

國立臺灣大學電機資訊學院資訊工程學研究所

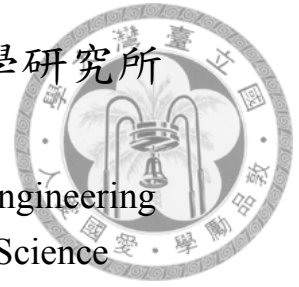
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上下文相關的符號序列複雜度

The Complexity of Context-Sensitive Sequences

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上下文相關的符號序列複雜度

The Complexity of Context-Sensitive Sequences

本論文係林建旻君（學號 R98922120）在國立臺灣大學資訊工程學系完成之碩士學位論文，於民國 102 年 11 月 27 日承下列考試委員審查通過及口試及格，特此證明

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## 中文摘要

在此篇論文中，我們使用 L 系統建模複雜度方法來計算上下文相關文法的複雜度。由於在現有文獻中已被證明出目前並沒有一般性的演算法用以計算上下文相關文法的複雜度，所以我們選擇了幾個常見的上下文相關文法例子來實作，為此我們改進了先前的 L 系統建模複雜度方法，使其能夠處理任意長度的符號序列。

關鍵字：結構複雜度、樹狀結構表示法、序列、上下文相關文法、L 系統



# Abstract

This article discusses how to apply the L-system modeling complexity method to context-sensitive sequences. Since it is proved that there exists no general calculation method to compute the entropy of context-sensitive languages, we choose some common context-sensitive languages and analyze them case by case. For that purpose, we extend the capability of the modeling complexity method in previous work. Our method can deal with arbitrary length sequences.

Key words: Structural complexity, Tree representation, Sequence, Context-sensitive grammar, L-system



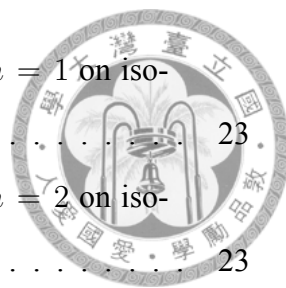
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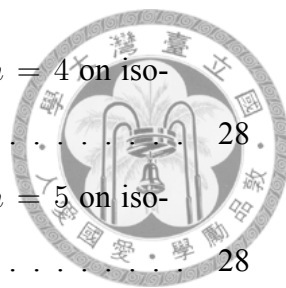
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# Chapter 1

## Introduction

It is proved that “there exists no general calculation method to compute the entropy of the language generated by a context-sensitive grammar” by Kaminger [1]. Furthermore, there is no procedure to decide whether the language of any context-sensitive grammar is finite or not [2]. Thus, we choose some common context-sensitive languages and analyze their complexity case by case. For that purpose, we introduce the L-system in the following section.

### 1.1 The complexity of the L-system

The Lindenmayer system, or L-system for short, was introduced by the biologist Aristid Lindenmayer in 1968 [3]. The L-system is a type of string-rewriting mechanism such as Chomsky grammars. In general, rewriting is a technique used to define complex objects by successively replacing parts of a simple initial object, using a set of rewriting rules or productions. The L-system is defined as follows.

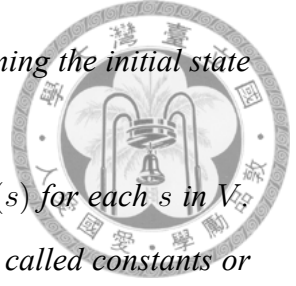
**Definition 1.1.** *The L-system grammars are very similar to the Chomsky grammars, defined as a tuple [4]:*

$$G = (V, \omega, P),$$

where

1.  $V = \{s_1, s_2, \dots, s_n\}$  is an alphabet,

2.  $\omega$  (start, axiom, or initiator) is a string of symbols from  $V$  defining the initial state of the system,
3.  $P$  is defined by a production map  $P : V \rightarrow V^*$  with  $s \rightarrow P(s)$  for each  $s$  in  $V$ . The identity production  $s \rightarrow s$  is assumed. These symbols are called constants or terminals.



The following definition is given by Liou et al. [5], with this definition we can compute the complexity of L-system.

**Definition 1.2.** *The complexity of L-system.*

*The complexity of L-system is defined as*

$$K_0 = -\ln R,$$

where  $R$  is the radius of convergence.

The radius of convergence  $R$  can be derived from rewriting rules of L-system. We will show how to compute  $R$ , and introduce some terms in the following section.

## 1.2 Preliminary

The major difference between L-system and Chomsky grammars is the technique used to apply productions. In L-systems, rewriting rules are applied parallel and simultaneously, whereas in Chomsky grammars, rewriting rules are applied sequentially to replace all the letters in a given word. Parallel production application has an essential impact on the formal properties of rewriting systems. For example, there are languages which can be generated by context-free L-systems, which means all the rewriting rules of the L-system are context-free, but not by context-free Chomsky grammars. In the remainder of this paper, whenever we say an L-system, we suppose it to be context-free.

The L-system uses some initial and rewriting rules to construct beautiful graphs. Since it can construct a tree from rewriting rules, it can also extract rewriting rules from a tree. For computing the complexity of a grammar, we use fixed tree presentations for the input

sequence generated by the grammar. For example, if the input sequence is  $abcd$ , we transfer each word of to an unique tree representation, i.e., a single node for “ $a$ ”, a node with only one left child for “ $b$ ”, a node with only one right child for “ $c$ ” and a node with both left and right child for “ $d$ ” (see Figure 1.1). After replacing every word to tree representations, we combine each two consecutive trees to a bigger tree by creating a new node as the root of these two subtrees. Repeat the process level by level, the input sequence will be transfer to a text tree  $T$  (see Figure 1.2).

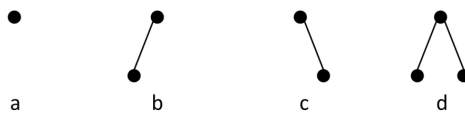


Figure 1.1: The tree representations of words  $a$ ,  $b$ ,  $c$  and  $d$ .

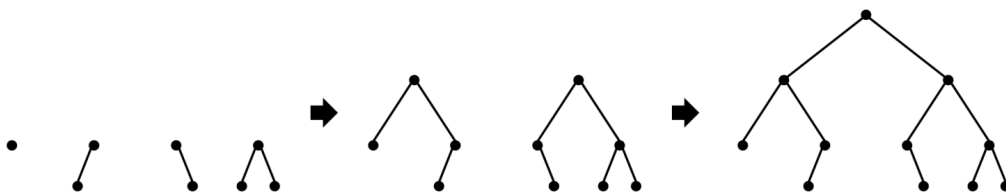


Figure 1.2: From tree representations to a text tree.

For computing the complexity of L-system, we need some rules for converting tree to another structure. We use a stack similarly structure to represent the hierarchy of tree, called *bracketed string*. The tree structure can transfer to a unique bracketed string by the following symbols, and it can transfer back to the original tree:

1.  $F$  : the current location of tree nodes; it can be replaced by any word or be omitted;
2.  $+$  : the following string will express the right subtree;
3.  $-$  : the following string will express the left subtree;
4.  $[$  : this symbol is pairing with  $]$ ; “[...]” denotes a subtree where “...” indicates all the bracketed strings of its subtree;
5.  $]$  : see [ description.



Following the previous symbols, Figure 1.3 shows that how to represent the tree representations by bracketed strings. And Figure 1.4 is the bracketed string representation of  $T$ .

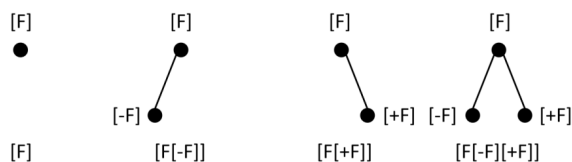


Figure 1.3: Bracketed string representations for tree representations.

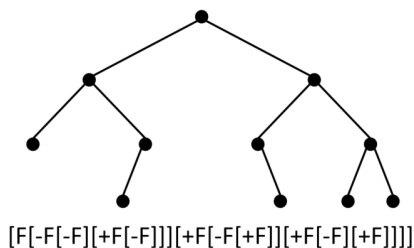


Figure 1.4: The bracketed string representation of  $T$ .

Now we explain how to generate rewriting rules from tree structures. We can apply distinct variables to each tree node. For any binary tree, for every tree node has left and right subtrees, they can always be explained in the following format:

$$P \rightarrow LR,$$

where  $P$  denotes the current node,  $L$  denotes its left subtree, and  $R$  denotes its right subtree, respectively. Thus, we can use rewriting rules to represent  $T$  (see Figure 1.5). We denote “ $\phi$ ” to be null.

Now, we know how to use rewriting rules to represent a tree, and we also know how to represent a tree with a bracketed string. We can also use rewriting rules to generate bracketed strings. In rewriting rules for tree structures, we write  $P \rightarrow LR$  for a tree having left and right subtrees. Note that we call  $L$  and  $R$  the non-terminals. Such a tree will have a bracketed string as follows:  $[-F \dots][+F \dots]$ . It is clear that “ $[-F \dots]$ ” represents the left subtree, and that “ $[+F \dots]$ ” represents the right subtree. Therefore, we

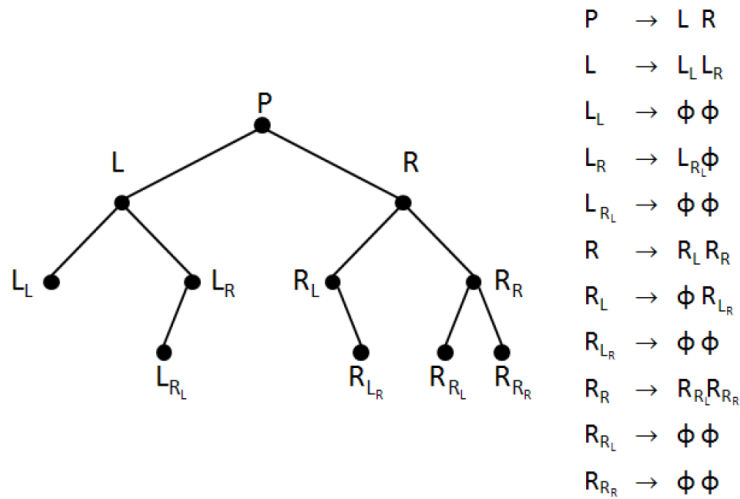


Figure 1.5: The rewriting rules of  $T$ .

can replace the rewriting rules with

$$P \rightarrow [-FL][+FR]$$

$$L \rightarrow \dots$$

$$R \rightarrow \dots$$

where “...” is the rewriting rule for the bracketed string of each subtree. In this way, we do not have to write  $L$  for a left subtree and  $R$  for a right subtree; the orientation is already described in the bracketed string “ $-F$ ” and “ $+F$ ”. For the sake of readability, we replace the words such as “ $R_{R_L}$ ” and “ $R_{R_R}$ ” by “ $T_{R_{R_L}}$ ” and “ $T_{R_{R_R}}$ ” respectively. For those null rewriting rules “ $\phi$ ”s, we simply ignore the nulls. The new rewriting rules without trivial nulls are shown in Figure 1.6.

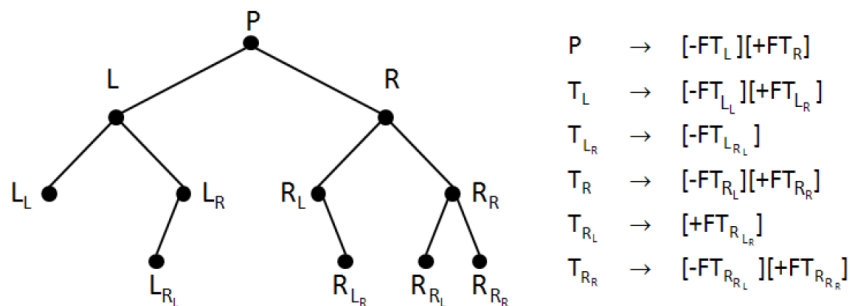
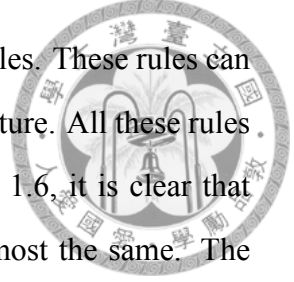


Figure 1.6: The rewriting rules for the bracketed string of  $T$ .

