2-2, and the results of applying the parameters  $\theta, \phi$  into the Table 4.2-2 are listed in Table 4.2-3. Using Table 4.2-2 and Table 4.2-3, the equation (24) could be solved for interfacial free energy. The expression of expansion of spherical harmonics for the orientation in Table 4.2-1 are listed in Table 4.2-4. The results are 3.963, 0.4297, -0.3575, 0, and infinite for  $\varepsilon_{20}, \varepsilon_{40}, \varepsilon_{60}, \varepsilon_{66}$ , and  $\gamma_0$ , respectively. Unfortunately, the equations of Table 4.2-4 are not totally linear independent, in other



words, the four anisotropy parameter and  $\gamma_0$  cannot be solved. There are same problems indicated in *ref. [109]* and *E.Sanz's work*. Therefore, in both of their work, they treated  $\varepsilon_{40}$  and  $\varepsilon_{60}$  as zero because these two factors are too small comparing to  $\varepsilon_{20}$ ,  $\varepsilon_{66}$ . Finally, we can accurately fit the remaining parameters with this strategy by using the equations listed in Table 4.2-4, and  $\varepsilon_{20} = -0.027$ ,  $\varepsilon_{66} = -0.0027$  and  $\gamma_0 = 29.24(0.91)$  mN/m. The  $\gamma_0$  value of TIP4P/Ice calculated in this method is in good agreement with both other simulation method and experiment data.[47, 65, 110] Since we also validate this simulation results by other simulation method, all simulation works including this work were sorted out in **section 4.2.5**. The interfacial energy of different orientations have also been calculated shown in Table 4.2-5, and the basal plane is the lowest energy plane, same as the experimental result.[111]

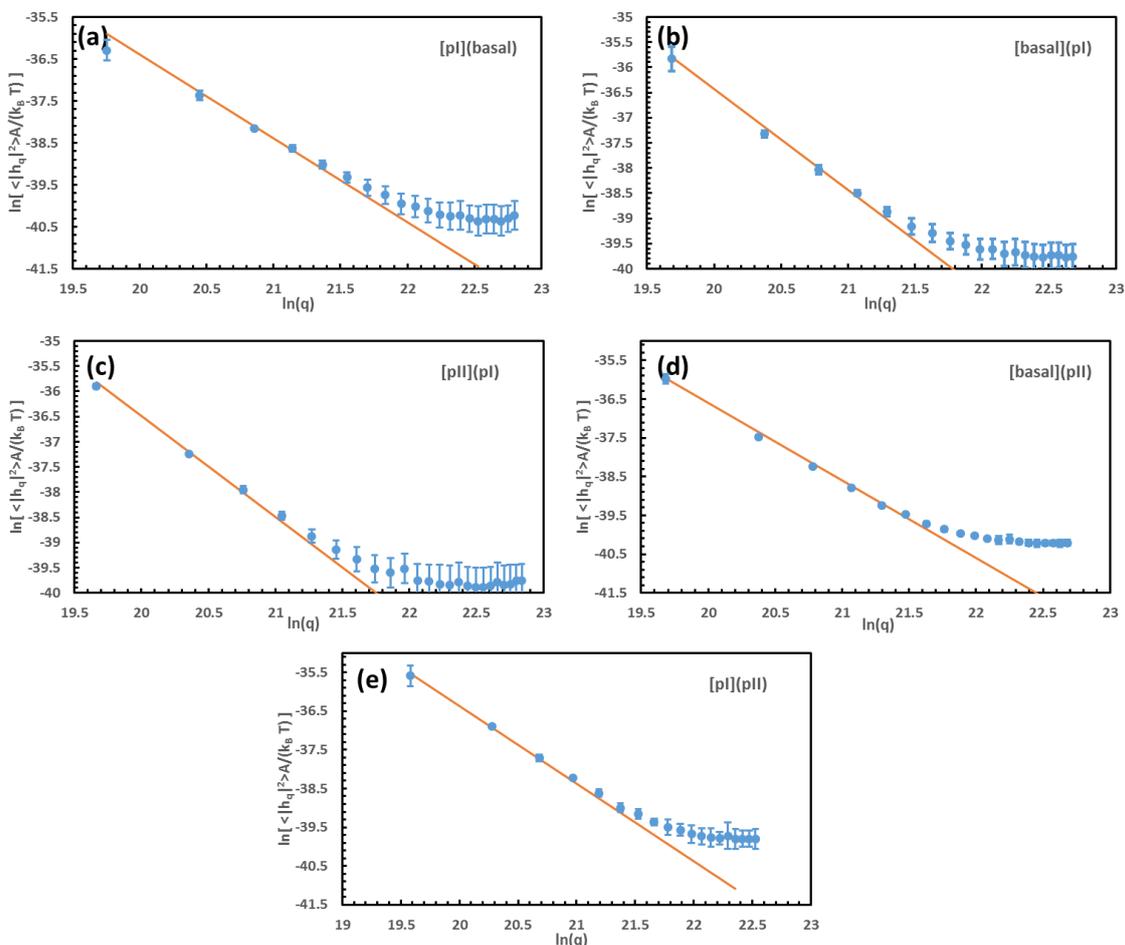


Figure 4.2-2 Plots of  $\ln[ \langle |h_q|^2 \rangle A/k_B T ]$  versus  $\ln(q)$  for all  $I_h$ /water with different orientations studies. The unit of  $\langle |h_q|^2 \rangle A/k_B T$  is used in  $m^3 \cdot N^{-1}$  and  $q$  is given in  $m^{-1}$ . The red solid-lines shown in (a) ~ (e) are linear fits with slope -2 at low- $q$  region. The intercept of the fitting is  $-\ln(\tilde{\gamma})$ , and the unit of  $\tilde{\gamma}$  calculating by intercept is N/m.

Table 4.2-1 the interfacial stiffness (unit: mN/m) of each orientation for  $I_h$ /Water.

| Orientation  | This work | E.Sanz (Tip4p/2005) |
|--------------|-----------|---------------------|
| [pI](basal)  | 28.10     | 28.04(0.43)         |
| [basal](pI)  | 26.57     | 25.30(2.45)         |
| [pII](pI)    | 30.19     | 29.01(0.15)         |
| [basal](pII) | 33.37     | 33.70(1.55)         |
| [pI](pII)    | 27.13     | 26.83(0.42)         |

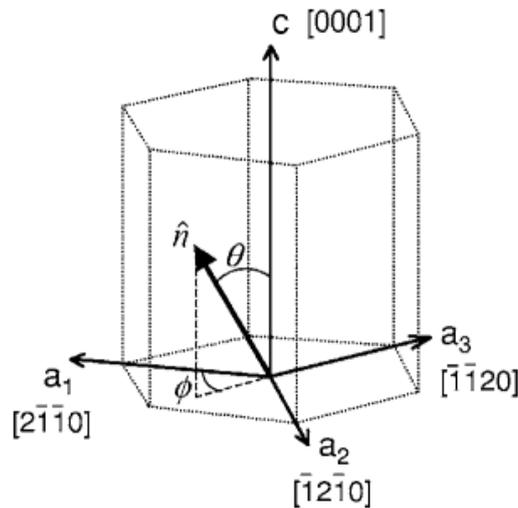
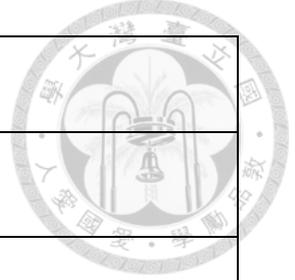


Figure 4.2-3 Coordinate system of  $I_h$ ,  $\theta, \phi$  are spherical coordinate angles used to define the orientation of normal vector direction ( $\hat{n}$ ) to CMI.[109]

Table 4.2-2 The expression of normalized spherical harmonics function [108]



|  |
|--|
| $y_{20}(\theta, \phi) = \sqrt{\frac{5}{16\pi}} [3 \cos^2(\theta) - 1]$   |
| $y_{40}(\theta, \phi) = \frac{3}{16} * \sqrt{1/\pi} [35 \cos^4(\theta) - 30 \cos^2(\theta) + 3]$                                 |
| $y_{60}(\theta, \phi) = \frac{1}{32} * \sqrt{\frac{13}{\pi}} [231 \cos^6(\theta) - 315 \cos^4(\theta) + 102 \cos^2(\theta) - 5]$ |
| $y_{66}(\theta, \phi) = \frac{1}{64} \sqrt{6006/\pi} [1 - \cos^2(\theta)]^3 \cos(6\phi)$   |

Table 4.2-3 Interfacial free energy in terms of normalized spherical harmonics for different orientations

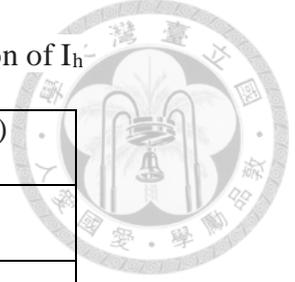
| CMI plane orientation | $\theta$ | $\phi$  | $\gamma(\hat{n})/\gamma_0$  |
|-----------------------|----------|---------|---|
| basal                 | 0        | 0       | $1 + \frac{1}{2} \sqrt{\frac{5}{\pi}} \varepsilon_{20} + \frac{3}{2} \sqrt{\frac{1}{\pi}} \varepsilon_{40} + \frac{1}{2} \sqrt{\frac{13}{\pi}} \varepsilon_{60}$  |
| pI                    | $\pi/2$  | $\pi/6$ | $1 - \frac{1}{4} \sqrt{\frac{5}{\pi}} \varepsilon_{20} + \frac{9}{16} \sqrt{\frac{1}{\pi}} \varepsilon_{40} - \frac{5}{32} \sqrt{\frac{13}{\pi}} \varepsilon_{60} - \frac{1}{4} \sqrt{\frac{6006}{\pi}} \varepsilon_{66}$ |
| pII                   | $\pi/2$  | $\pi/3$ | $1 - \frac{1}{4} \sqrt{\frac{5}{\pi}} \varepsilon_{20} + \frac{9}{16} \sqrt{\frac{1}{\pi}} \varepsilon_{40} - \frac{5}{32} \sqrt{\frac{13}{\pi}} \varepsilon_{60} + \frac{1}{4} \sqrt{\frac{6006}{\pi}} \varepsilon_{66}$ |

Table 4.2-4 Stiffness in terms of normalized spherical harmonics for different orientations

| CMI plane orientation | $\tilde{\gamma}(\hat{v}, \hat{n})/\gamma_0$  |
|-----------------------|--|
| [pI](basal)           | $1 - \sqrt{\frac{5}{\pi}} \varepsilon_{20} - \frac{27}{2} \sqrt{\frac{1}{\pi}} \varepsilon_{40} - 10 \sqrt{\frac{13}{\pi}} \varepsilon_{60}$   |
| [basal](pI)           | $1 + \frac{5}{4} \sqrt{\frac{5}{\pi}} \varepsilon_{20} - \frac{171}{16} \sqrt{\frac{1}{\pi}} \varepsilon_{40} + \frac{205}{32} \sqrt{\frac{13}{\pi}} \varepsilon_{60} + \frac{5}{64} \sqrt{\frac{6006}{\pi}} \varepsilon_{66}$ |
| [pII](pI)             | $1 - \frac{1}{4} \sqrt{\frac{5}{\pi}} \varepsilon_{20} + \frac{9}{16} \sqrt{\frac{1}{\pi}} \varepsilon_{40} - \frac{5}{32} \sqrt{\frac{13}{\pi}} \varepsilon_{60} + \frac{35}{64} \sqrt{\frac{6006}{\pi}} \varepsilon_{66}$    |
| [basal](pII)          | $1 + \frac{5}{4} \sqrt{\frac{5}{\pi}} \varepsilon_{20} - \frac{171}{16} \sqrt{\frac{1}{\pi}} \varepsilon_{40} + \frac{205}{32} \sqrt{\frac{13}{\pi}} \varepsilon_{60} - \frac{5}{64} \sqrt{\frac{6006}{\pi}} \varepsilon_{66}$ |
| [pI](pII)             | $1 - \frac{1}{4} \sqrt{\frac{5}{\pi}} \varepsilon_{20} + \frac{9}{16} \sqrt{\frac{1}{\pi}} \varepsilon_{40} - \frac{5}{32} \sqrt{\frac{13}{\pi}} \varepsilon_{60} - \frac{35}{64} \sqrt{\frac{6006}{\pi}} \varepsilon_{66}$    |

Table 4.2-5 Interfacial free energy of different orientation of  $I_h$

|       | This work (mJ/m <sup>2</sup> ) |
|-------|--------------------------------|
| Basal | 28.73(1.00)                    |
| pI    | 29.55(0.88)                    |
| pII   | 29.44(0.86)                    |



### 4.2.3 Thermodynamics properties of CMI system of CH<sub>4</sub> hydrate

Same as the part of evaluating the thermodynamics properties by representing  $\ln[ \langle |h_q|^2 \rangle A / (k_B T) ]$  versus  $\ln(q)$ , according to Equation.(37). However, the question that needs to be addressed is how many independent stiffness measurements are needed to calculate  $\gamma$  of CMI of sI hydrate crystal? The experiment data using diffraction data shows that sI hydrate crystalline is a cubic crystal and belongs to  $P_m\bar{3}n$  space group.[112] The  $\hat{x}_1, \hat{x}_2$  and  $\hat{x}_3$  are the unit vectors parallel to the [100], [010], and [001] directions, respectively, and by  $n_i$  ( $i \in [1,3]$ ) the components of the interface normal direction,  $\hat{n}$ , in the  $(x_1, x_2, x_3)$  coordinate system. The  $\hat{x}$  is parallel to the wave propagation direction, and  $\hat{y}$  is normal to CMI plane.