When tree grows up, the rewriting rules may generate identical rules. These rules can generate exactly one bracketed string and, thus, exactly one tree structure. All these rules form a rule set, which represents a unique tree structure. In Figure 1.6, it is clear that  $T_R \rightarrow [-FT_{R_L}][+FT_{R_R}]$  and  $T_{R_R} \rightarrow [-FT_{R_{RL}}][+FT_{R_{RR}}]$  are almost the same. The only difference is that the subtrees of  $T_R$  are  $T_{R_L}$  and  $T_{R_R}$ , and the subtrees of  $T_{R_R}$  are  $T_{R_{RL}}$  and  $T_{R_{RR}}$ . But they have the same structure: they both have a right subtree and do not have a left subtree. We will define two terms to express the similarity between two rewriting rules, and these terms can simplify complexity analysis.



**Definition 1.3.** *Homomorphism in rewriting rules.*

*We define that rewriting rule  $R_1$  and rewriting rule  $R_2$  are homomorphisc if and only if they have the same structure.*

In detail, rewriting rule  $R_1$  and rewriting rule  $R_2$  in tree structure both have subtrees in corresponding positions or both not. Ignoring all nonterminals, if rule  $R_1$  and rule  $R_2$  generate the same bracketed string, then they are homomorphisc by definition.

**Definition 1.4.** *Isomorphism on level  $X$  in rewriting rules.*

*We define that rewriting rule  $R_1$  and rewriting rule  $R_2$  are isomorphisc on depth  $X$  if they are homomorphisc and their nonterminals are relatively isomorphisc on depth  $X - 1$ , denoted by  $\text{Iso}_X(R_1, R_2)$ . Isomorphisc on level 0 indicates homomorphisc.*

By using bracketed strings, we can obtain a much clearer definition of homomorphisc. All homomorphisc rewriting rules generating rules generate the same bracketed strings when all nonterminals are ignored. We ignore all nonterminals of the rewriting rules in Figure 1.7, we find  $P$ ,  $T_L$ ,  $T_R$  and  $T_{R_R}$  are homomorphisc to each other; they generate the same bracketed string,  $[-F][+F]$ .  $T_{L_R}$  and  $T_{R_L}$  are not homomorphisc to any of the other rules; its bracketed strings are  $[-F]$  and  $[+F]$ .

After we define the similarity between rules by homomorphisc and isomorphisc, we can classify all the rules into different subsets based on their similarity. Now we list all the rewriting rules of  $T$  into Table 1.1 but ignore terminal rules such as “ $\rightarrow \phi$ ” and transfer the name of each rule to class name (or class number). After performing classification,



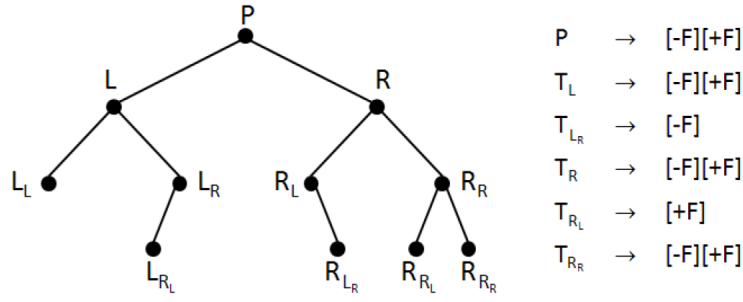


Figure 1.7: The rewriting rules for the bracketed string of  $T$  ignored all nonterminals.

we obtain not only a new rewriting rule set but also a context-free grammar, which can be converted to automata.

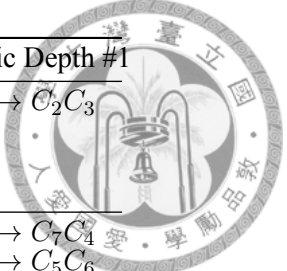
$P$	$\rightarrow$	$[-FT_L][+FT_R]$
$T_L$	$\rightarrow$	$[-F][+FT_{L_R}]$
$T_{L_R}$	$\rightarrow$	$[-F]$
$T_R$	$\rightarrow$	$[-FT_{R_L}][+FT_{R_R}]$
$T_{R_L}$	$\rightarrow$	$[+F]$
$T_{R_R}$	$\rightarrow$	$[-F][+F]$

Table 1.1: The rewriting rules of  $T$ .

In Table 1.1, rules  $P \rightarrow [-FT_L][+FT_R]$ ,  $T_L \rightarrow [-F][+FT_{L_R}]$ ,  $T_R \rightarrow [-FT_{R_L}][+FT_{R_R}]$  and  $T_{R_R} \rightarrow [-F][+F]$  are isomorphic on depth 0 and assigned to  $C_1$ . For every rule  $T_i$ , we denote  $H(T_i)$ , the *height* of  $T_i$ , to be the longest distance in the text tree from  $T_i$  to the terminals derived from  $T_i$ . Since there are no isomorphic rules except terminal rules on depth 1, the class number of each rule is set by the order from highest rule to lowest rule and from left to right. That is, we first set the highest node  $P$  to  $C_1$ , and then set the second high rules  $T_L$  and  $T_R$  to  $C_2$  and  $C_3$  respectively. The class number of third high rules  $T_{L_R}$ ,  $T_{R_L}$  and  $T_{R_R}$  are set  $C_4$ ,  $C_5$  and  $C_6$  respectively, and the terminal rules  $T_{L_L}$ ,  $T_{L_{R_L}}$ ,  $T_{R_{L_R}}$ ,  $T_{R_{R_L}}$  and  $T_{R_{R_R}}$  have the largest class number  $C_7$ . The complete table is shown in Table 1.2. Now we can define the complexity of a rewriting rule set as follows:

**Definition 1.5.** *Topological entropy of a context-free grammar.*

The topological entropy  $K_0$  of a context-free grammar can be evaluated by means of the following three procedures [6, 7]:



Classification of Rules	Isomorphic Depth #0	Isomorphic Depth #1
Class #1	(1) $C_1 \rightarrow C_1 C_1$ (1) $C_1 \rightarrow C_3 C_1$ (1) $C_1 \rightarrow C_4 C_2$ (1) $C_1 \rightarrow C_4 C_4$	(1) $C_1 \rightarrow C_2 C_3$
Class #2	(1) $C_2 \rightarrow C_4 C_\phi$	(1) $C_2 \rightarrow C_7 C_4$
Class #3	(1) $C_3 \rightarrow C_\phi C_4$	(1) $C_3 \rightarrow C_5 C_6$
Class #4	(5) $C_4 \rightarrow C_\phi C_\phi$	(1) $C_4 \rightarrow C_7 C_\phi$
Class #5		(1) $C_5 \rightarrow C_\phi C_7$
Class #6		(1) $C_6 \rightarrow C_7 C_7$
Class #7		(5) $C_7 \rightarrow C_\phi C_\phi$

Table 1.2: Classification Based on the Similarity of Rewriting Rules of  $T$ .

1. For each variable  $V_i$  with productions (in Greibach form),

$$V_i \rightarrow t_{i1}U_{i1}, t_{i2}U_{i2}, \dots, t_{ik_i}U_{ik_i},$$

where  $\{t_{i1}, t_{i2}, \dots, t_{ik_i}\}$  are terminals and  $\{U_{i1}, U_{i2}, \dots, U_{ik_i}\}$  are non-terminals.

The formal algebraic expression for each variable is

$$V_i = \sum_{j=1}^{k_i} t_{ij}U_{ij}$$

2. By replacing every terminal  $t_{ij}$  with an auxiliary variable  $z$ , one obtains the generating function

$$V_i(z) = \sum_{n=1}^{\infty} N_i(n)z^n,$$

where  $N_i(n)$  is the number of words of length  $n$  descending from  $V_i$ .

3. Let  $N(n)$  be the largest one of  $N_i(n)$ ,  $N(n) = \max\{N_i(n), \text{ over all } i\}$ .

The above summation series converges when  $z < R = e^{-K_0}$ . The topological entropy is given by the radius of convergence  $R$  as

$$K_0 = -\ln R.$$

The productions of Liou et al. [5] method have some difference from the aforementioned definitions. Therefore, they had given some slightly modifications in deriving the complexity of the L-system, which is rewritten as follows:

**Definition 1.6.** *Generating function of a conext-free grammar.*



1. Assume that there are  $n$  classes of rules and that each class  $C_i$  contains  $n_i$  rules. Let  $V_i \in \{C_1, C_2, \dots, C_n\}$ ,  $U_{ij} \in \{R_{ij}, i = 1 \sim n, j = 1 \sim n_i\}$ , and  $a_{ijk} \in \{x : x = 1 \sim n\}$ , where each  $U_{ij}$  has the following form:

$$U_{i1} \rightarrow V_{a_{i11}} V_{a_{i12}}$$

$$U_{i2} \rightarrow V_{a_{i21}} V_{a_{i22}}$$

$$\dots \rightarrow \dots$$

$$U_{in_i} \rightarrow V_{a_{in_i1}} V_{a_{in_i2}}$$

2. The generating function of  $V_i$ ,  $V_i(z)$ , has a new form as follows:

$$V_i(z) = \frac{\sum_{p=1}^{n_i} n_{ip} z V_{a_{ip1}}(z) V_{a_{ip2}}(z)}{\sum_{q=1}^{n_i} n_{iq}}$$

If  $V_i$  does not have any non-terminal, we set  $V_i(z) = 1$ .

After formulating the generating function  $V_i(z)$ , we intend to find the largest value of  $z$ ,  $z^{max}$ , at which  $V_1(z^{max})$  converges. Note that we use  $V_1$  to denote the rule for the root node of the text tree. After obtaining the largest value,  $z^{max}$ , of  $V_1(z)$ , we set  $R = z^{max}$ , the radius of convergence of  $V_1(z)$ . We define the complexity of the rhythmic tree as  $K_0 = -\ln R$ .

For example, we compute the modeling complexity value of sequence “abcd” on isomorphic depth 0 now. According to the definition, the given values for the class parameters are listed in Table 1.3. There are 4 classes, so we obtain the formulas for  $V_1(z')$ ,



Classification of Rules		Isomorphic Depth #0
$(n = 4)$	Class #1	$(1)C_1 \rightarrow C_1 C_1$
	$(n_1 = 4)$	$n_{11} a_{111}a_{112}$
		$(1)C_1 \rightarrow C_3 C_1$
		$n_{12} a_{121}a_{122}$
		$(1)C_1 \rightarrow C_4 C_2$
		$n_{13} a_{131}a_{132}$
		$(1)C_1 \rightarrow C_4 C_4$
		$n_{14} a_{141}a_{142}$
	Class #2	$(1)C_2 \rightarrow C_4 C_\phi$
	$(n_2 = 1)$	$n_{21} a_{211}a_{212}$
	Class #3	$(1)C_3 \rightarrow C_\phi C_4$
	$(n_3 = 1)$	$n_{31} a_{311}a_{312}$
	Class #4	$(5)C_4 \rightarrow C_\phi C_\phi$
	$(n_4 = 1)$	$n_{41} a_{411}a_{412}$

Table 1.3: The values for the class parameters of Table 1.2, on isomorphic depth 0.