The spherical harmonics for cubic symmetry can be written in:[113]

$$\frac{\chi(\hat{n})}{\gamma_0} = 1 - 3\varepsilon + 4\varepsilon \sum_{n=1}^3 n_i^4 + \delta (\sum_{n=1}^3 n_i^6 + 30n_1^2 n_2^2 n_3^2) \quad (41)$$

Since the interface fluctuates in the  $(x,y)$  plane and  $\theta$  is the angle between  $\hat{n}$  and  $\hat{y}$ , the stiffness for a given choice of slab orientation  $(\hat{x}, \hat{y})$  is simply obtained by substituting the expression  $n_i = (\cos(\theta)\hat{y} + \sin(\theta)\hat{x}) \cdot \hat{x}_i$  into equation (41).

This information tells us that there are at least three different orientation of CMI systems needed if we want to get interfacial free energy from interfacial stiffness property.

According to above reason, we simulate the several CMI systems and the result are

shown in Figure 4.2-4. The blue solid-circle in Figure 4.2-4 corresponds to our simulation data and orange solid-line represents a straight fitting line with -2 slope at low-q region. The CMI simulation data points are located on -2 slope straight line. However, the data point of lowest frequency in all orientation is divergent from -2 slope, the reason is that the statistical data points is not sufficient, and it will discuss in **section 4.2.7**. According to the above reason, the first data point of all orientation is abolished in fitting, also the 2<sup>nd</sup> to 6<sup>th</sup> data point is used to fitting stiffness (the reason will discuss in **section 4.2.7**).

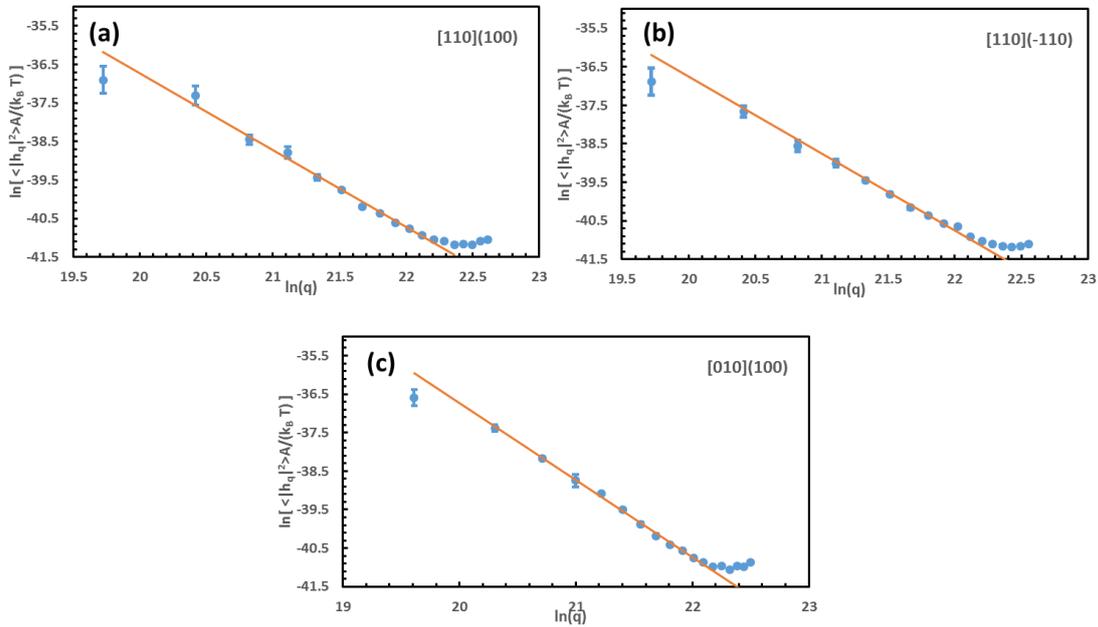


Figure 4.2-4 Plots of  $\ln[ \langle |h_q|^2 \rangle A / k_B T ]$  versus  $\ln(q)$  for all sI hydrate/water with different orientations studies. The unit of  $\langle |h_q|^2 \rangle A / k_B T$  is used in  $m^3 \cdot N^{-1}$  and  $q$  is given in  $m^{-1}$ . The orange solid-lines shown in (a) ~ (c) are linear fits with slope -2 at low-q region. The intercept of the fitting is  $-\ln(\tilde{\gamma})$ .

Once the stiffness is known, we can solve the interfacial free energy by equation (41). The parameters are recorded in Table 4.2-6. The result of parameters are  $\gamma_0 = 34.60(0.6) \text{ mJ/m}^2$ ,  $\varepsilon = -0.0089$  and  $\delta = -0.005$ . The interfacial free energy is in good

agreement for both other simulation data and experiment data [67-69], listed in Table 4.2-7. The anisotropy parameter is very small, and it indicates that the effect of orientation for sI hydrate crystal to interfacial free energy can be neglected.

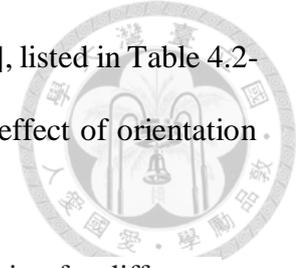


Table 4.2-6 The stiffness and expression of cubic harmonics function for different orientations

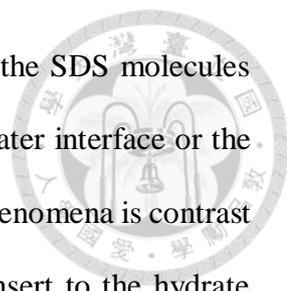
| Orientation          | $(\gamma+\gamma'')/\gamma_0$       | Stiffness (mJ./m <sup>2</sup> ) |
|----------------------|------------------------------------|---------------------------------|
| [110](100)           | $1 + 9\varepsilon + 10\delta$      | 36.22(0.58)                     |
| [110]( $\bar{1}10$ ) | $1 + 15\varepsilon + (25/4)\delta$ | 34.05 (0.36)                    |
| [010](100)           | $1 - 15\varepsilon - 5\delta$      | 35.69(0.87)                     |

Table 4.2-7 Comparison of interfacial free energy of methane hydrate and water with experiment

| Source                    | Type              | Condition   | Method                | $\gamma$ (mJ./m <sup>2</sup> ) |
|---------------------------|-------------------|-------------|-----------------------|--------------------------------|
| <i>L.C. Jacobson</i> [68] | <b>simulation</b> | 500bar/270K | G-T equation          | 36(2)                          |
| <i>B.C. Knott</i> [69]    | <b>simulation</b> | 900bar/273K | CNT                   | 31                             |
| <i>R. Anderson</i> [67]   | <b>experiment</b> | 270K~286K   | G-T melting condition | 32(3)                          |
| <i>T. Uchido</i> [67]     | <b>experiment</b> | 270K~286K   | G-T melting condition | 34(6)                          |
| <i>This work</i>          | <b>simulation</b> | 110bar/285K | CFT                   | <b>34.60(0.6)</b>              |

#### 4.2.4 Thermodynamics properties of CMI system with SDS of CH<sub>4</sub> hydrate

In this part, the detail of orientation and cubic harmonics are as same as those of



**section 4.2.3.** However, in this case, the problems we faced is that the SDS molecules could not adsorb on the hydrate interface then leaving to the CH<sub>4</sub>/water interface or the hydrophobic tails of SDS aggregate together forming micelle. This phenomena is contrast to other simulation works which shows that the hydrophobic tail insert to the hydrate surface, the adsorption free energy is lowest and the most stable.[114, 115] Even we have tried that removing the CH<sub>4</sub> layer, the problems still exist. If the SDS could not adhere on the interface when the system approach to the equilibrium state, the results of CFT method are meaningless.

But even so, we still did several independent run of this kind of system though existing there problems, the results are shown as Figure 4.2-5. Apparently, the data point in the low frequency region is divergent between every independent run, this is reasonable because the SDS was detaching from the CMI during the simulation and the detaching-sequence of this process is random. Also, we have tried to add the potential field at the C12, which is the last carbon atom far from the hydrophilic head group of SDS, fixing it at the position of hydrate surface, however, this manner causes the interface behavior be artificial, so it does not observe the CFT ( see red points in Figure 4.2-5).

It is a coincidence though several points of *run 1* in the middle frequency region are located at the -2 slope, and the result of fitting stiffness reduce from 35.69 to 29.7 mN/m, According to CNT, the nucleation rate accelerates almost 100 times.

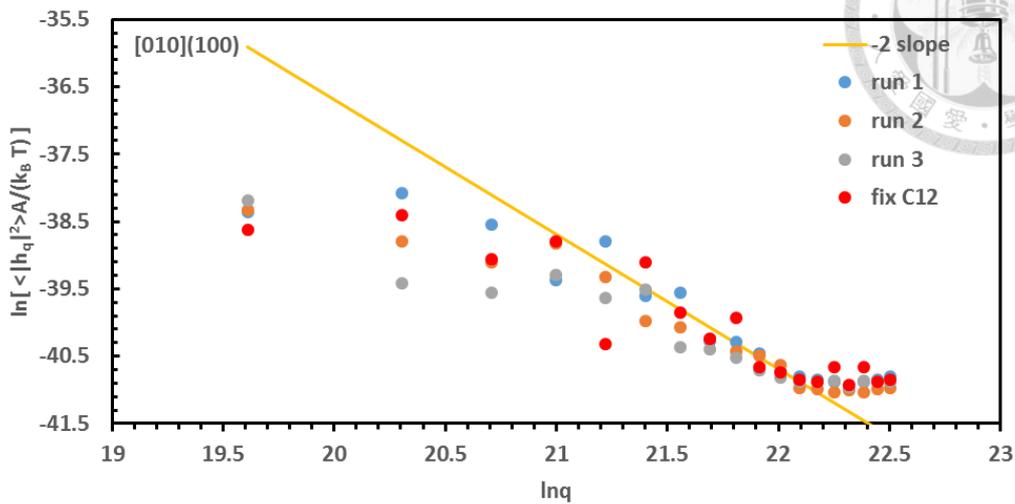


Figure 4.2-5  $\ln[ \langle |h_q|^2 \rangle A / k_B T ]$  versus  $\ln(q)$  for [010](100) sI hydrate/water case. The -2 slope is fitted by the data point at the middle frequency region of run 1.

#### 4.2.5 The validation by Mold Integration method

The first step is to find out the optimal well radius,  $r_w^0$  (that for the right  $\gamma$  is obtained). This can be done by performing several simulations and judging the behavior of the system. If  $r_w \leq r_w^0$ , an ice slab will grow in all trajectories with no induction period indicated that there is no free energy barrier for the formation of the crystal. If  $r_w > r_w^0$ , a barrier must be overcome, which is reflected in some trajectories with having an induction time before the slab grows or even no slab formation at all if  $r_w$  is too large. To know the growth of a crystal slab occurring or not, we monitor the F4 for whole system, the F4 value of the system are -0.45 and 0, if the crystal slab forming or not, respectively, shown as Figure 4.2.5. We find  $r_w^0 = 0.98 \text{ \AA}$ , which corresponds with the outcome studied by *J.R.Espinosa et.al.*[47] So we directly used the results of *ref.*[47] for the  $r_w^0$  of other different plane, as shown Table 4.2-8.

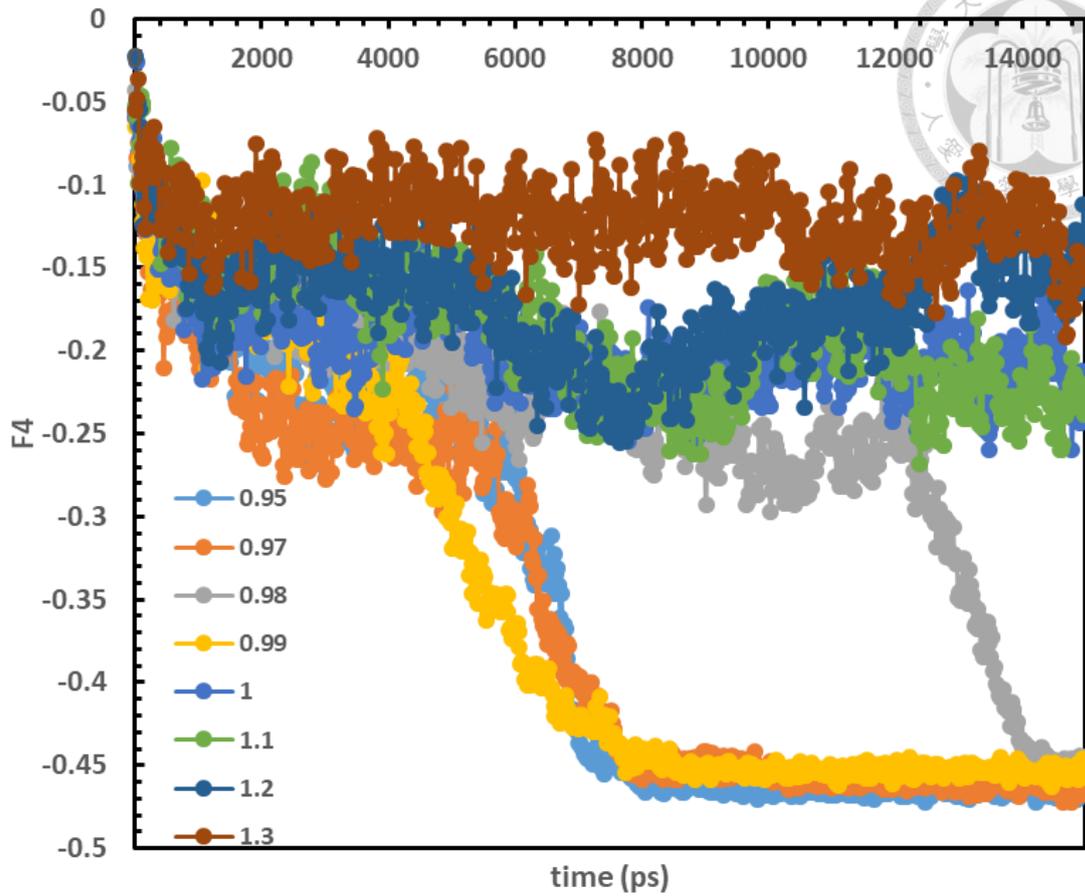


Figure 4.2-6 The F4 of the system at different  $r_w$  conditions.

Table 4.2-8 Summarize the  $r_w^o$  and interfacial energy of basal, pI, and pII plane.

| Orientation | $r_w^o$ | $\gamma$ (mJ./m <sup>2</sup> ) |
|-------------|---------|--------------------------------|
| Basal       | 0.83    | 26.9                           |
| pI          | 0.77    | 31.3                           |
| pII         | 0.98    | 30.6                           |

Table 4.2-9 Comparing the interfacial energy of basal, pI, and pII plane by MI and CFT in this work.

| Orientation | $r_w^o$ | $\gamma$ (mJ./m <sup>2</sup> ) |
|-------------|---------|--------------------------------|
| Basal       | 26.9    | 28.9                           |

|     |      |      |
|-----|------|------|
| pI  | 31.3 | 29.3 |
| pII | 30.6 | 29.1 |

Once we get the  $r_w^0$ , the interfacial free energy could be obtained by calculating the  $\gamma$  for  $r_w > r_w^0$  condition using thermodynamics integration, and then extrapolating the  $\gamma$  to the  $r_w^0$ . However, there is one problem needed to consider – ***What is the proper  $\epsilon_m$  in thermodynamics integration?*** In the thermodynamics integration, the free energy difference is calculated from state A to state B. In this work, the state A indicates there is no interaction between the mold and oxygen atom, and state B symbolize the specific orientation plane of  $I_h$  fully be generated, however, but we do not know what is the suitable non-bonding interaction should be measured between the mold and oxygen. If the interaction force is too small, the ice layer will not be created. According to the above reasons, we adjust a set of different parameter of interaction force and detect the relationship between that and the numbers of filled mold in order to find out the suitable  $\epsilon$  of final state B, shown as Figure 4.2.6. Obviously, when the  $\epsilon$  is larger than  $6k_B T$ , the filled number of wells do not change, it indicates that the magnitude of  $\epsilon$  required to well describe the ice layer created in the liquid phase is at least  $6k_B T$ , in this work, we chose  $\epsilon = 8k_B T$  as final state. Based on the equation (34), the interfacial free energy can be determined from integrating the area under the line of Figure 4.2-7. We also show that the result of the relationship between  $\epsilon$  and the numbers of filled mold will not be influenced by initial configuration, in other words, the numbers of  $H_2O$  molecules fill in molds initially is not relevant to the results. The results of  $\gamma$  at  $r_w > r_w^0$  are shown in Figure 4.2-8, and  $\gamma$  at  $r_w^0$  for pI, pII and basal are 26.9, 31.3 and 30.6  $mJ/m^2$ , respectively. These results are in the agreement of other simulation works, listed systematically of overall

results including other works in Table 4.2-9 Table 4.2-10. Besides, existing little influence of the number of mold to results is verified, shown as Figure 4.2-9. The result is in our expectation, because the perturbation of system is more obvious on fewer sample points system than numerous one, however, there is only 3% error between small and larger system. Finally, according to results of  $\gamma$  from MI consisting with that from CFT shows that results of CFT is reliable.

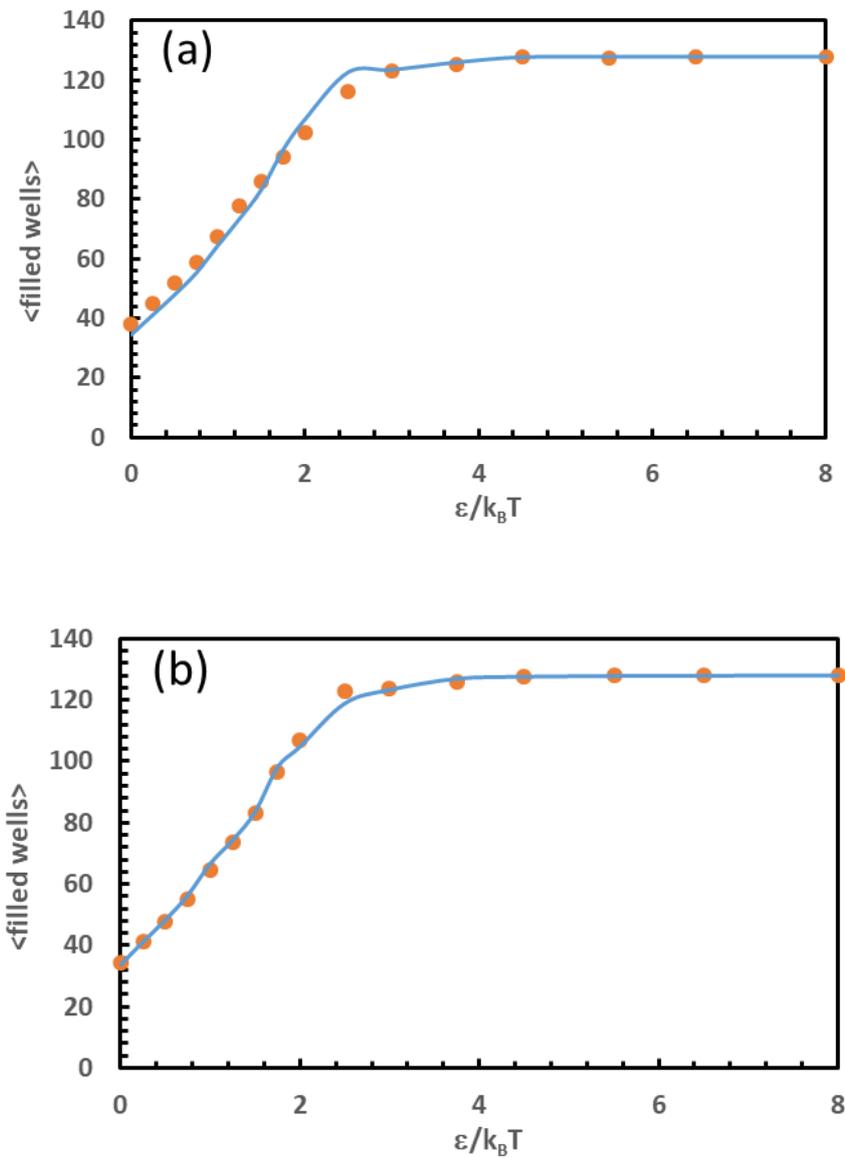


Figure 4.2-7 Average number of filled wells versus well depth ( $\epsilon/k_B T$ ) for the pII plane of the TIP4P/Ice model. The radius of the mold wells is (a)  $r_w=1.30 \text{ \AA}$  and (b)  $1.25 \text{ \AA}$ .

Orange circles (blue line) correspond to simulations starting from an equilibrated configuration with the mold switched on (off).

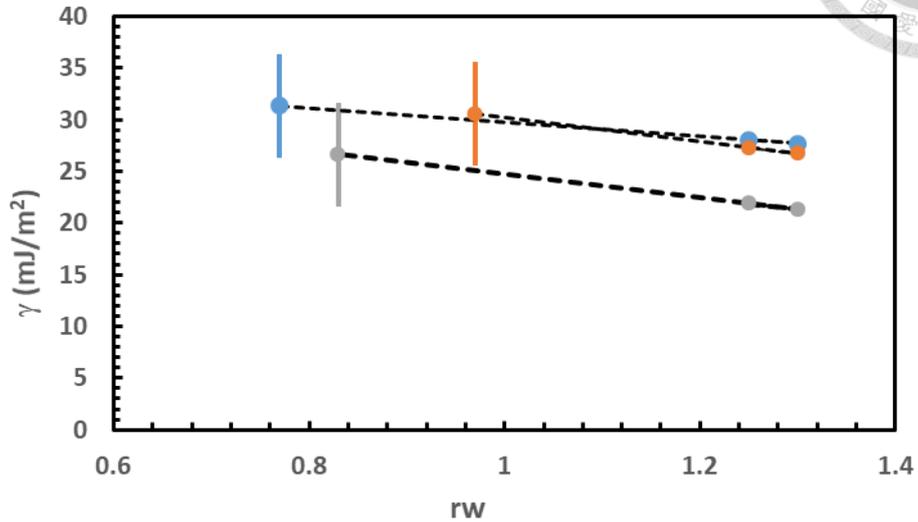
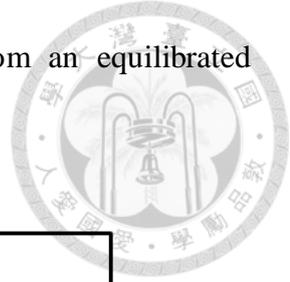


Figure 4.2-8 Filled symbols: interfacial free energy for different values of the well radius and crystal orientations of  $I_h$ . Orange, blue, and gray denote pII, pI and basal, respectively. Dash lines indicate the linear fits to filled symbols. Points with vertical line indicate  $r_w^0$ .

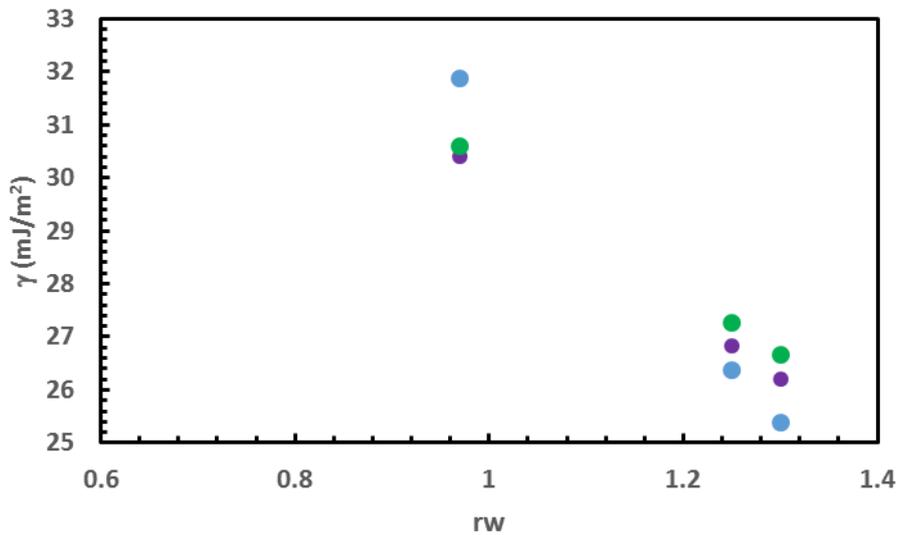


Figure 4.2-9 Filled symbols: interfacial free energy for different values of the well radius of pII plane of  $I_h$ . Blue, purple, and green denote 32, 64 and 128 numbers of mold, respectively.

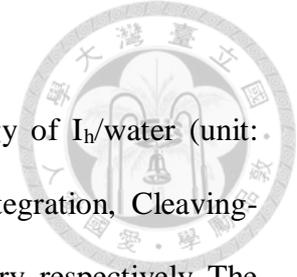


Table 4.2-10 Overall simulation work about interfacial free energy of  $I_h$ /water (unit: mN/m). MI, CMS, CNT, and CFT are corresponded to Mold-integration, Cleaving-methods, Classical-nucleation theory, and Capillary-fluctuation theory, respectively. The value of interfacial tension of MI, CMs, CNT, and CFT is obtained from ref. [31, 43, 45, 47], respectively. The \* mark denotes the result verified by this work.

| Method            | MI                     |                        |                        | CMs   |      |      | CNT  | CFT          |              |              |
|-------------------|------------------------|------------------------|------------------------|-------|------|------|------|--------------|--------------|--------------|
| Orientation       | Basal                  | pI                     | pII                    | Basal | pI   | pII  | –    | Basal        | pI           | pII          |
| <b>Tip4P/Ice</b>  | <b>26.9*</b> 、<br>27.2 | <b>31.3*</b> 、<br>31.6 | <b>30.6*</b> 、<br>30.7 |       |      |      | 28.7 | <b>28.7*</b> | <b>29.6*</b> | <b>29.4*</b> |
| <b>Tip4P/2005</b> | 27.2                   | 29.5                   | 30.0                   |       |      |      | 28.7 | 27           | 28           | 28           |
| <b>Tip4P</b>      | 25.5                   | 28.2                   | 28.0                   | 24.5  | 27.6 | 27.5 |      |              |              |              |
| <b>mW</b>         | 34.5                   | 35.1                   | 35.2                   |       |      |      |      |              |              |              |

#### 4.2.6 Dynamics properties of CMI system of $I_h$

For each CMI orientation system of  $I_h$  described in Table 4.2-1, we evaluate  $f_q(t)$  by equation (42) for several values of  $q$ . Some of these autocorrelation functions are shown in Figure 4.2-10. The correlation functions decay from 1 to 0 as the wave-modes relax, and the relaxation for a given interface is the slower of the smaller wave frequency  $q$ . The result of  $f_q(t)$  is consistent with the previous simulation studies of CMI, where the decay of correlation functions were found to be pure monotonous exponential decay.[31, 34, 35] Furthermore, the wave can be categorized to Rayleigh wave and Kelvin wave, the latter is high frequency behavior caused by elastic movement, not same as capillary wave. Therefore, the described dynamics functions should not be a single exponential function but a double exponential:[31]

$$f_q(t) = Ae^{\frac{-t}{\tau_{ds}}} + (1 - A)e^{\frac{-t}{\tau_{df}}} \quad (42)$$

where A is fraction of contribution for the slow relaxation behavior,  $\tau_{ds}$  is a characteristic time for slow relaxation process (low frequency behavior) and  $\tau_{df}$  is a characteristic time for fast relaxation process (high frequency behavior), and these three are the fitting parameters.