$V_2(z')$ ,  $V_3(z')$  and  $V_4(z')$ . They are:

$$V_\phi(z') = 1$$

$$V_4(z') = 1$$

$$V_3(z') = \frac{\sum_{p=1}^{n_3} n_{3p} z' V_{a_{3p1}}(z') V_{a_{3p2}}(z')}{\sum_{q=1}^{n_3} n_{3q}} = \frac{z' \times (1 \times V_\phi(z') \times V_4(z'))}{1} = z'$$

$$V_2(z') = \frac{\sum_{p=1}^{n_2} n_{2p} z' V_{a_{2p1}}(z') V_{a_{2p2}}(z')}{\sum_{q=1}^{n_2} n_{2q}} = \frac{z' \times (1 \times V_4(z') \times V_\phi(z'))}{1} = z'$$

$$\begin{aligned} V_1(z') &= \frac{\sum_{p=1}^{n_1} n_{1p} z' V_{a_{1p1}}(z') V_{a_{1p2}}(z')}{\sum_{q=1}^{n_1} n_{1q}} \\ &= \frac{z' \times (1 \times V_1(z') \times V_1(z') + 1 \times V_3(z') \times V_1(z') + 1 \times V_4(z') \times V_2(z') + 1 \times V_4(z') \times V_4(z'))}{4} \\ &= \frac{z' V_1(z')^2 + z'^2 V_1(z') + z'^2 + z'}{4} \end{aligned}$$

Rearrange the previous equation for  $V_1(z')$ , we obtain a quadratic function:

$$z' V_1(z')^2 + (z'^2 - 4) V_1(z') + (z'^2 + z') = 0$$

Solving  $V_1(z')$ , we obtain the formula

$$V_1(z') = \frac{4 - z'^2}{2z'} \pm \frac{1}{2z'} \sqrt{z'^4 - 4z'^3 - 12z'^2 + 16}$$

Finally, the radius of convergence  $R$ , and complexity  $K_0 = -\ln R$ , can be obtained from

this formula. But, computing the  $z^{max}$  directly is difficult, so we use iterations and region tests to approximate the complexity; details are as follows.



1. Rewrite the generating function as

$$V_i^m(z') = \frac{\sum_{p=1}^{n_i} n_{ip} z' V_{a_{ip1}}^{m-1}(z') V_{a_{ip2}}^{m-1}(z')}{\sum_{q=1}^{n_i} n_{iq}}$$

$$V_i^0(z') = 1$$

2. The value from  $V_i^0(z')$  to  $V_i^m(z')$ . When  $V_i^{m-1}(z') = V_i^m(z')$  for all rules, we say that  $V_i^m(z')$  reach the convergence, but  $z'$  is not the  $z^{max}$  we want. Here, we set  $m = 1000$  for each iteration.
3. Now we can test whether  $V_i^m(z')$  is convergent or divergent at a number  $z'$ . We use binary search to every real number between 0 and 1; in every test, when  $V_i^m(z')$  converges, we set bigger  $z'$  next time, but when  $V_i^m(z')$  diverges, we set smaller  $z'$  next time. Running more iterations will obtain more precise radius. Here, we set the iteration for 8 times.

The converge test result of generating function  $V_1^m(z')$  is shown in Figure 1.8. The generating function  $V_1^m(z')$  diverges when  $z' > 0.369109$ , that is,  $z'^{max} = 0.369109$  and the complexity value is  $K_0 = -\ln(R) = -\ln(z'^{max}) = 0.996663$ .

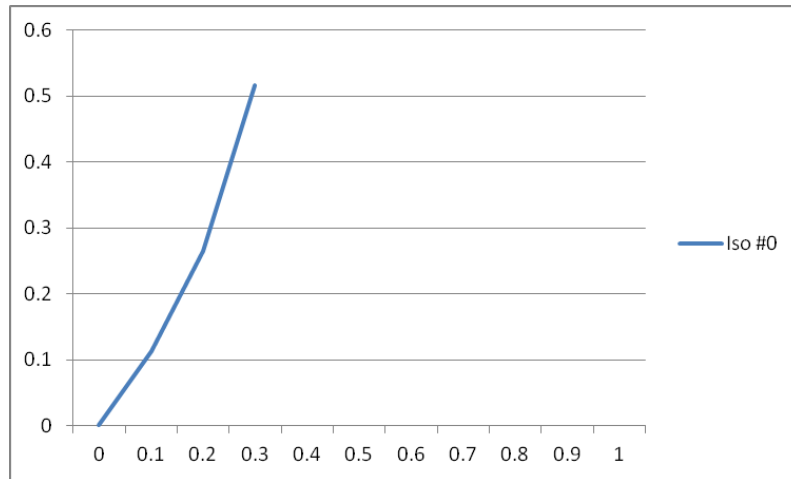


Figure 1.8: The converge test result of the generating function  $V_1^m(z)$ .

### 1.3 Other Applications



With Definition 1.6, Liou et al. gave a new idea in modeling complexity for music rhythms [5] and DNA sequences [8]. The former paper applies L-system to construct rhythmic trees, and analyzes the hierarchical characteristics of rhythm from the tree structure. A rhythmic tree is a tree of which each subtree is also a rhythmic tree. Each tree node represents the total beat duration that is equal to the sum of all those of its descendants. Given a rhythm, the direct way to represent it with an L-system is to construct rewriting rules that replace longer metrical units with shorter ones. An example is shown in Figure 1.9. Similarly, the latter paper applies L-system to construct DNA trees, and determines the dissimilarity between two given DNA sequences. A DNA tree is a binary tree of which each subtree is also a DNA tree. Every tree node is either a terminal node or a node with two children. There are four fixed tree representations for nucleotide bases A, C, T and G (see Figure 1.10). When transferring a sequence to DNA tree, we replace every word to the corresponding tree presentations, and two consecutive trees can combine to a bigger tree. Following the previous steps, a DNA tree will be constructed (see Figure 1.11). With some slightly modifications, transfer text sequences to binary strings, this technique can be applied to literary works, even Chinese word sequences [9].

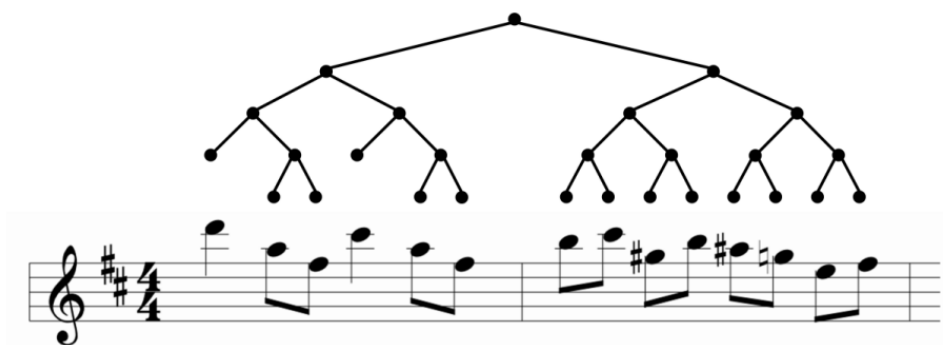


Figure 1.9: The rhythmic tree of jazz standard Blues For Alice.

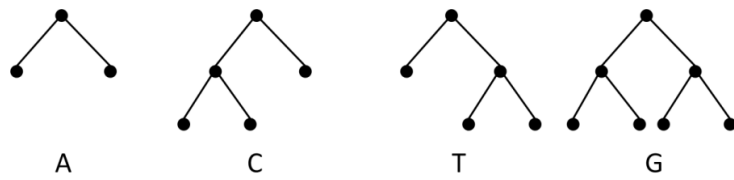


Figure 1.10: Tree representations of nucleotide bases.

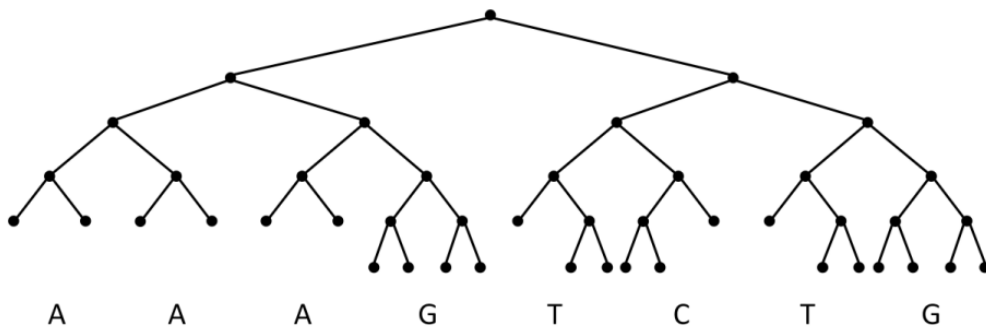


Figure 1.11: DNA sequence represented with text tree.



## Chapter 2

# The Complexity of Context-Sensitive Sequences

In this chapter, we apply the L-system modeling complexity method to some context-sensitive language cases. Different from the previous work we mentioned above, our method can deal with arbitrary length sequences. Basically, we have almost the same procedure with those previous work but the classification part. We made some modifications to the classification part for avoiding forward-referencing rules, and we will show that in the following case. Note that for every cases in this chapter, we use the same tree representation for each word “a”, “b”, “c” and “d”, as Figure 1.1 shows.

**Case 2.1.** *Given a context-sensitive grammar  $G_1$  and the language generated by  $G_1$  is*

$$L(G_1) = \{a^n b^n c^n | n \geq 1\}.$$

The language generated by  $G_1$  is  $aa \dots abb \dots bcc \dots c$  where the number of  $a$ ,  $b$  and  $c$  are the same. For example, we start at the sequence for  $n = 4$ , i.e.,  $aaaabbbbcccc$ . To apply the L-system method, first, we transfer each word of input sequence to corresponding tree representations, and combine all the tree representations to the text tree  $T_1(4)$  of  $L(G_1)$  for  $n = 4$  (see Figure 2.1). Since we have the text tree, we can list all the rewriting rules of Figure 2.1 into Table 2.1 but terminal rules, and transfer the name of each rule to class numbers for listing the classification Table 2.2.



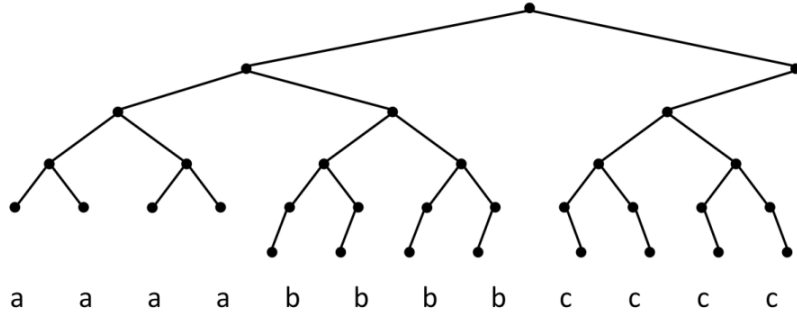
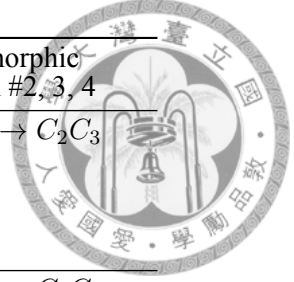


Figure 2.1: The text tree of Case 2.1 for  $n = 4$ .

$P \rightarrow [-FT_L][+FT_R]$	$T_R \rightarrow [-FT_{RL}]$
$T_L \rightarrow [-FT_{LL}][+FT_{LR}]$	$T_{RL} \rightarrow [-FT_{RL_L}][+FT_{RL_R}]$
$T_{LL} \rightarrow [-FT_{LL_L}][+FT_{LL_R}]$	$T_{RL_L} \rightarrow [-FT_{RL_{LL}}][+FT_{RL_{LR}}]$
$T_{LL_L} \rightarrow [-F][+F]$	$T_{RL_{LL}} \rightarrow [+F]$
$T_{LL_R} \rightarrow [-F][+F]$	$T_{RL_{LR}} \rightarrow [+F]$
$T_{LR} \rightarrow [-FT_{LR_L}][+FT_{LR_R}]$	$T_{RL_{RL}} \rightarrow [-FT_{RL_{RL_L}}][+FT_{RL_{RL_R}}]$
$T_{LR_L} \rightarrow [-FT_{LR_{LL}}][+FT_{LR_{LR}}]$	$T_{RL_{RL_L}} \rightarrow [+F]$
$T_{LR_{LL}} \rightarrow [-F]$	$T_{RL_{RL_R}} \rightarrow [+F]$
$T_{LR_{LR}} \rightarrow [-F]$	$T_{RL_{RR}} \rightarrow [+F]$
$T_{LR_{RL}} \rightarrow [-FT_{LR_{RL_L}}][+FT_{LR_{RL_R}}]$	
$T_{LR_{RL_L}} \rightarrow [-F]$	
$T_{LR_{RL_R}} \rightarrow [-F]$	
$T_{LR_{RR}} \rightarrow [-F]$	

Table 2.1: Rewriting Rules of the text tree in Figure 2.1.