The parameter  $A \in [0: 1]$  in equation (27) quantifies the weight of slow relaxation behavior of CMI wave. When A is close to 1, the decay of  $f_q(t)$  is dominated by the slow relaxation process. However, there are infinite sets of solution for equation (42) if there is not constrain. In order to obtain the parameters with reasonable physical meaning, we assume:

$$f_q(t) \approx A \exp\left(-\frac{t}{\tau_{ds}}\right) \quad (43)$$

According to the relaxation time of slow process usually is an order larger than fast process, we make an assumption that the fast relaxation process can be neglected when getting the initial guess for slow relaxation characteristic time parameter, so that we take the derivative of equation (43):

$$\frac{d \ln(f_q(t))}{dt} = -\frac{1}{\tau_{ds}} \quad (44)$$

Using the equation (44), we plotted the  $d \ln(f_q(t^*))/dt^*$  versus  $t^*$ , shown in Figure 4.2.10, then  $\tau_{ds}$  is obtained.

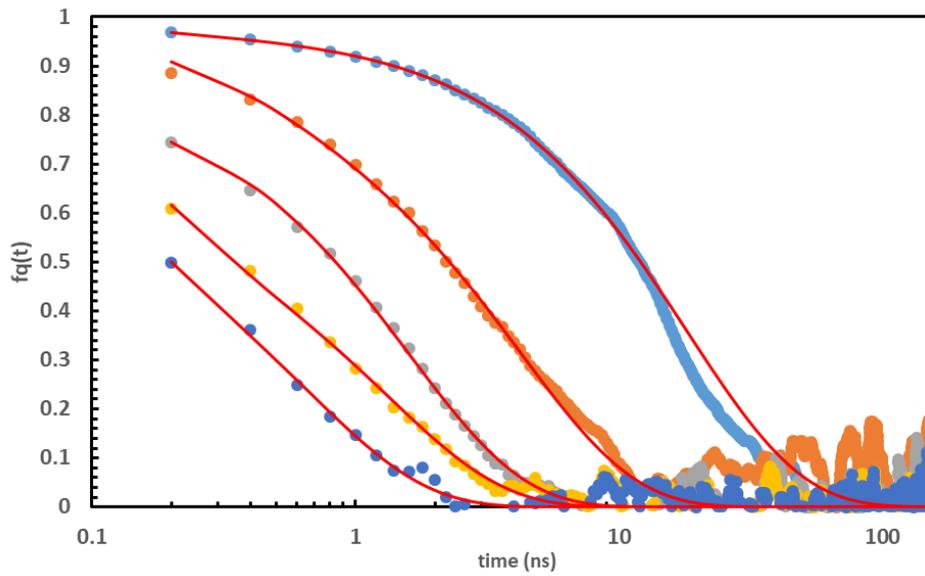


Figure 4.2-10 Autocorrelation functions for the water [pII](pI). The color of azure, orange, silver, yellow, and blue denote  $k=1$ ,  $k=2$ ,  $k=3$ ,  $k=4$ , and  $k=5$ , respectively. Red line represents fitting result (for mode less than 5).

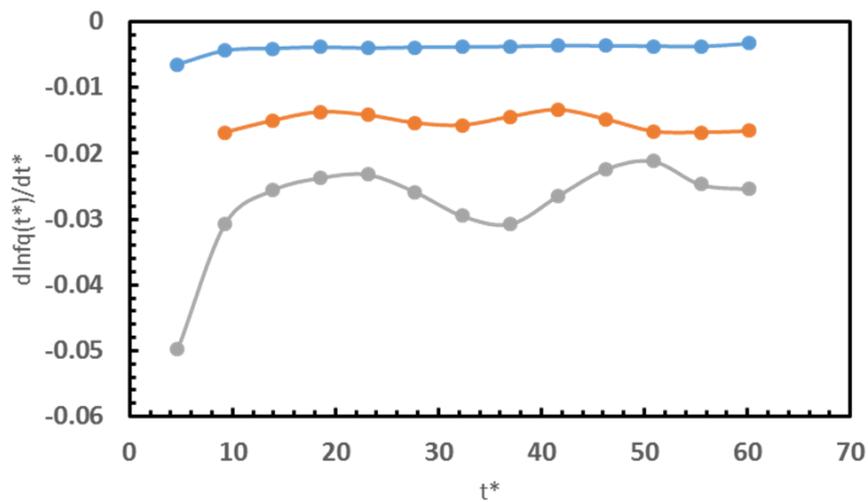


Figure 4.2-11 Representation of  $d \ln(f_q(t)/dt)$  vs  $t$  for  $I_h$  [pI](pII). The color of azure, orange, and silver denote  $k=1$ ,  $k=2$ , and  $k=3$ , respectively.

In Figure 4.2-12, we plot  $A$  as a function of dimensionless  $q$  for several orientations

of water/ice system. In this orientation,  $A$  is close to 1 for small frequency mode and decrease as  $q$  increase. This phenomenon corresponds to the Rayleigh and Kelvin wave theory, when  $q$  is larger, the wave behavior is more dominated by fast relaxation process.

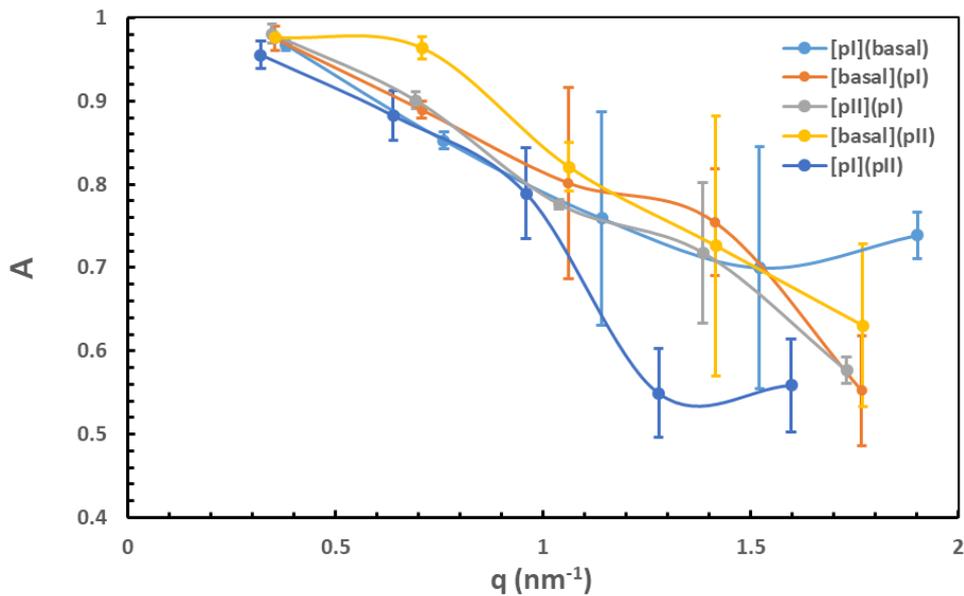
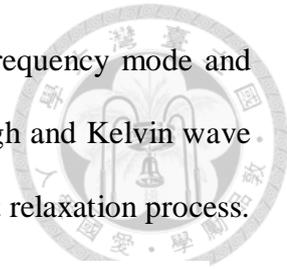
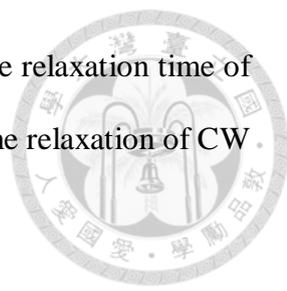


Figure 4.2-12 Prefactor for the double exponential fit as function of  $q$  for water [pII](pI).

We elucidate the nature for both slow and fast relaxation behavior by discussing the relationship between  $\tau_{ds}$  and  $q$ ,  $\tau_{df}$  and  $q$ , respectively, and the results are shown in Figure 4.2.12. In Figure 4.2.12-(a), we plot  $\tau_{ds}^*$  against dimensionless  $q$ . We plot a dash line with -2 slope in the double logarithmic coordinate. Obviously, the relation between  $\tau_{ds}^*$ , from double exponential fitting this work, and  $q$  is perfectly consisted with Karma's theory. However, the fast relaxation process shown in Figure 4.2-13-(b), there is no any evident relation between  $\tau_{df}^*$  and  $q$ , and it appoint out using capillary wave theory to describe the high frequency behavior is not reasonable though the theory can accurately analyze the low frequency behavior (CW). Not only the Figure 4.2-13-(b) but also Figure 4.2-2 can support our conclusion, at high frequency region, the data point is divergent from the -2



slope. Comparing the relaxation time of Figure 4.2-13 (a) and (b), the relaxation time of slow process is two order less than fast process, and this prove that the relaxation of CW is much longer than Rayleigh wave again.

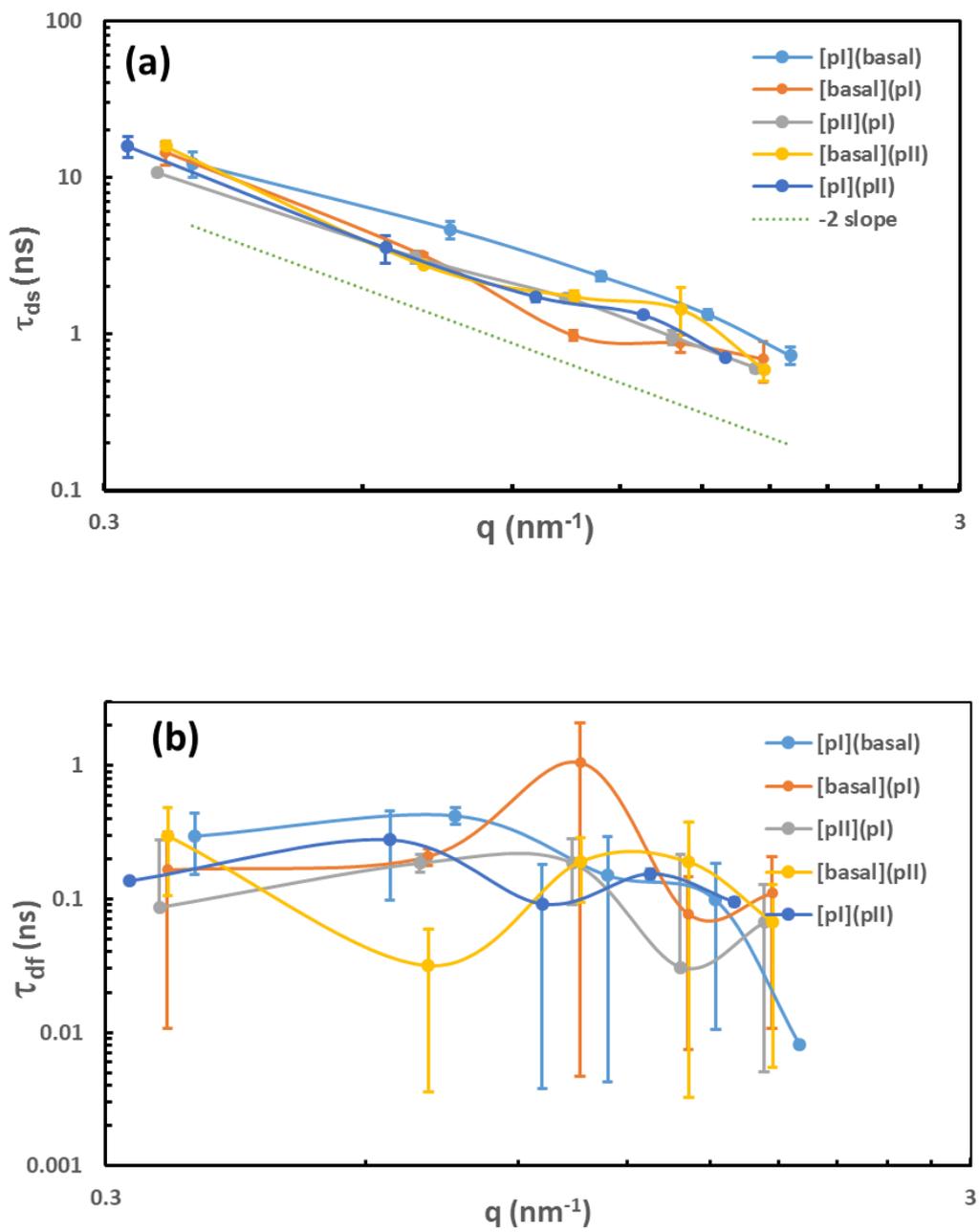
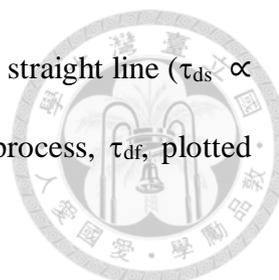


Figure 4.2-13 (a) Dimensionless characteristic time for the slow relaxation process,  $\tau_{ds}$ , versus the dimensionless wave-vector  $q/\sigma^{-1}$  in a double logarithmic scale for all



orientation interface. The orange dash line symbolizes -2 slope linear straight line ( $\tau_{ds} \propto q^{-2}$ ) (b) Dimensionless characteristic time for the fast relaxation process,  $\tau_{df}$ , plotted against the dimensionless wave-vector  $q/\sigma^{-1}$ .