Unfortunately, in this case, we can not apply the L-system method to compute the complexity directly since there are forward-referencing rules “ $C_2 \rightarrow C_1C_\phi$ ” and “ $C_3 \rightarrow C_2C_\phi$ ” in the classification Table 2.2. By the formula of definition 1.6, we know  $V_i(z)$  can be computed by  $V_j(z)$  only if  $i \leq j$ . The original classification method causes forward-referencing rules only if the text tree is a *difficult tree*. We define a four-nodes tree structure where the root  $s$  has left child  $t$  only and  $t$  has both left child  $u$  and right child  $v$  to be a *minimum difficult tree* and  $t$  to be a *stopper* (see Figure 2.2). A difficult tree is a tree contains at least one minimum difficult tree as its subtree. Note that stoppers, or minimum difficult trees, only appear on the path from root to the rightmost leaf. Also, tree representations should not be difficult trees. By traveling all nodes on the path, we can downwardly find all of the stoppers  $t_1, t_2, \dots, t_m$ . Also, we give every node  $x$  of the text tree a *priority value*, denoted by  $P(x)$ , initially set to 0. For each stoppers  $t_i$  we set  $P(t_i) = i$  and set



Classification of Rules	Isomorphic Depth #0	Isomorphic Depth #1	Isomorphic Depth #2, 3, 4
Class #1	(4) $C_1 \rightarrow C_1 C_1$ (2) $C_1 \rightarrow C_1 C_2$ (2) $C_1 \rightarrow C_2 C_2$ (2) $C_1 \rightarrow C_3 C_3$ (1) $C_1 \rightarrow C_4 C_4$	(1) $C_1 \rightarrow C_2 C_3$	(1) $C_1 \rightarrow C_2 C_3$
Class #2	*(1) $C_2 \rightarrow C_1 C_\phi$ (4) $C_2 \rightarrow C_4 C_\phi$	(1) $C_2 \rightarrow C_2 C_2$ (1) $C_2 \rightarrow C_4 C_4$ (1) $C_2 \rightarrow C_5 C_5$ (1) $C_2 \rightarrow C_6 C_6$	(1) $C_2 \rightarrow C_6 C_4$
Class #3	(4) $C_3 \rightarrow C_\phi C_4$	*(1) $C_3 \rightarrow C_2 C_\phi$	(1) $C_3 \rightarrow C_5 C_\phi$
Class #4	(12) $C_4 \rightarrow C_\phi C_\phi$	(2) $C_4 \rightarrow C_7 C_7$	(1) $C_4 \rightarrow C_7 C_7$
Class #5		(2) $C_5 \rightarrow C_8 C_8$	(1) $C_5 \rightarrow C_8 C_8$
Class #6		(2) $C_6 \rightarrow C_9 C_9$	(1) $C_6 \rightarrow C_9 C_9$
Class #7		(4) $C_7 \rightarrow C_9 C_\phi$	(2) $C_7 \rightarrow C_{10} C_{10}$
Class #8		(4) $C_8 \rightarrow C_\phi C_9$	(2) $C_8 \rightarrow C_{11} C_{11}$
Class #9		(12) $C_9 \rightarrow C_\phi C_\phi$	(2) $C_9 \rightarrow C_{12} C_{12}$
Class #10			(4) $C_{10} \rightarrow C_{12} C_\phi$
Class #11			(4) $C_{11} \rightarrow C_\phi C_{12}$
Class #12			(12) $C_{12} \rightarrow C_\phi C_\phi$

Table 2.2: Classification Based on the Similarity of Rewriting Rules in Table 2.1. (The star symbols “\*” point out where the forward-referencing rules are.)

the priority value for every descendant of  $t_i$  to  $P(t_i)$ , for  $i = 1, 2, \dots, m$ . That makes the descendants of lower stoppers have larger priority value.

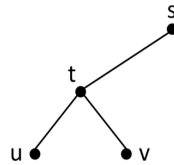


Figure 2.2: The structure of minimum difficult tree.

For example, we name each node of  $T_1(4)$  by a number increasingly in breadth-first search order (BFS for short, see Figure 2.3). First, we search for stoppers on the path from node #0 to node #31. In this case, there are only one stopper #5 in  $T_1(4)$  and we set  $P(\#5) = 1$ . Also, the priority value of all the descendants of node #5 are set to be  $P(\#5)$ .

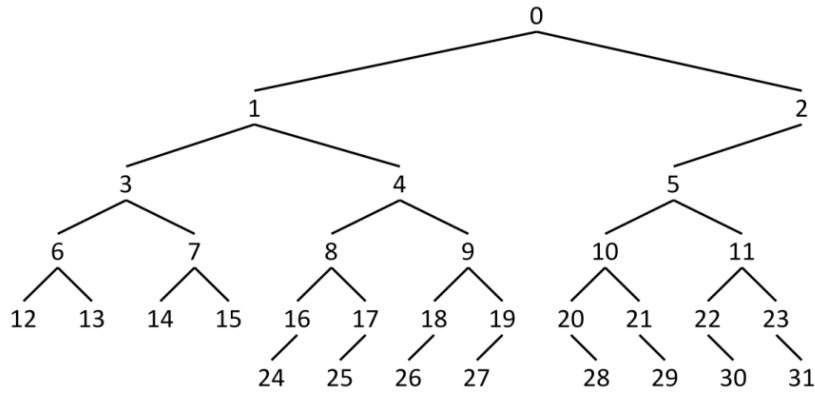


Figure 2.3: The text tree of Case 2.1 for  $n = 4$ , each node is numbered in BFS order.

---

**Algorithm 1** New classification algorithm.

---


```

1: let  $k$  be the isomorphic depth
2: let  $c = 1$  be current class number
3: for  $P = 0 \rightarrow$  largest priority value do
4:   for each node  $x$  of  $T$  in BFS order do
5:     if  $H(x) > k$  and  $C_x = 0$  and  $P(x) = P$  then
6:        $C_x = c$ 
7:        $c = c + 1$ 
8:       for each node  $y > x$  of  $T$  in BFS order do
9:         if  $H(y) > k$  and  $C_y = 0$  and  $P(y) = P$  and  $\text{Iso}_k(x, y)$  then
10:           $C_y = C_x$ 
11:        end if
12:      end for
13:     end if
14:   end for
15: end for
16: for  $l = k \rightarrow 0$  do
17:   for each node  $x$  of  $T$  in BFS order do
18:     if  $H(x) = l$  and  $C_x = 0$  then
19:        $C_x = c$ 
20:        $c = c + 1$ 
21:       for each node  $y > x$  of  $T$  in BFS order do
22:         if  $C_y = 0$  and  $\text{Iso}_k(x, y)$  then
23:            $C_y = C_x$ 
24:         end if
25:       end for
26:     end if
27:   end for
28: end for

```

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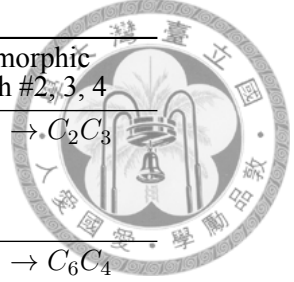
Now we introduce the new classification method Algorithm 1. Applying it on difficult trees ensures no forward-referencing rules appear. The basic idea of this algorithm is



dividing the classification into two parts. Let  $k$  be the isomorphic depth. The first part deal with each node  $x$  of the text tree where  $H(x) > k$ , in BFS order. When we classify these nodes, we have to make sure that for any  $x, y$  of the text tree,  $C_x < C_y$  only if  $P(x) < P(y)$ . That ensures the upper text tree nodes classified properly, because the lower stoppers and their descendants will always have larger class number. The detail of the first part is shown in line 3 to line 15 of Algorithm 1. The second part deal with each node  $x$  of the text tree where  $H(x) \leq k$ , in BFS order. When we classify these nodes, we have to make sure that for any  $x, y$  of the text tree,  $C_x < C_y$  only if  $H(x) > H(y)$ . That ensures the lower text tree nodes classified properly, and basically it is the classification method of those previous work. The detail of the second part is shown in line 16 to line 28 of Algorithm 1. Note that for each node  $x$  of the text tree,  $C_x = 0$  initailly.

Now we show how Algorithm 1 works on  $T_1(4)$ , on isomorphic depth 1. The first part classify the nodes with height more than 1 in BFS order, i.e., #0, #1, #2, #3, #4, #5, #8, #9, #10 and #11. Start from the nodes with lower priority value, #0, #1, #2, #3, #4, #8 and #9 are classified to be  $C_1, C_2, C_3, C_2, C_2, C_4$  and  $C_4$  respectively. Then the nodes with higher priority value, #5, #10 and #11, are classified to be  $C_5, C_6$  and  $C_6$  respectively. The second part classify the rest of nodes. Start from the nodes with height equal to 1 in BFS order, #6, #7, #16, #17, #18, #19, #20, #21, #22 and #23 are set to be  $C_7, C_7, C_8, C_8, C_8, C_8, C_9, C_9, C_9$  and  $C_9$  respectively. Then the nodes with height less than 1, terminal nodes, are classified to be  $C_{10}$ .

By applying this algorithm on all isomorphic depths 0, 1, 2, 3 and 4, we have the new classification Table 2.3. With this new table, now we can apply the modeling complexity method. We show the result of L-system modeling complexity computations of Case 2.1 for  $n = 1, 2, \dots, 64$ , on all isomorphic depths in Figure 2.4. The maximum isomorphic depth of each sequence of  $L(G_1)$  is  $H(T_1(n)) - 1$ . For example, the maximum isomorphic depth of  $L(G_1)$  for  $n = 4$  is  $H(T_1(4)) - 1 = 4$ .



Classification of Rules	Isomorphic Depth #0	Isomorphic Depth #1	Isomorphic Depth #2, 3, 4
Class #1	(3) $C_1 \rightarrow C_1 C_1$ (2) $C_1 \rightarrow C_1 C_2$ (2) $C_1 \rightarrow C_2 C_2$ (1) $C_1 \rightarrow C_5 C_5$	(1) $C_1 \rightarrow C_2 C_3$	(1) $C_1 \rightarrow C_2 C_3$
Class #2	(1) $C_2 \rightarrow C_3 C_\phi$ (4) $C_2 \rightarrow C_5 C_\phi$	(1) $C_2 \rightarrow C_2 C_2$ (1) $C_2 \rightarrow C_4 C_4$ (1) $C_2 \rightarrow C_7 C_7$	(1) $C_2 \rightarrow C_6 C_4$
Class #3	(1) $C_3 \rightarrow C_3 C_3$ (2) $C_3 \rightarrow C_4 C_4$	(1) $C_3 \rightarrow C_5 C_\phi$	(1) $C_3 \rightarrow C_5 C_\phi$
Class #4	(4) $C_4 \rightarrow C_\phi C_5$	(2) $C_4 \rightarrow C_8 C_8$	(1) $C_4 \rightarrow C_7 C_7$
Class #5	(12) $C_5 \rightarrow C_\phi C_\phi$	(1) $C_5 \rightarrow C_6 C_6$	(1) $C_5 \rightarrow C_8 C_8$
Class #6		(2) $C_6 \rightarrow C_9 C_9$	(1) $C_6 \rightarrow C_9 C_9$
Class #7		(2) $C_7 \rightarrow C_{10} C_{10}$	(2) $C_7 \rightarrow C_{10} C_{10}$
Class #8		(4) $C_8 \rightarrow C_{10} C_\phi$	(2) $C_8 \rightarrow C_{11} C_{11}$
Class #9		(4) $C_9 \rightarrow C_\phi C_{10}$	(2) $C_9 \rightarrow C_{12} C_{12}$
Class #10		(12) $C_{10} \rightarrow C_\phi C_\phi$	(4) $C_{10} \rightarrow C_{12} C_\phi$
Class #11			(4) $C_{11} \rightarrow C_\phi C_{12}$
Class #12			(12) $C_{12} \rightarrow C_\phi C_\phi$

Table 2.3: Classification Based on the Similarity of Rewriting Rules in Table 2.1 by applying Algorithm 1.