We calculated the kinetic coefficient by plotting the  $\tau_{ds} * q^2$  versus against with  $q$ , shown as Figure 4.2-14, and comparing to the results to other simulation work and experiment [116, 117], shown as Figure 4.2-15 and also listed the value of  $\mu$  of different orientation in Table 4.2-11.

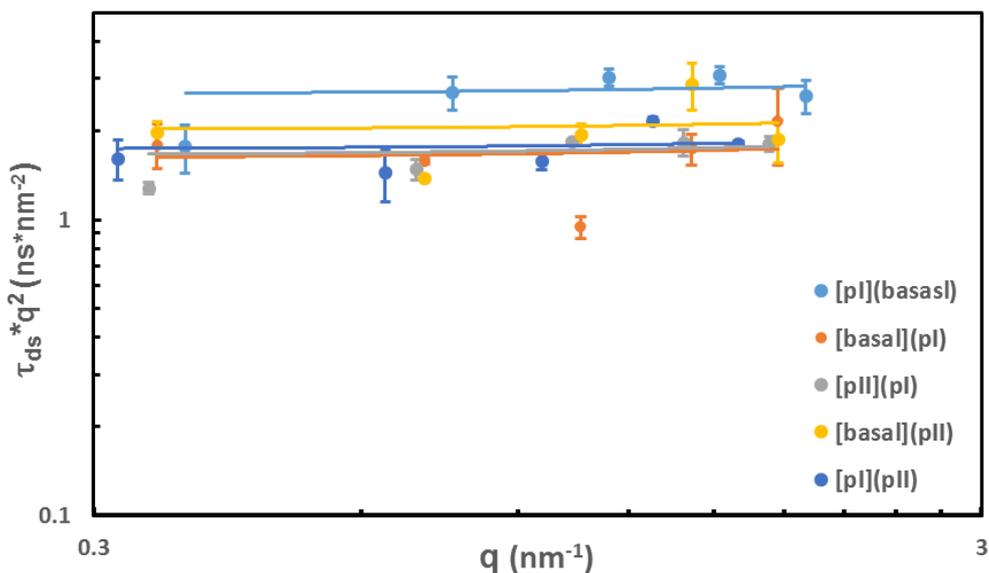


Figure 4.2-14  $\tau_{ds} * q^2$  in logarithmic scale versus against with  $q$ . Azure, orange, silver, yellow, and blue denote [pI](basal), [basal](pI), [pII](pI), [basal](pII) and [pI](pII), respectively.

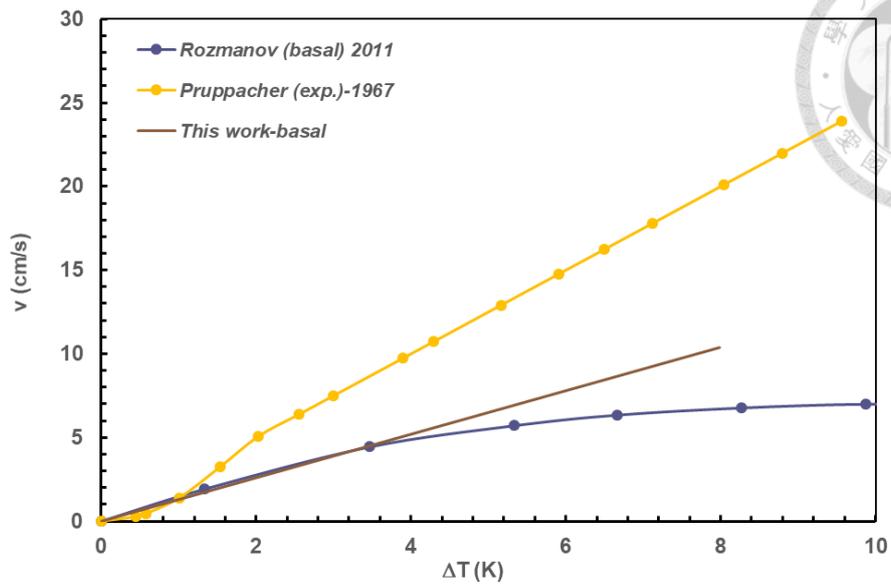
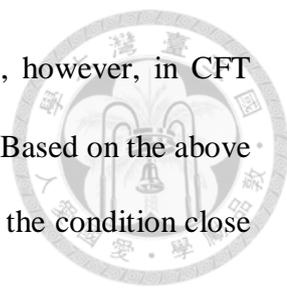


Figure 4.2-15 Crystal growth rate as a function of degree of subcooling ( $\Delta T$ ). Yellow line denotes experimental result by Pruppacher[116]. Blue line indicates simulation result by Rozmanov[117]. Brown line is the result by this work.

Table 4.2-11 Kinetic coefficient for different orientations of  $I_h$ /water

| Model     | Orientation | $\mu$ (cm/sK) |
|-----------|-------------|---------------|
| TIP4P_ICE | Basal       | 1.30          |
|           | pI          | 2.40          |
|           | pII         | 1.87          |

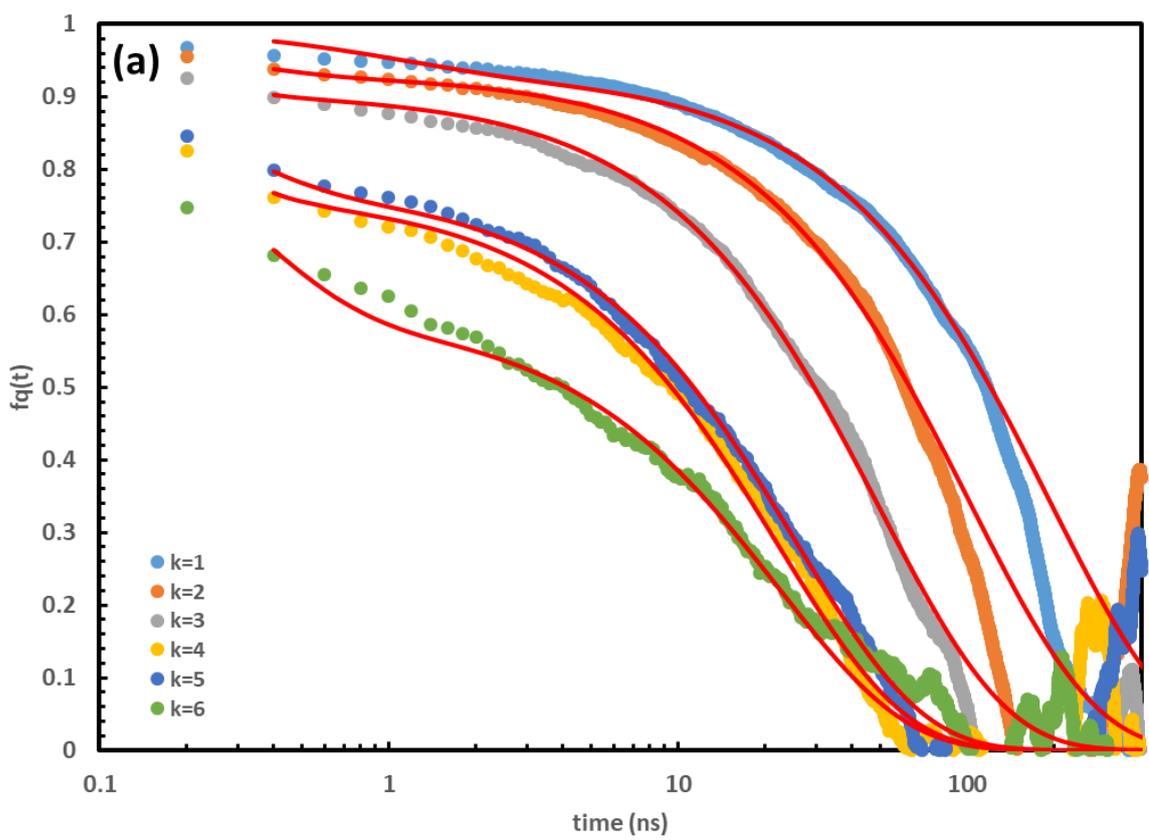
It is distinct that at the region that  $\Delta T$  approaches to zero is more accurate than larger  $\Delta T$  region, the reason we presume that is the kinetic coefficient from CFT calculated under the phase-equilibrium condition, in other words, the driving force is closed to zero, so that the kinetic coefficient by CFT actually is under the condition that  $\Delta T$  to zero, and then extrapolated to other  $\Delta T$  region. According to other simulation and experiment work,



the kinetic coefficient of  $I_h$ /water varied from every region of  $\Delta T$ , however, in CFT method, we assumed that it is not dependent with  $\Delta T$  but a constant. Based on the above reason, the CFT is more suitable to measure the kinetic coefficient at the condition close to phase-equilibrium state.

### 4.2.7 Dynamics properties of CMI system of $CH_4$ hydrate

For each CMI orientation system of sI hydrate described in Table 3.1-4, we evaluate  $f_q(t)$  by equation (42) for several values of  $q$ . Some of these autocorrelation functions are shown in Figure 4.2-16(a)-(c). The correlation functions decay from 1 to 0 as the wave-modes relax, the relaxation time of lower wave frequency  $q$  for a given interface is much slower than that of  $I_h$  system.



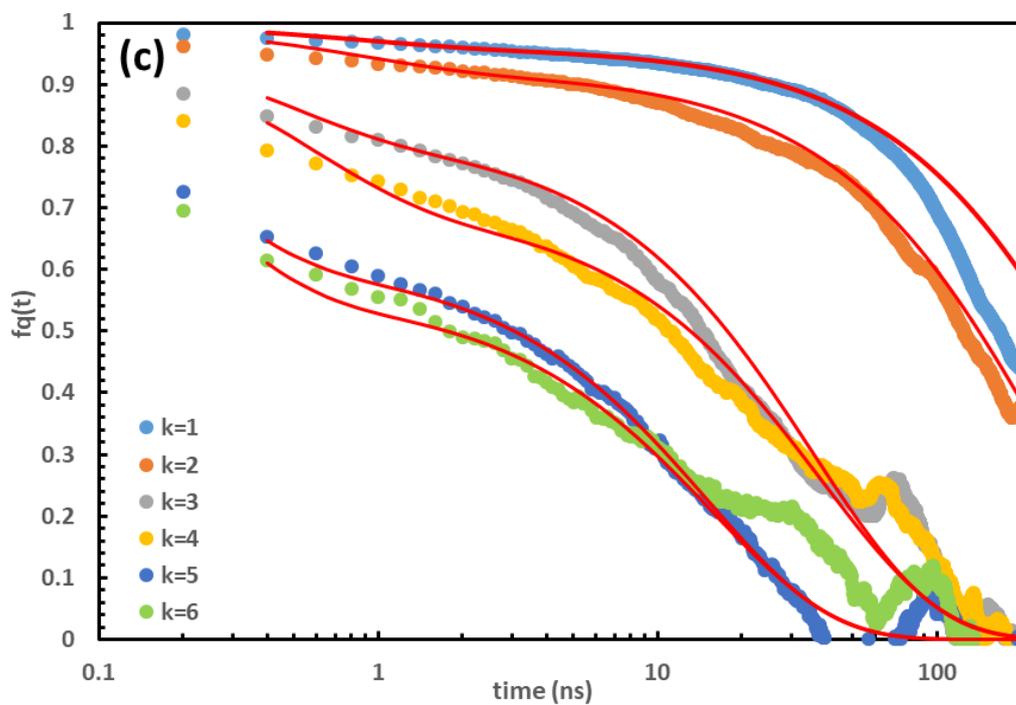
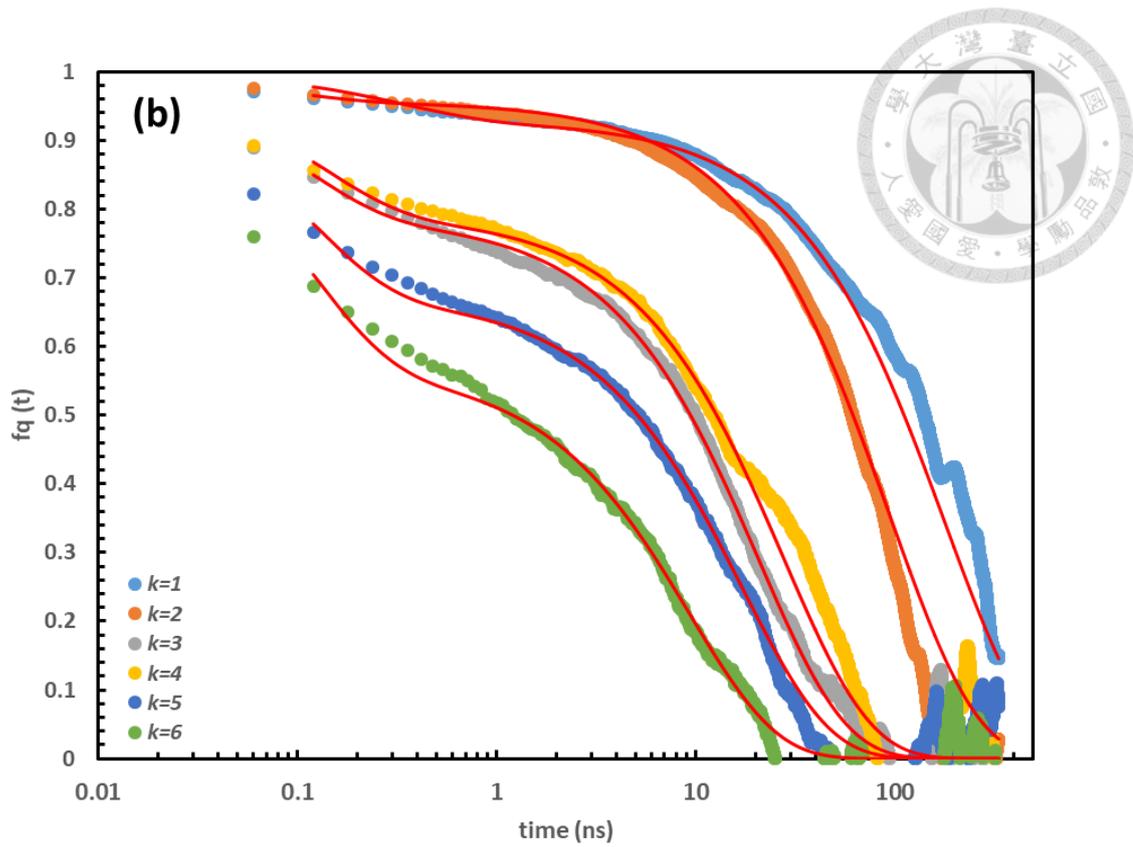