In Figure 2.4 we can see, for those short sequences generated by  $G_1$ , the modeling complexity method does not work properly on high isomorphic depths. The short sequences have no self-referencing rules (like  $C_1 \rightarrow C_1 C_1$ ) on high isomorphic depths, which means the generating function of short sequences on high isomorphic depths never diverge when  $0 < z < 1$ . In other word, the modeling complexity method works properly on isomorphic depth 0 even for very short sequences. For this reason, we only list the results on isomorphic depth 0 for all the following cases.

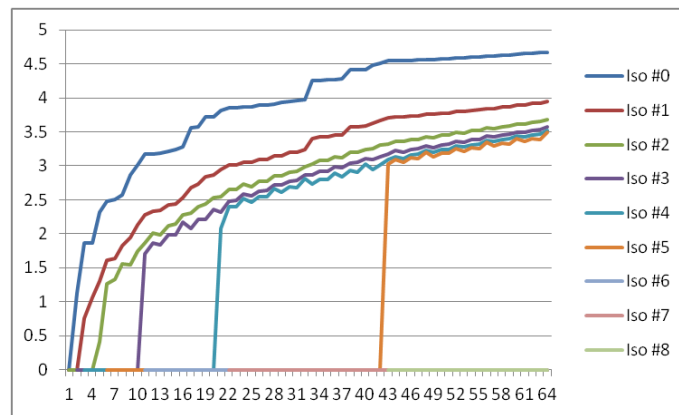


Figure 2.4: The complexity diagram of Case 2.1 for  $n = 1, 2, \dots, 64$  on all isomorphic depths.

On the other hand, we can use a single value  $\alpha$  to represent the *grammar complexity*

of any context-sensitive grammar. By the method of least squares, we can find a best-fit curve  $H = \ln n^\alpha + 1$  of all the modeling complexity values. For those faster growing generating functions, they have larger grammar complexity. With this value, we can compare the complexity of different context-sensitive grammars easily. We show the result in Figure 2.5 and the grammar complexity of  $G_1$  is  $\alpha_1 = 1.17967$ .

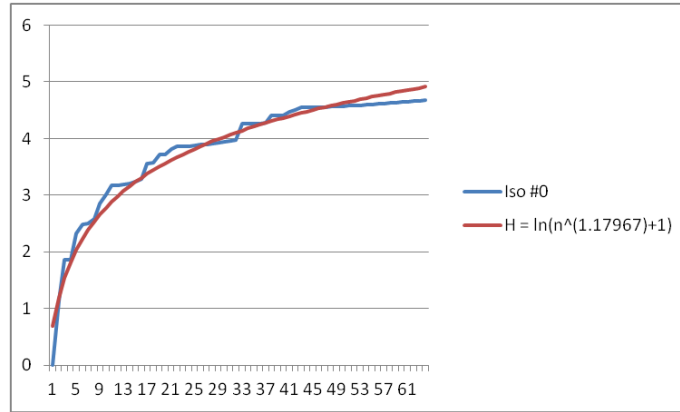


Figure 2.5: The complexity diagram of Case 2.1 for  $n = 1, 2, \dots, 64$  on isomorphic depth 0 and the least square error curve of  $H = \ln n^{1.17967} + 1$ .

**Case 2.2.** Given a context-sensitive grammar  $G_2$  and the language generated by  $G_2$  is

$$L(G_2) = \{a^n b^n c^n d^n | n \geq 1\}.$$

Similar to Case 2.1, the language generated by  $G_2$  is  $aa \dots abb \dots bcc \dots cdd \dots d$  where the number of  $a$ ,  $b$ ,  $c$  and  $d$  are the same. With more complicated grammars can be used to parse other languages with even more letters. For example, we start at the sequence for  $n = 3$ . With the similar processes, we have the tree representations trasfered from each word of the input sequence, and the text tree  $T_2(3)$  is shown in Figure 2.6. Then, we list all the rewriting rules from  $T_2(3)$  into Table 2.4, and the classification Table 2.5. The result of L-system modeling complexity computations and the best-fit curve for Case 2.2 for  $n = 1, 2, \dots, 64$ , on isomorphic depth 0 are shown in Figure 2.7. The grammar complexity of  $G_2$  is  $\alpha_2 = 1.25$ .

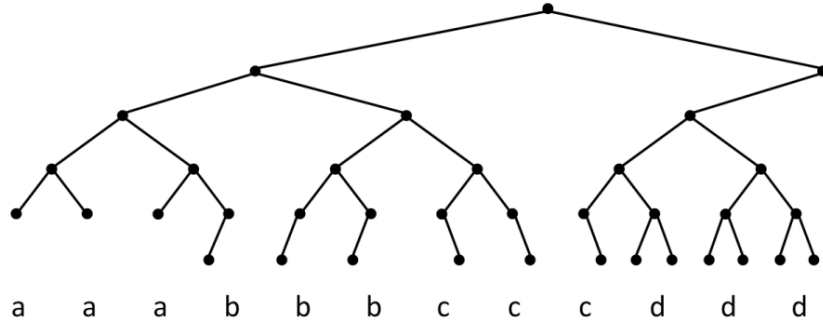


Figure 2.6: The text tree of Case 2.2 for  $n = 3$ .

$P \rightarrow [-FT_L][+FT_R]$ $T_L \rightarrow [-FT_{LL}][+FT_{LR}]$ $T_{LL} \rightarrow [-FT_{LLL}][+FT_{LLR}]$ $T_{LLL} \rightarrow [-F][+F]$ $T_{LLR} \rightarrow [-F][+FT_{LLRR}]$ $T_{LLRR} \rightarrow [-F]$ $T_{LR} \rightarrow [-FT_{LRL}][+FT_{LRR}]$ $T_{LRL} \rightarrow [-FT_{LRLR}][+FT_{LRLR}]$ $T_{LRLR} \rightarrow [-F]$ $T_{LRLR} \rightarrow [-F]$ $T_{LRLR} \rightarrow [-F]$ $T_{LRR} \rightarrow [-FT_{LRRR}][+FT_{LRRR}]$ $T_{LRRR} \rightarrow [+F]$ $T_{LRRR} \rightarrow [+F]$	$T_R \rightarrow [-FT_{RL}]$ $T_{RL} \rightarrow [-FT_{RLR}][+FT_{RLR}]$ $T_{RLR} \rightarrow [-FT_{RLRL}][+FT_{RLRL}]$ $T_{RLRL} \rightarrow [+F]$ $T_{RLRL} \rightarrow [-F][+F]$ $T_{RLR} \rightarrow [-FT_{RLRL}][+FT_{RLRR}]$ $T_{RLRL} \rightarrow [-F][+F]$ $T_{RLRR} \rightarrow [-F][+F]$
---	--

Table 2.4: Rewriting Rules of the text tree in Figure 2.6.



Classification of Rules	Isomorphic Depth #0
Class #1	(3) $C_1 \rightarrow C_1C_1$ (1) $C_1 \rightarrow C_1C_2$ (1) $C_1 \rightarrow C_2C_2$ (1) $C_1 \rightarrow C_3C_3$ (1) $C_1 \rightarrow C_6C_2$ (1) $C_1 \rightarrow C_6C_6$
Class #2	(1) $C_2 \rightarrow C_4C_\phi$ (3) $C_2 \rightarrow C_6C_\phi$
Class #3	(2) $C_3 \rightarrow C_\phi C_6$
Class #4	(2) $C_4 \rightarrow C_4C_4$ (1) $C_4 \rightarrow C_5C_4$ (3) $C_4 \rightarrow C_6C_6$
Class #5	(1) $C_5 \rightarrow C_\phi C_6$
Class #6	(15) $C_6 \rightarrow C_\phi C_\phi$

Table 2.5: Classification Based on the Similarity of Rewriting Rules in Table 2.4 by applying Algorithm 1.

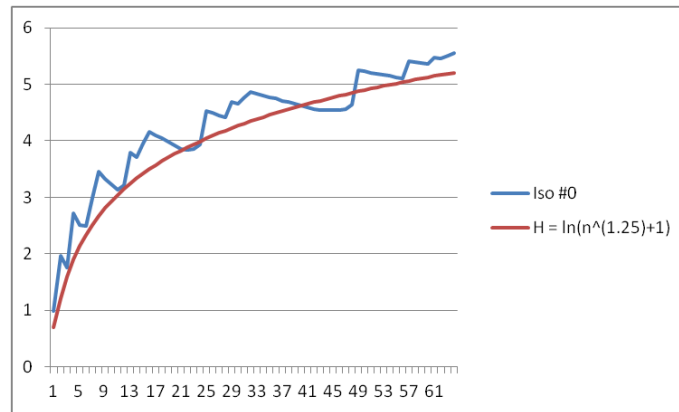


Figure 2.7: The complexity diagram of Case 2.2 for  $n = 1, 2, \dots, 64$  on isomorphic depth 0 and the least square error curve of  $H = \ln n^{1.25} + 1$ .

**Case 2.3.** Given a context-sensitive grammar  $G_3$  and the language generated by  $G_3$  is

$$L(G_3) = \{ww|w \in \{a, d\}^n, n > 0\}.$$

Case 2.3 is known as copy language or duplicate language. Copy language is also a typical type of context-sensitive language. In this case, we list all sequences in  $L(G_3)$  of length  $n \times 2$ , i.e., for  $n = 3$  we list  $aaaaaa, daadaa, adaada, ddadda, aadaad, daddad, addadd, dddddd$ . We apply L-system modeling complexity method to all sequences for  $n = 1, 2, \dots, 10$ , all diagram are shown in Figure 2.8 to 2.17. For every  $n$ , we compute



their mean complexity value of all enumerated sequences. Then, we have the best-fit curve for Case 2.3 and the grammar complexity of  $G_3$  is  $\alpha_3 = 1.404$ .

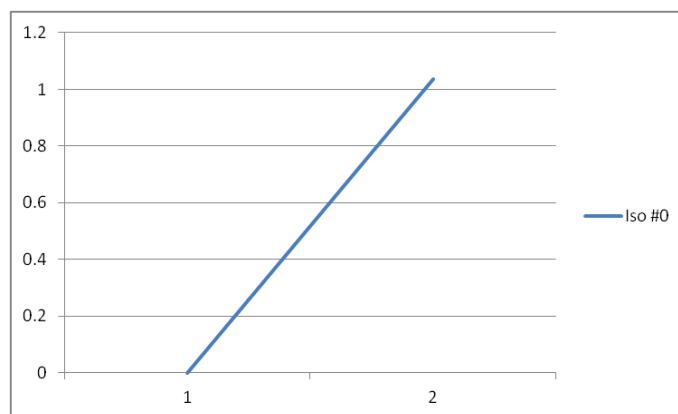


Figure 2.8: The complexity diagram of all sequences of Case 2.3 for  $n = 1$  on isomorphic depth 0.

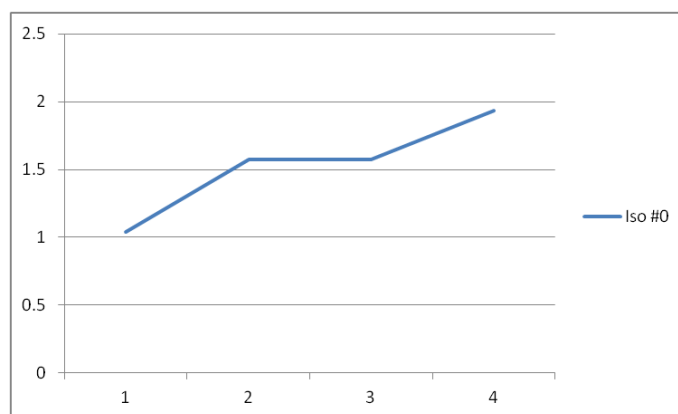


Figure 2.9: The complexity diagram of all sequences of Case 2.3 for  $n = 2$  on isomorphic depth 0.

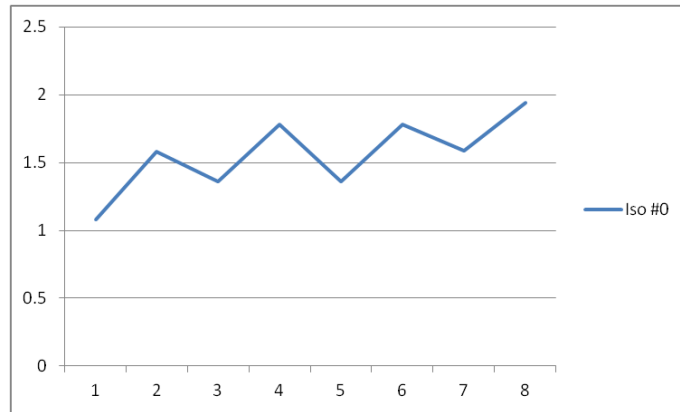


Figure 2.10: The complexity diagram of all sequences of Case 2.3 for  $n = 3$  on isomorphic depth 0.

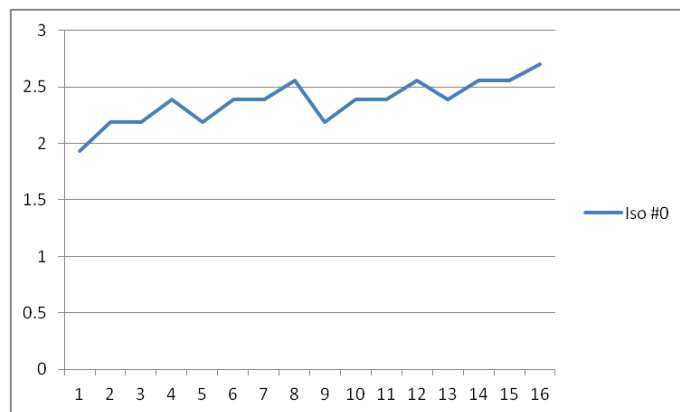


Figure 2.11: The complexity diagram of all sequences of Case 2.3 for  $n = 4$  on isomorphic depth 0.

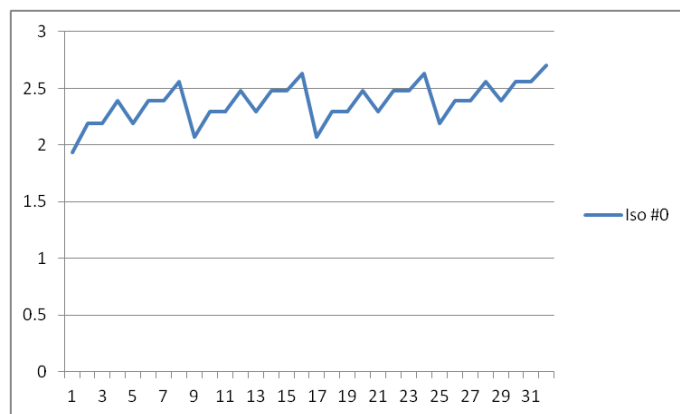


Figure 2.12: The complexity diagram of all sequences of Case 2.3 for  $n = 5$  on isomorphic depth 0.

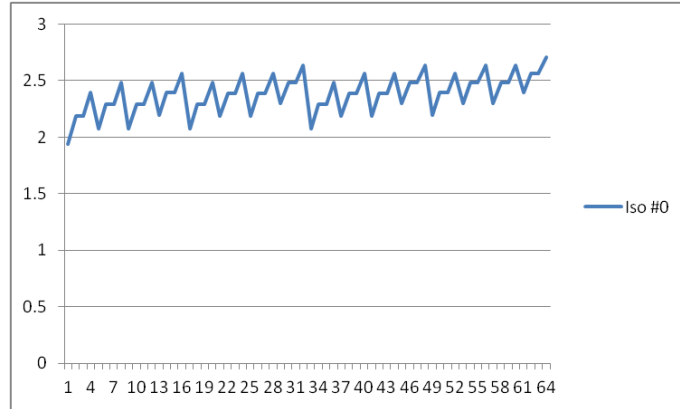
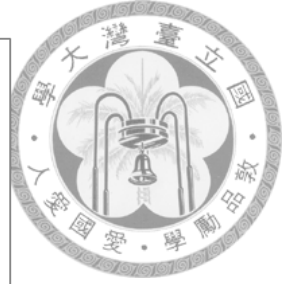


Figure 2.13: The complexity diagram of all sequences of Case 2.3 for  $n = 6$  on isomorphic depth 0.

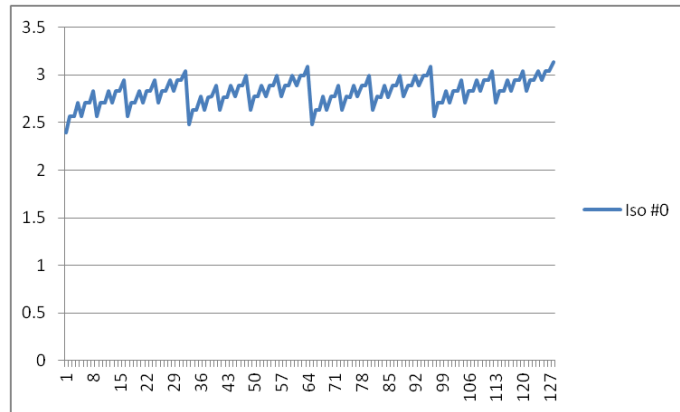


Figure 2.14: The complexity diagram of all sequences of Case 2.3 for  $n = 7$  on isomorphic depth 0.

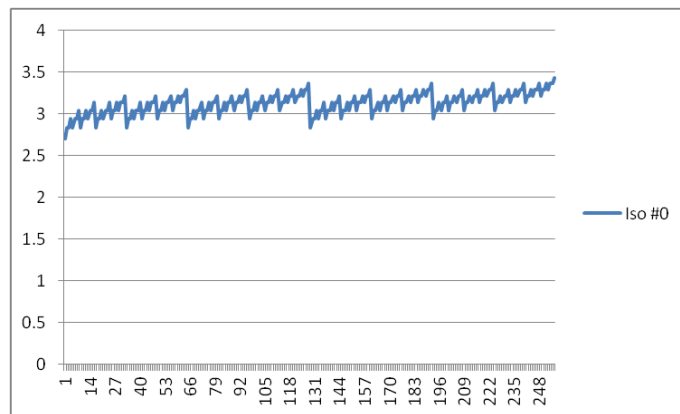


Figure 2.15: The complexity diagram of all sequences of Case 2.3 for  $n = 8$  on isomorphic depth 0.

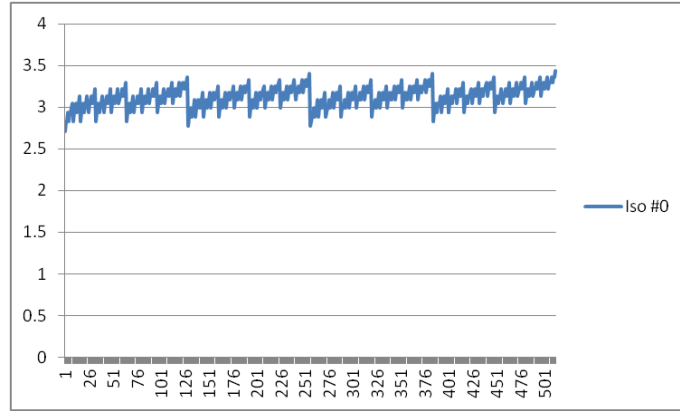
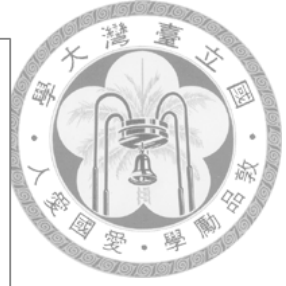


Figure 2.16: The complexity diagram of all sequences of Case 2.3 for  $n = 9$  on isomorphic depth 0.

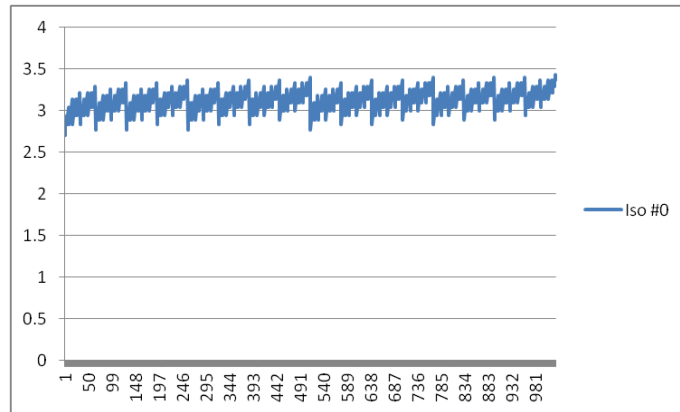


Figure 2.17: The complexity diagram of all sequences of Case 2.3 for  $n = 10$  on isomorphic depth 0.

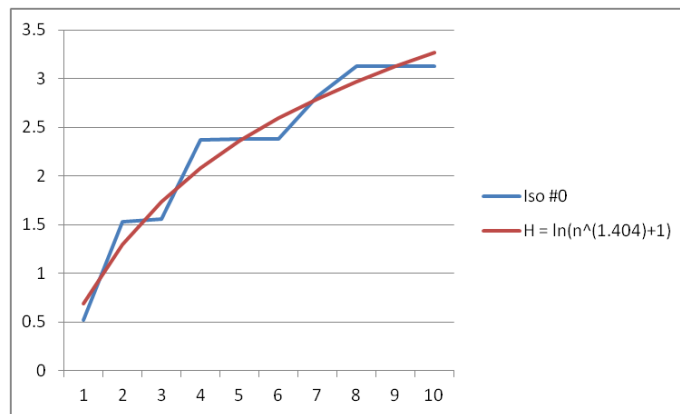


Figure 2.18: The complexity diagram of all sequences of Case 2.3 for  $n = 1, 2, \dots, 10$  on isomorphic depth 0 and the least square error curve of  $H = \ln n^{1.404} + 1$ .

**Case 2.4.** Given a context-sensitive grammar  $G_4$  and the language generated by  $G_4$  is

$$L(G_4) = \{ww|w \in \{b, c\}^n, n > 0\}.$$



The only difference between of Case 2.3 and Case 2.4 is the words they generate. With different tree representations, the text tree combined from them is different too. Thus, the complexity derived from text tree is also different. In this case, we list all sequences in  $L(G_4)$  of length  $n \times 2$ , i.e., for  $n = 3$  we list  $bbbbbb, cbbcb, bcbcb, cbccb, bcbcb, bcbcb, ccbccc$ . We apply L-system modeling complexity method to all sequences for  $n = 1, 2, \dots, 10$ , all diagram are shown in Figure 2.19 to 2.28. With similar processes, we have the best-fit curve for Case 2.4 and the grammar complexity of  $G_4$  is  $\alpha_4 = 1.05514$ .

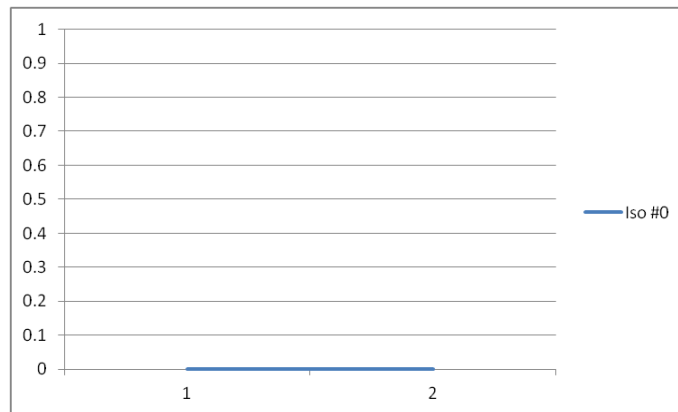


Figure 2.19: The complexity diagram of all sequences of Case 2.4 for  $n = 3$  on isomorphic depth 0.