Figure 4.2-16 (a) Autocorrelation functions for the sI hydrate [010](100). (b) [110]( $\bar{1}10$ ) (c) [110](100). Red lines represent fitting results (for mode less than 6).

In Figure 4.2-17, we plot A as a function of dimensionless q for all hydrate system investigated. A is close to 1 for small frequency mode and decrease as q increase. This phenomenon also corresponds to the Rayleigh and Kelvin wave theory, when q is larger, the wave behavior is more dominated by fast relaxation process. Hence, the data point corresponding to A being above 0.5 is used in fitting -2 slope in Figure 4.2-4, which is the reason why we used 2<sup>nd</sup> to 6<sup>th</sup> data points in fitting (abandoning 1<sup>st</sup> data point will explain in latter part).

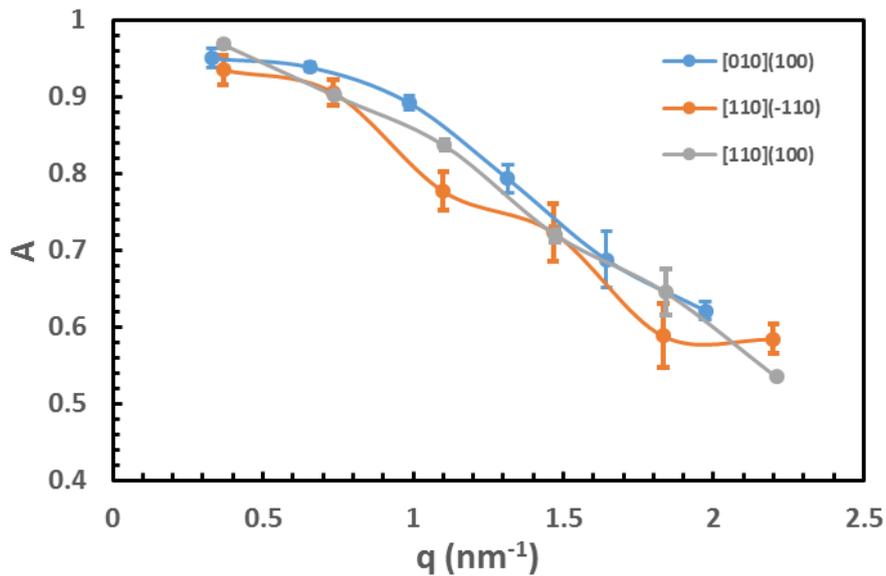
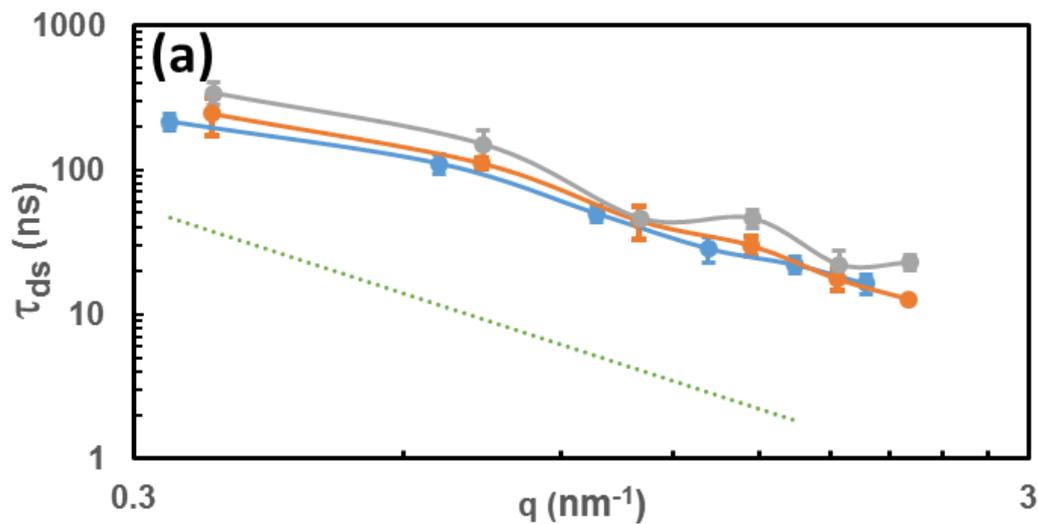


Figure 4.2-17 Prefactor for the double exponential fit as function of q for sI hydrate. The line color by azure, silver and orange is represented by [010](100), [110](-110) and [110](100), respectively

We elucidate the nature for both slow and fast relaxation behavior by discussing the relationship between  $\tau_{ds}$  and q,  $\tau_{df}$  and q, respectively, and the results are shown in Figure 4.2-18. In Figure 4.2-18-(a), we plot the  $\tau_{ds}^*$  against dimensionless q. We plot a dash line with -2 slope in the double logarithmic coordinate. Obviously, the relation between  $\tau_{ds}^*$ ,

from double exponential fitting this work, and  $q$  is perfectly consisted with Karma's theory except the 1<sup>st</sup> mode. This phenomenon is attributed to the number of statistic data point. In Figure 4.2-16, the lowest frequent mode needs a lot of simulation time to fully relax, in other words, it is hard for us to get enough statistic number, as same as other frequency mode, of sampling the lowest- $q$  mode wave in limit time, and the above reason caused the 1<sup>st</sup> data point of both Figure 4.2-5 and Figure 4.2-18 deviate from expecting value.

In contrast to slow relaxation process, the fast relaxation process shown in Figure 4.2-18-(b). There is no any evident relation between  $\tau_{df}^*$  and  $q$ , and this is same as the observation in CMI of  $I_h$ . Comparing the relaxation time of Figure 4.2-18 (a) and (b), the relaxation time of slow process is two to three order less than fast process. Furthermore, the relaxation time of slow process of hydrate is almost 30 times longer than  $I_h$ .



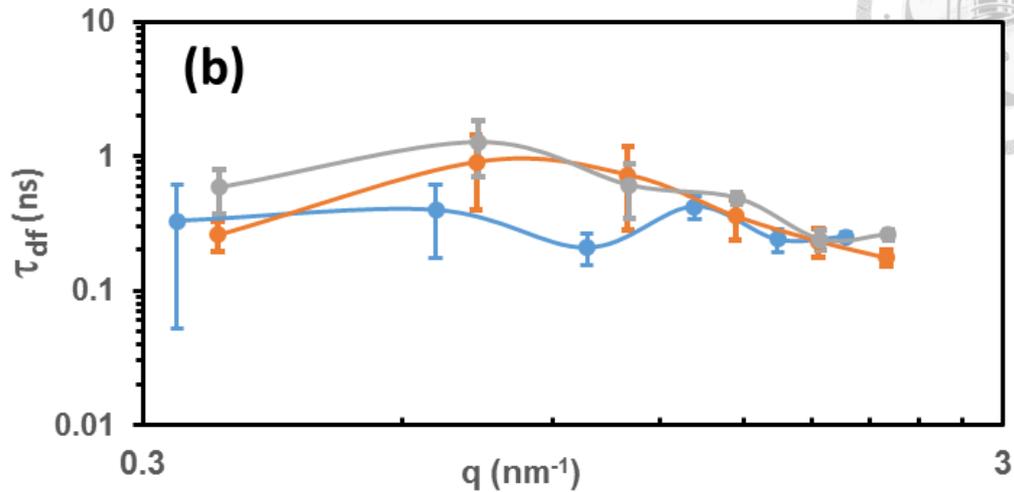


Figure 4.2-18 (a) Dimensionless characteristic time for the slow relaxation process,  $\tau_{ds}$ , versus the dimensionless wave-vector  $q/\sigma^{-1}$  in a double logarithmic scale for all orientation interface. The green dash line symbolizes -2 slope linear straight line ( $\tau_{ds} \propto q^{-2}$ ) (b) Dimensionless characteristic time for the fast relaxation process,  $\tau_{df}$ , plotted against the dimensionless wave-vector  $q/\sigma^{-1}$ . The line color are shown in azure, silver and orange symbolized as [010](100), [110](-110) and [110](100), respectively.

Again, we calculated the kinetic coefficient by plotting the  $\tau_{ds} * q^2$  versus against with  $q$ , shown as Figure 4.2-19, and comparing to the results to other simulation work and experiment [102, 118], shown as Figure 4.2-20 and also listed the value of  $\mu$  of different orientation in Table 4.2-12.

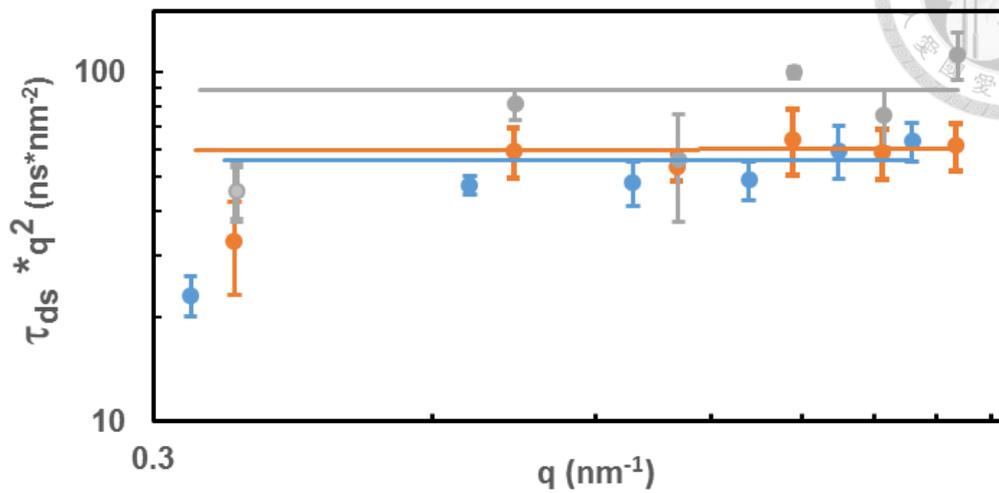


Figure 4.2-19  $\tau_{ds} * q^2$  in logarithmic scale versus against with  $q$ . Azure, orange, and silver denote  $[010](100)$ ,  $[110](\bar{1}10)$ , and  $[110](100)$ , respectively.