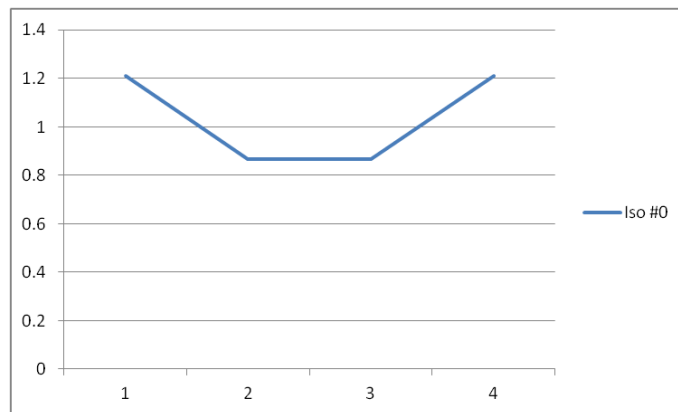


Figure 2.20: The complexity diagram of all sequences of Case 2.4 for  $n = 3$  on isomorphic depth 0.

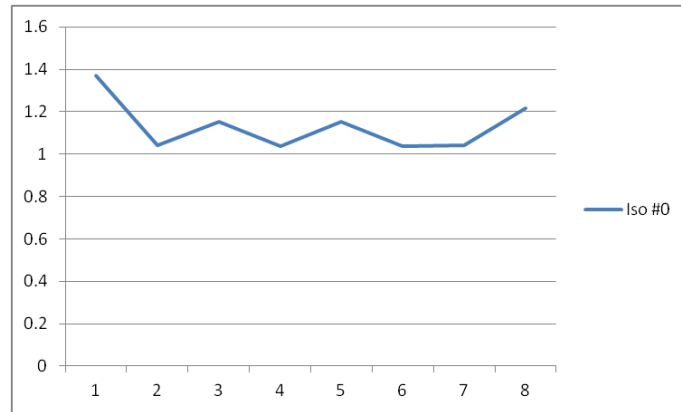


Figure 2.21: The complexity diagram of all sequences of Case 2.4 for  $n = 3$  on isomorphic depth 0.

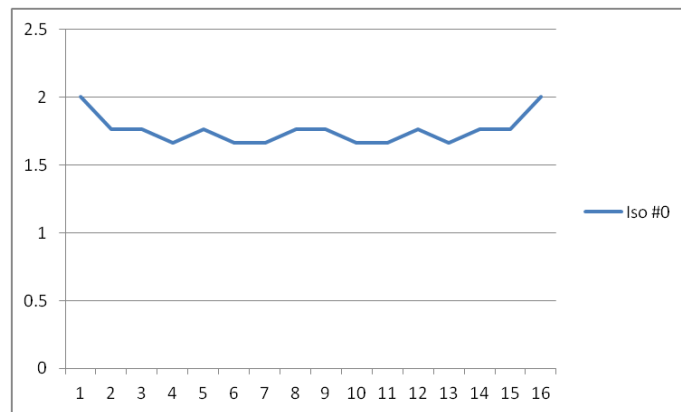


Figure 2.22: The complexity diagram of all sequences of Case 2.4 for  $n = 4$  on isomorphic depth 0.

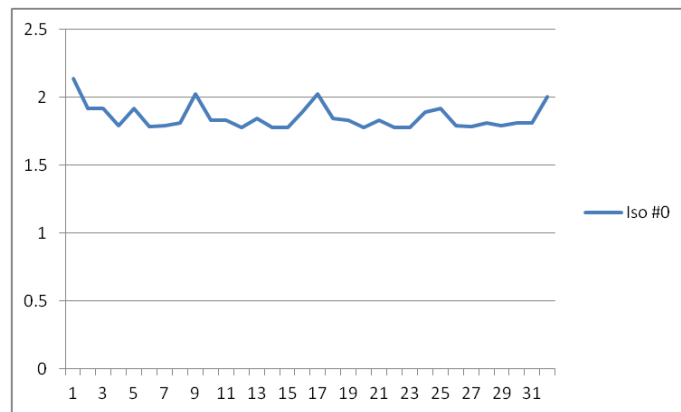


Figure 2.23: The complexity diagram of all sequences of Case 2.4 for  $n = 5$  on isomorphic depth 0.

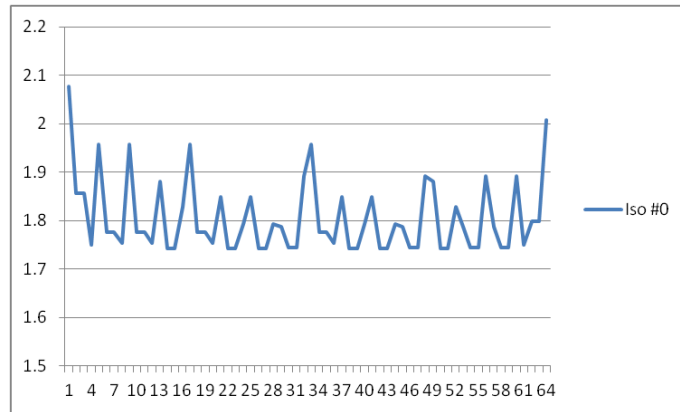


Figure 2.24: The complexity diagram of all sequences of Case 2.4 for  $n = 6$  on isomorphic depth 0.

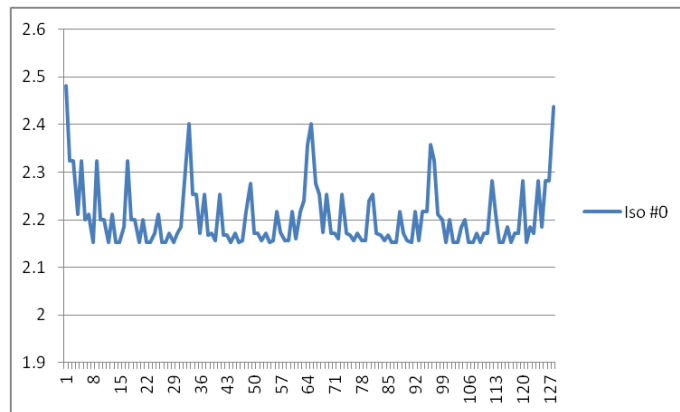


Figure 2.25: The complexity diagram of all sequences of Case 2.4 for  $n = 7$  on isomorphic depth 0.

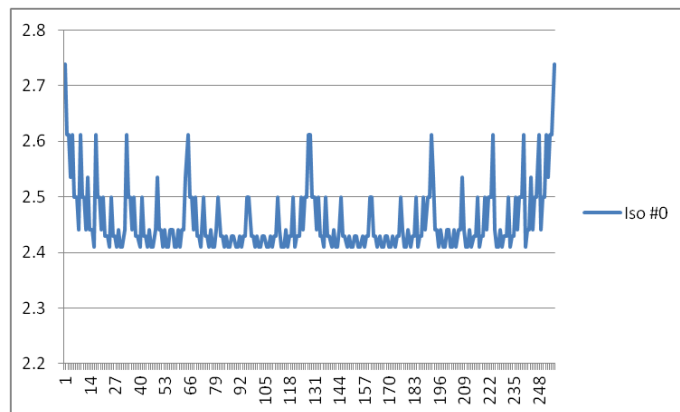


Figure 2.26: The complexity diagram of all sequences of Case 2.4 for  $n = 8$  on isomorphic depth 0.

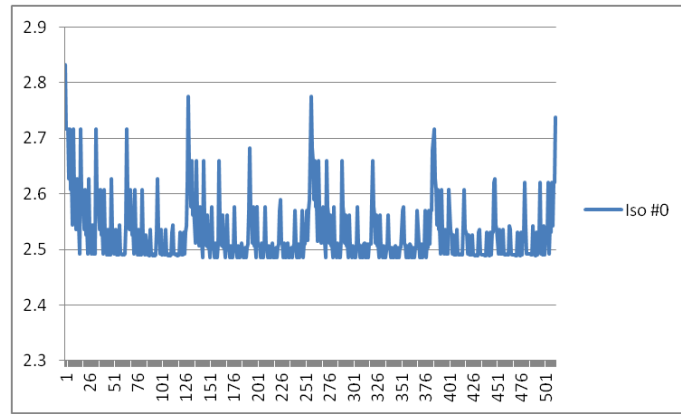


Figure 2.27: The complexity diagram of all sequences of Case 2.4 for  $n = 9$  on isomorphic depth 0.

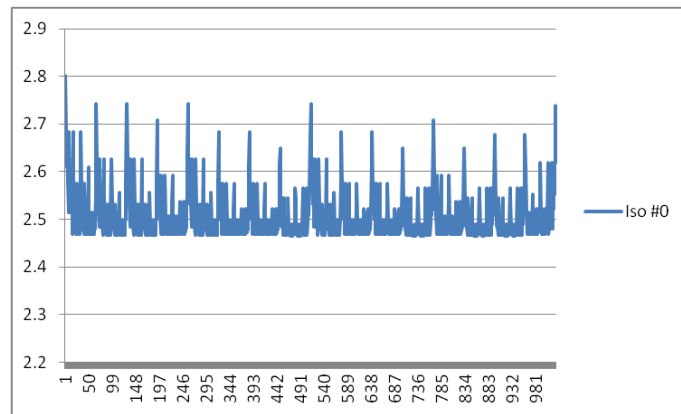


Figure 2.28: The complexity diagram of all sequences of Case 2.4 for  $n = 10$  on isomorphic depth 0.

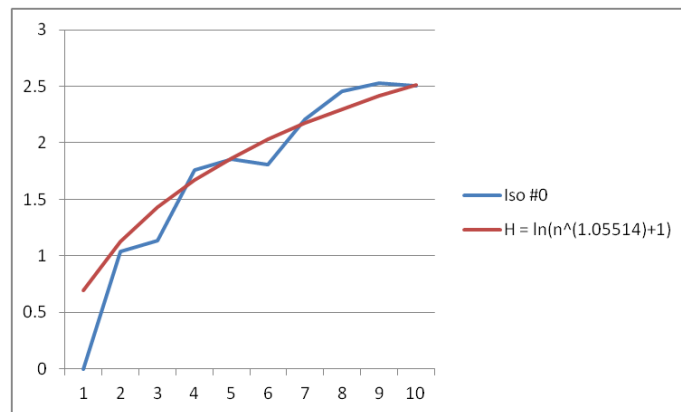


Figure 2.29: The complexity diagram of all sequences of Case 2.4 for  $n = 1, 2, \dots, 10$  on isomorphic depth 0 and the least square error curve of  $H = \ln n^{1.05514} + 1$ .





# Chapter 3

## Extension

In previous work, the text trees are binarily constructed. In fact, the modeling complexity method can be applied to ternary text trees cases. Here we take Case 2.1 for  $n = 3$  as an example below.

With the similar processes but build the text tree ternarily, we have the text tree  $T'_1(3)$  shown in Figure 3.1. Then, we list all the rewriting rules from  $T'_1(3)$  into Table 3.1. Here we use “M” and “=” to denote the middle subtree rule. The classification is shown in Table 3.2.

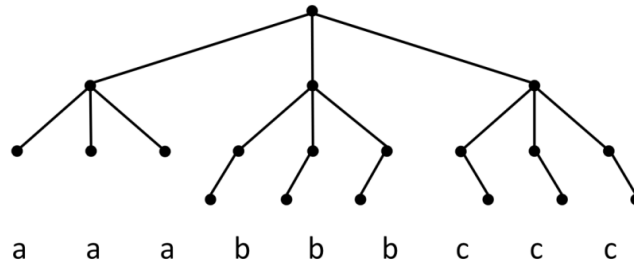
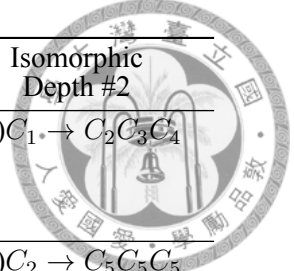


Figure 3.1: The ternary text tree of Case 2.1 for  $n = 3$ .

$  \begin{aligned}  P &\rightarrow [-FT_L][= FT_M][+FT_R] \\  T_L &\rightarrow [-FT_{LL}][= FT_{LM}][+FT_{LR}] \\  T_M &\rightarrow [-FT_{ML}][= FT_{MM}][+FT_{MR}] \\  T_{ML} &\rightarrow [-FT_{MLL}] \\  T_{MM} &\rightarrow [-FT_{MML}] \\  T_{MR} &\rightarrow [-FT_{MRL}]  \end{aligned}  $	$  \begin{aligned}  T_R &\rightarrow [-FT_{RL}][= FT_{RM}][+FT_{RR}] \\  T_{RL} &\rightarrow [+FT_{RLR}] \\  T_{RM} &\rightarrow [+FT_{RMR}] \\  T_{RR} &\rightarrow [+FT_{RRR}]  \end{aligned}  $
---	--

Table 3.1: Rewriting Rules of the ternary text tree in Figure 3.1.



Classification of Rules	Isomorphic Depth #0	Isomorphic Depth #1	Isomorphic Depth #2
Class #1	(1) $C_1 \rightarrow C_1 C_1 C_1$ (1) $C_1 \rightarrow C_2 C_2 C_2$ (1) $C_1 \rightarrow C_3 C_3 C_3$ (1) $C_1 \rightarrow C_4 C_4 C_4$	(1) $C_1 \rightarrow C_2 C_3 C_4$	(1) $C_1 \rightarrow C_2 C_3 C_4$
Class #2	(3) $C_2 \rightarrow C_4 C_\phi C_\phi$	(1) $C_2 \rightarrow C_5 C_5 C_5$	(1) $C_2 \rightarrow C_5 C_5 C_5$
Class #3	(3) $C_3 \rightarrow C_\phi C_\phi C_4$	(1) $C_3 \rightarrow C_6 C_6 C_6$	(1) $C_3 \rightarrow C_6 C_6 C_6$
Class #4	(9) $C_4 \rightarrow C_\phi C_\phi C_\phi$	(1) $C_4 \rightarrow C_7 C_7 C_7$	(1) $C_4 \rightarrow C_7 C_7 C_7$
Class #5		(1) $C_5 \rightarrow C_7 C_\phi C_\phi$	(1) $C_5 \rightarrow C_7 C_\phi C_\phi$
Class #6		(2) $C_6 \rightarrow C_\phi C_\phi C_7$	(2) $C_6 \rightarrow C_\phi C_\phi C_7$
Class #7		(2) $C_7 \rightarrow C_\phi C_\phi C_\phi$	(2) $C_7 \rightarrow C_\phi C_\phi C_\phi$

Table 3.2: Classification Based on the Similarity of Rewriting Rules in Table 3.1.

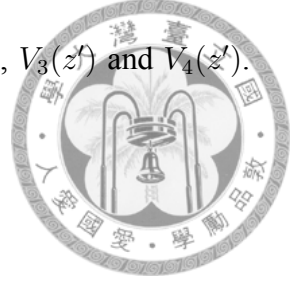
By slightly modifications, the complexity formula can be applied to ternary cases:

$$V_i(z) = \frac{\sum_{p=1}^{n_i} n_{ip} z V_{a_{ip1}}(z) V_{a_{ip2}}(z) V_{a_{ip3}}(z)}{\sum_{q=1}^{n_i} n_{iq}}$$

For example, we compute the ternary modeling complexity value of sequence “*aaabbbccc*” on isomorphic depth 0 now. According to the definition, the given values for the class parameters are listed in Table 3.3.

	Classification of Rules	Isomorphic Depth #0
$(n = 7)$	Class #1 $(n_1 = 1)$	(1) $C_1 \rightarrow C_1 C_1 C_1$ $n_{11} \quad a_{111} a_{112} a_{113}$
		(1) $C_1 \rightarrow C_2 C_2 C_2$ $n_{12} \quad a_{121} a_{122} a_{123}$
		(1) $C_1 \rightarrow C_3 C_3 C_3$ $n_{13} \quad a_{131} a_{132} a_{133}$
		(1) $C_1 \rightarrow C_4 C_4 C_4$ $n_{14} \quad a_{141} a_{142} a_{143}$
	Class #2 $(n_2 = 1)$	(3) $C_2 \rightarrow C_4 C_\phi C_\phi$ $n_{21} \quad a_{211} a_{212} a_{213}$
	Class #3 $(n_3 = 1)$	(3) $C_3 \rightarrow C_\phi C_\phi C_4$ $n_{31} \quad a_{311} a_{312} a_{313}$
	Class #4 $(n_4 = 1)$	(9) $C_4 \rightarrow C_\phi C_\phi C_\phi$ $n_{41} \quad a_{411} a_{412} a_{413}$

Table 3.3: The values for the class parameters of Table 3.2, on isomorphic depth 0.



There are 4 classes, so we obtain the formulas for  $V_1(z')$ ,  $V_2(z')$ ,  $V_3(z')$  and  $V_4(z')$ .

They are:

$$V_\phi(z') = 1$$

$$V_4(z') = 1$$

$$V_3(z') = \frac{\sum_{p=1}^{n_3} n_{3p} z' V_{a_{3p1}}(z') V_{a_{3p2}}(z') V_{a_{3p3}}(z')}{\sum_{q=1}^{n_3} n_{3q}} = \frac{z' \times (1 \times V_\phi(z') \times V_\phi(z') \times V_4(z'))}{1} = z'$$

$$V_2(z') = \frac{\sum_{p=1}^{n_2} n_{2p} z' V_{a_{2p1}}(z') V_{a_{2p2}}(z') V_{a_{2p3}}(z')}{\sum_{q=1}^{n_2} n_{2q}} = \frac{z' \times (1 \times V_4(z') \times V_\phi(z') \times V_\phi(z'))}{1} = z'$$

$$\begin{aligned} V_1(z') &= \frac{\sum_{p=1}^{n_1} n_{1p} z' V_{a_{1p1}}(z') V_{a_{1p2}}(z') V_{a_{1p3}}(z')}{\sum_{q=1}^{n_1} n_{1q}} \\ &= \frac{z' \times (1 \times V_1(z')^3 + 1 \times V_2(z')^3 + 1 \times V_3(z')^3 + 1 \times V_4(z')^3)}{4} \\ &= \frac{z' V_1(z')^3 + 2z'^4 + z'}{4} \end{aligned}$$

Solving  $V_1^m(z')$ ,  $m = 1000$ , the radius of convergence  $R$ , and complexity  $K_0 = -\ln R$ , can be obtained from this formula.

Also, the difficult tree causes forward-referencing rules in classification as well. Similar to binary text tree cases, the stoppers only appear on the path from root to the rightmost leaf. Generally, finding stoppers, are finding the nodes who have more children than its parent has. With this concept, we can classify the rewriting rules properly on arbitrary ternary text tree, or even on  $n$ -ary tree cases for arbitrary positive integer  $n$ .



## Chapter 4

### Summary

In this article, we deal with arbitrary length context-sensitive languages and compute their L-system modeling complexity. Different from previous work, our input sequence is not divided into fixed length shorter sequences but whole. For any given grammar  $G$ , longer language  $L(G)$  generated by  $G$  has larger modeling complexity value. That is because in longer sequences, the generating functions grow much faster than shorter ones. After computing modeling complexity values of many  $n$ s, we can use a single value  $\alpha$  to represent grammar complexity by finding the best-fit curve  $H = \ln n^\alpha$ . Generating functions on high isomorphic depth never diverge, so we use isomorphic depth 0 for all cases. Also that is why modeling complexity results on higher isomorphic depth are smaller. For any sequence, using different tree representations also derives different L-system modeling complexity values. There are many possible combinations between input sequences and tree representations to build an identical text tree. If combination of distinct input sequences and distinct tree presentations derives the same text tree, then they have the same L-system modeling complexity values. That is, for the tree representations shown in Figure 1.1, sequence “aaaaaaaa” and sequence “dddd” have the same text tree and complexity.

We may also use the extension formula in Chapter 3 to compute the L-system complexity of *penrose tiling* [10]. A Penrose tiling is a non-periodic tiling generated by an aperiodic set of prototiles. Penrose tilings are named after mathematician and physicist Roger Penrose who investigated these sets in the 1970s. L-system can implement penrose

tiling properly and Malsys.cz [11] is a website to demonstrate it.



## Acknowledgements

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# Appendix A

## Code

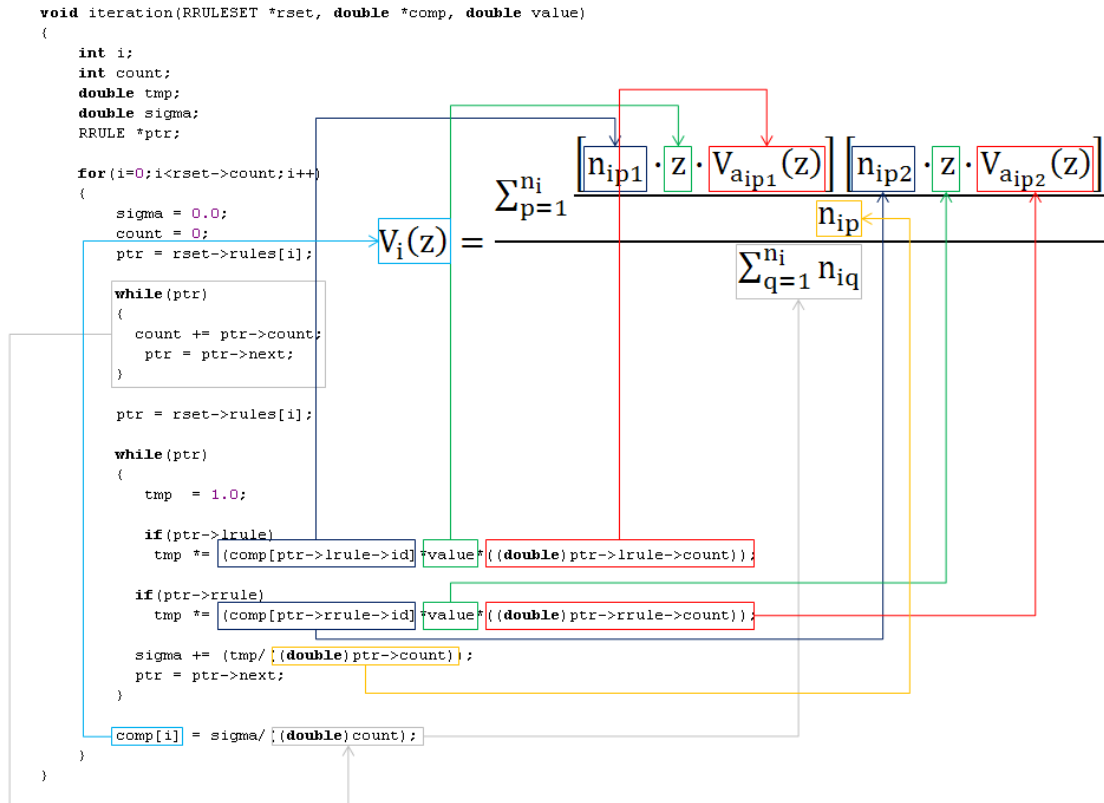


Figure A.1: Code of previous work.



```

void iteration(RRULESET *rset, double *comp, double value)
{
    int i;
    int count;
    double tmp;
    double sigma;
    RRULE *ptr;

    for(i=0;i<rset->count;i++)
    {
        sigma = 0.0;
        count = 0;
        ptr = rset->rules[i];

        while(ptr)
        {
            count += ptr->count;
            ptr = ptr->next;
        }

        ptr = rset->rules[i];

        while(ptr)
        {
            tmp = 1.0; tmp = value * ((double) ptr->count)

            if(ptr->lrule)
                tmp *= (comp[ptr->lrule->id] * value * ((double) ptr->lrule->count););

            if(ptr->rrule)
                tmp *= (comp[ptr->rrule->id] * value * ((double) ptr->rrule->count););

            sigma += (tmp / ((double) ptr->count););
            ptr = ptr->next;
        }

        comp[i] = sigma / ((double) count);
    }
}

```

$$V_i(z) = \frac{\sum_{p=1}^{n_i} n_{ip} z V_{a_{ip1}}(z) V_{a_{ip2}}(z)}{\sum_{q=1}^{n_i} n_{iq}}$$

Figure A.2: Paper code.



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