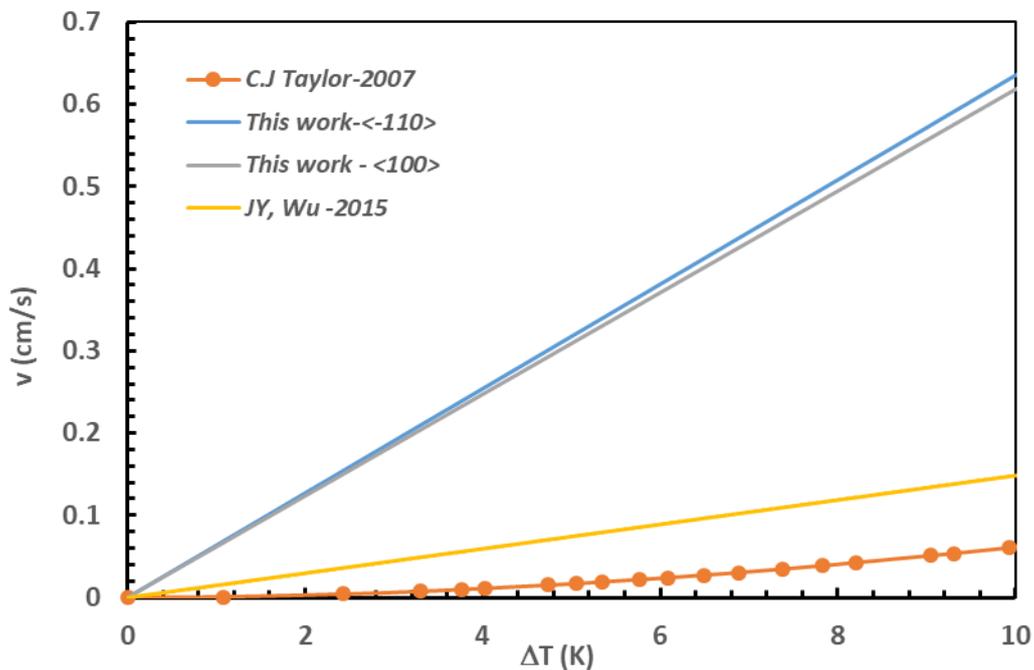


Figure 4.2-20 Crystal growth rate as a function of degree of subcooling ( $\Delta T$ ). Orange line denotes experimental result by C.J.Taylor[118]. Yellow line indicates simulation result by JY,Wu [102]. Azure and silver line are the results done by this work.

Table 4.2-12 Kinetic coefficient for different orientations of sI CH<sub>4</sub>-hydrate/water

| Model              | Orientation                 | $\mu$ (cm/sK) |
|--------------------|-----------------------------|---------------|
| TIP4P_ICE+ OPLS_AA | $\langle 100 \rangle$       | 0.059         |
|                    | $\langle \bar{1}10 \rangle$ | 0.060         |

The results of kinetic coefficient by CFT from this work are much larger than both other simulation work and experiment data, almost 20~30 times. It is not consistent with the presumption deduced from **section 0**. Even in the region that the degree of subcooling approaches to zero, the discrepancy of the magnitude of  $\mu$  by CFT is still obvious. However, the data in the simulation work of JY, Wu *et al.*, shown in Figure 4.2-20 were conducted at the 20K of  $\Delta T$ . In other words, the yellow curve in Figure 4.2-20 is extrapolated from  $\Delta T$  at 20K. The kinetic coefficient is a function of temperature, so the growth rate of the yellow curve is not accurate at the region of  $\Delta T$  approaching to zero, so we mimicked both the same method and system in JY, Wu *et al.* work at several  $\Delta T$  to re-plot the growth-rate curve.

The results of growth-rate of several cases working at different degrees of subcooling by the method used in JY, Wu *et al.* is shown as Figure 4.2-21. Apparently, the growth-rate of the CFT method works better than other methods in the region of  $\Delta T$  close to zero. But there is still a lot of difference between the CFT method and the experiment result. We suspected that something must have happened at the hydrate/water interface of the CMI system, causing the kinetic coefficient by CFT to be larger than the experiment so much. In order to verify our assumption, the equilibrium of the interfacial methane molecules system and methane concentration distribution from the interface to the bulk phase were considered.

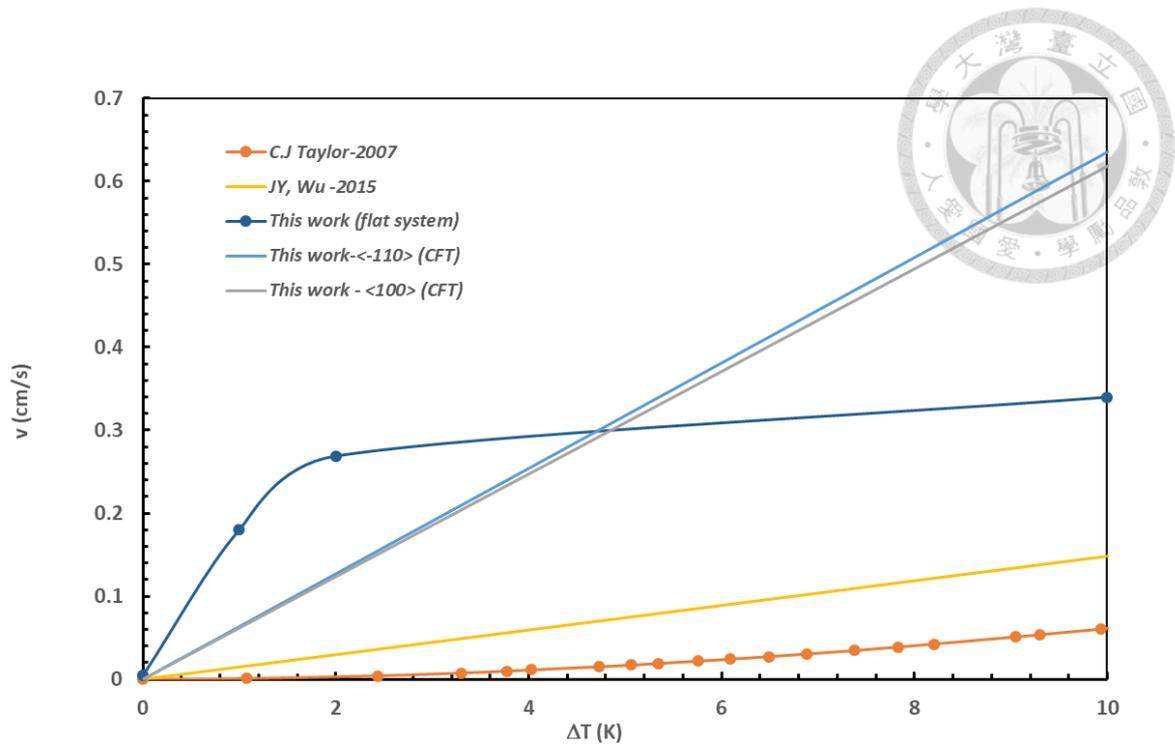


Figure 4.2-21 Crystal growth rate as a function of degree of subcooling ( $\Delta T$ ). The heavy-blue curve is plotted by the method as same as JY,Wu [102] but in multiple temperature condition.

Observe the exchange of methane in gas phase with that at interface so as to determine if the system reached to equilibrium, the progress of motion of methane atoms is shown as Figure 4.2-22. It is obvious that exchange behavior occurs. Therefore, the concern of equilibrium is not a factor of causing  $\mu$  by CFT to be larger than other manners.

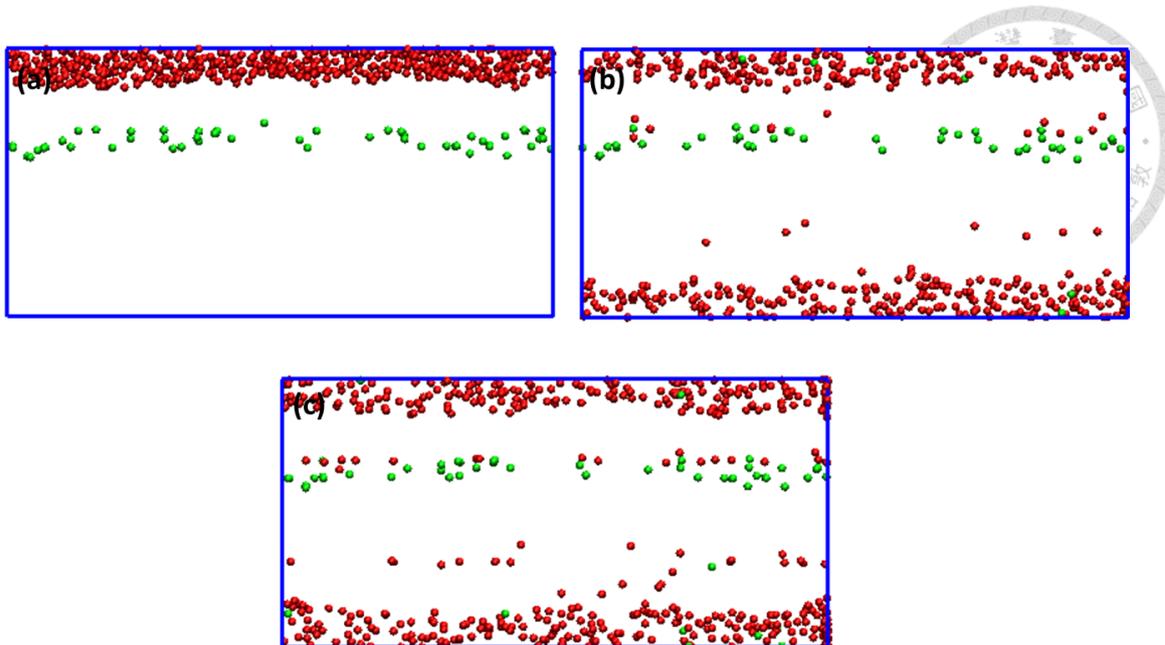


Figure 4.2-22 Motion of CH<sub>4</sub> atom during the simulation. Green and red denote the methane atoms initially located at the interface and gas phase, respectively. (a) t=0. (b) 42ns. (c) 84ns

To detect the distribution of concentration of methane varied from the interface to bulk phase, dividing the system into several layers and thickness of each layer is 4.125Å which is the value of averaging radius of small cage and large cage. The results are shown in Figure 4.2-23.

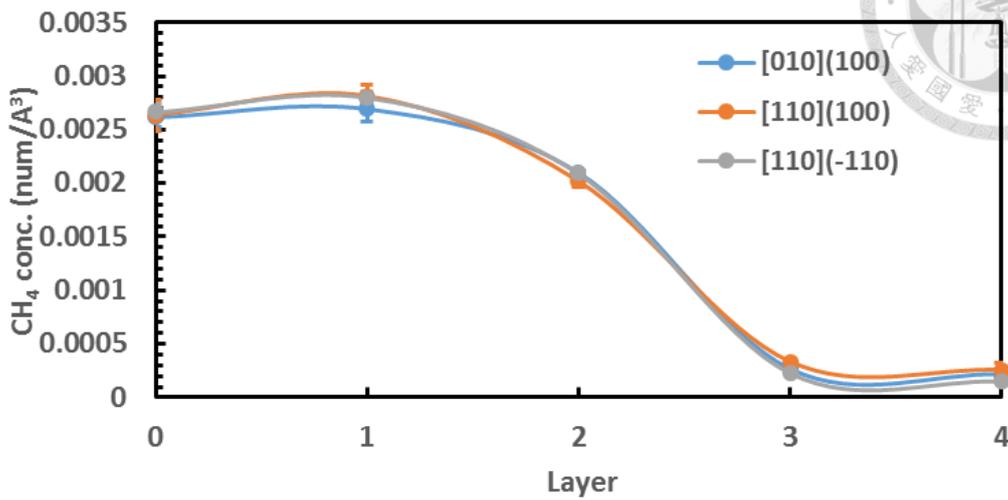


Figure 4.2-23 The CH<sub>4</sub> concentration in each of the layers compares with different orientation to CMI. Width of each of the layers is 4.125Å which is the average of the radius of small cage and big cage of sI-hydrate. Layer 0 symbolizes the first layer under the CMI. Layer 1, 2, 3, and 4 denote 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> layer over the CMI, respectively.

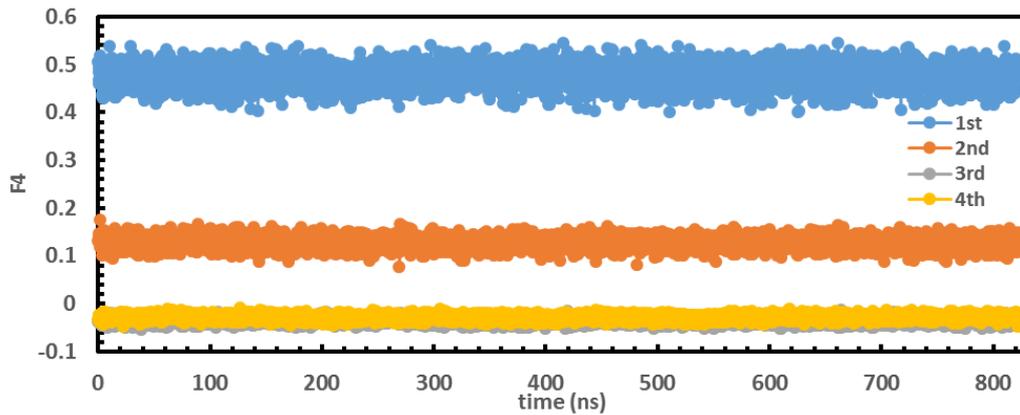
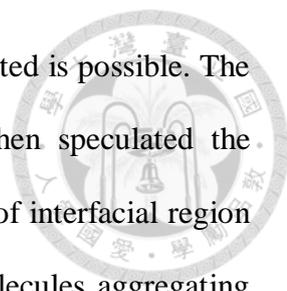


Figure 4.2-24 Average F4 value of H<sub>2</sub>O molecules which are located in 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup> and 4<sup>th</sup> layer.

We find that methane concentration in the region within two layer thickness from interface is almost as same as that in sI-hydrate phase. If methane concentration at



interface is enormous, that the kinetic coefficient by CFT overestimated is possible. The concept of CFT is measuring the fluctuation of interface and then speculated the thermodynamics and dynamics properties, in other word, the effect of interfacial region of CMI is the key of this manner. If there are lots of methane molecules aggregating around the interface, it indicates that the properties calculated by CFT method actually is based on the higher solubility condition at same specific temperature, this is to say, the other equilibrium state which at same temperature but higher pressure so that the solubility of methane is larger at phase-equilibrium condition. The sl methane-hydrate crystal growth rate is the function and sensitive of both temperature and pressure. The reason caused that the concentration of methane at interface (1<sup>st</sup> layer) huge is the methane molecules are trapped by hydrogen bonds which form and break promptly, this phenomenon is also observed in Figure 4.2-22, and the interfacial methane molecules are almost stagnant. However, it could not explain why the concentration of that in 2<sup>nd</sup> layer is still high. Maybe it is caused by morphology of the interface, we could not make any positive conclusion here, and however, we assure that there must some factors influence the interface of large CMI system and that makes the CH<sub>4</sub> concentration profile of this kind of system so distinct. Beside, average F4 value of H<sub>2</sub>O molecules located in every layer is calculated, shown as Figure 4.2-24. This figure implies that the velocity of H<sub>2</sub>O rearrangement from hydrate-like to fluid-like in 2<sup>nd</sup> layer is much faster than diffusion of CH<sub>4</sub> molecules.

#### **4.2.8 Effect of parameters to CMI**

In the last part, we want to analyze the effect of several parameters used in this work to the CMI. To differentiate the fluid, ice or hydrate-like of H<sub>2</sub>O, using block-average analytic method is inevitable. However, there is a concern that because of block-average, the coordinate of atoms in system might be divergent from its real position. For example,

assuming the position  $x$  is the average position of overall high of CMI, if atom A at time  $t$  is located at position of  $x+\Delta x$ , and at  $x-\Delta x$  position at time  $t+n*\Delta t$ , using block-average of  $n$  size will cause the atom A located at average position  $x$  which means that atom A do not fluctuate if  $n$  is really huge. In order to examine the effect of block-average to the CMI, we plotted the wavelength of surface-wave against with the average result of interfacial height which is proceeded by Discrete Fourier transform at every different block size, shown as Figure 4.2-25. It is distinct that in lower frequency domain, the value of average Discrete-Fourier-transform-height is not influenced by block size at all; however, block size influences the higher frequency region. It is reasonable because the relaxation time of slow process (capillary wave) is above degree of nanosecond, in other words, the capillary waver is not affected if the block-size we used is much smaller than relaxation time of capillary waver process. In contrast, relaxation time of Rayleigh wave is very fast, almost dozens or hundreds picosecond, that will be affected by block size a lot, that is the reason why the data of high region in Figure 4.2-25 will change along with different block size.

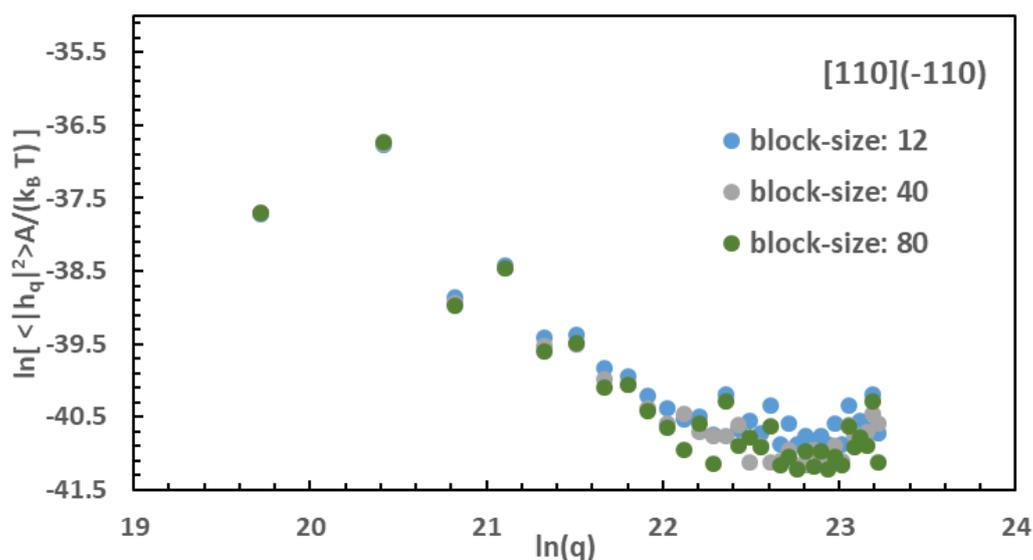
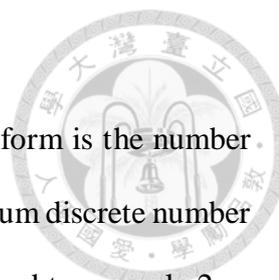


Figure 4.2-25 The effect of block size to the CMI



The other factor might affect the result of discrete Fourier transform is the number of points used to describe the CMI. Apparently, there must be a minimum discrete number of points needed to describe the continuous CMI, for example, it is hard to use only 2 or 3 etc. points to describe a plane. In this case, we used 30 points to describe the CMI, to prove this number is rational, we compared that with the 40 points, shown as Figure 4.2-26, and the results show that there is no difference between 30 and 40 points at low frequency region, in other words, 30 points is sufficient to describe the CMI.

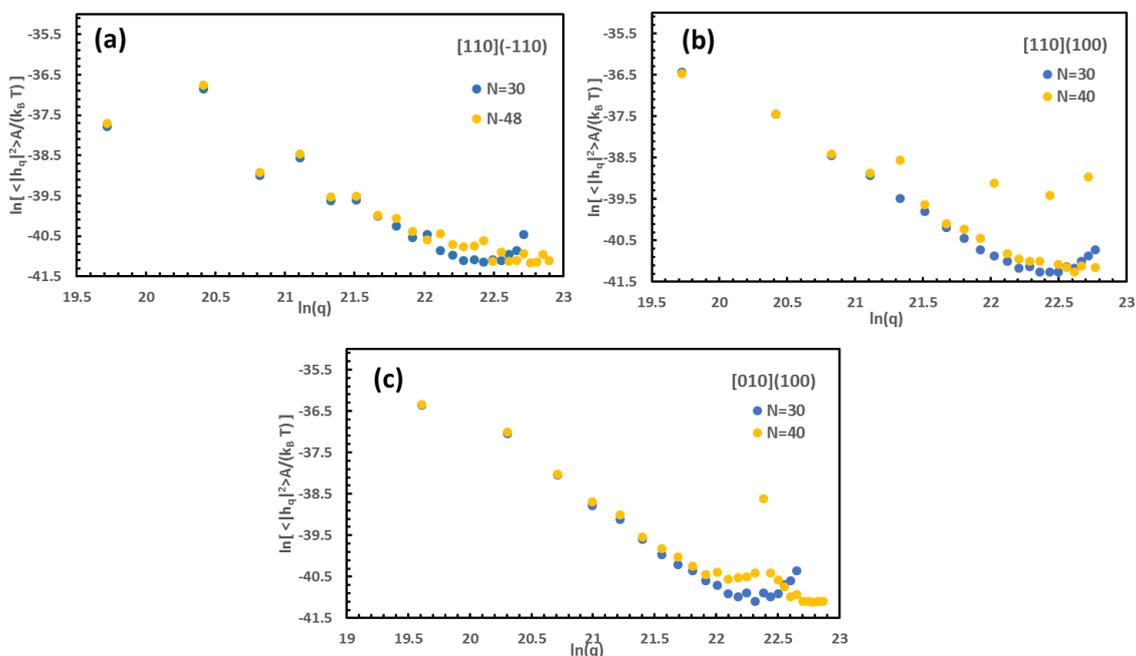
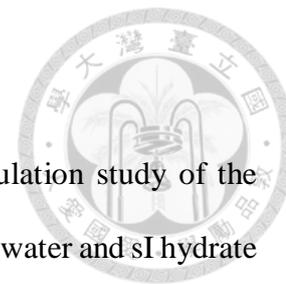


Figure 4.2-26 The effect of number of discrete points to the CMI (a) [110](-110). (b) [110](100). (c) [010](100).

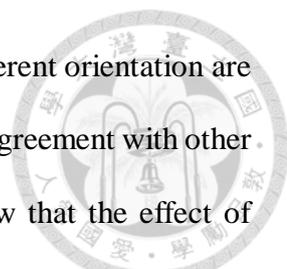
## Chapter 5 Conclusions



In this paper, we present a computer molecular dynamic simulation study of the crystal-melt interface for three different systems: TIP4P/Ice model of water and sI hydrate with TIP4P/Ice model, also SDS on hydrate with water, and OPLS\_AA model. We focus on both the thermodynamics properties and the dynamics of surface waves for both  $I_h$  and sI hydrate crystal.

First, we generate an initial configuration in which a crystal slab is surrounded by its melt. The box geometry allows for the study of long wavelength capillary waves without having a prohibitively large number of molecules in the system (see Figure. 4 for an example). Then, we perform molecular dynamics simulations in the NVT ensemble at the melting temperature. The overall density of the system is comprised in between the coexistence densities of the fluid and the crystal phases, which guarantees that the system stays at coexistence throughout the NVT simulation. The area of the box side parallel to the interface (s and v directions) is chosen in such way that the solid phase is free of any stress.

Once we run the molecular dynamics simulations, we analyze the thermodynamics property (equation (37)) and dynamic autocorrelation function of the surface wave modes (equation (40)). To calculate both thermodynamics property and dynamics profile, we first obtain a function that describes the position of the interface, which we do by identifying the outermost crystalline particles of the solid slab. We show a feasibility of using F4 order parameter to identify the interface location of CMI system. In *ref.[31]*, it mentioned that simulations were not affected by the choice of the parameters needed to locate the interface or by the geometry of the box or the system size.



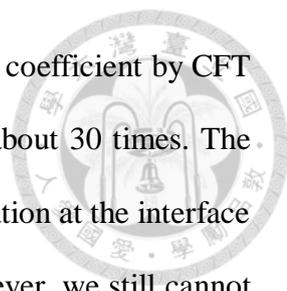
The average interfacial free energies of  $I_h$  and sI hydrate for different orientation are  $29.24\text{mN/m}^2$  and  $34.60\text{mN/m}^2$ , respectively. The results are in good agreement with other simulation methods and experimental works. Furthermore, we show that the effect of different orientations for sI hydrate to interfacial free energy is only 3%. To the best of our knowledge, this result has never been reported before our work in both the experiment and simulation field.

We also examine in detail the shape of the dynamic autocorrelation function as a function of the wave-vector  $q$ , and using a double exponential function describes the relaxation dynamics of crystal-melt surface waves and performs well for our system. This implies that there are two distinct time scales, fast and slow, involved in the relaxation of crystal-melt surface waves. The slow time scale is due to the recrystallization-melting occurring at the interface, and is governed by capillary forces. The fast relaxation is due to a combination of processes that readily alter the shape of the interface. We speculate these may be related to Rayleigh waves, sub-diffusion of the fluid and the attachment/detachment of particles to/from the crystal phase.

As the length scale of the capillary wave modes increases (or  $q$  decreases), the relaxation becomes increasingly dominated by the slow process and can be just described by a single exponential. Within the uncertainty of our data, we see that the characteristic time for the slow relaxation process is related to  $q$  by the power law:  $\tau \propto q^{-2}$  for all systems.

We compare the relaxation dynamics of different systems in diffusive time units. We see that the crystal-melt interface of water relaxes about thirty times faster than that of sI hydrate crystal. We ascribe this difference to the presence of complicate hydrogen bond network and cage-like configuration of hydrate.

Furthermore, the kinetic coefficient of  $I_h$ /water obtained by CFT is same as both other simulation work and experiment work at the region degree of subcooling



approaching to zero. In sI methane-hydrate/water system, the kinetic coefficient by CFT in this work is larger than other simulation and experiment work, about 30 times. The reason presumes that it is caused by the enormous methane concentration at the interface region whose concentration is same as methane-hydrate phase, however, we still cannot explain the fact that why the methane concentration is high within two layer thickness above the interface.

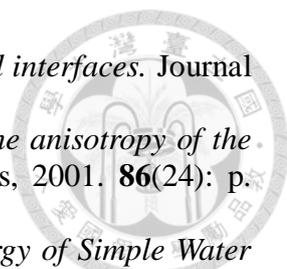
Last, we have already tried to calculate the interfacial free energy of hydrate/water with SDS adsorbing on the hydrate surface, however, that the SDS molecule will detach from the interface during the simulation cause failure to measure the interfacial free energy. The detaching phenomena should no happened according to other simulation work which calculate the adsorption free energy profile of SDS on hydrate interface. We still do not know the reason why that happened in the CMI system. However, there is a single run successfully shows that SDS could reduce the stiffness (interfacial free energy) of hydrate crystal, then according to CNT, the nucleation rate is faster almost 100 times than that without SDS.

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