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碩士論文

Department of Mathematics  
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空間形式中均曲率流的幾何性質

The Non-collapsing Property  
for Mean Curvature flow in  $S^{n+1}$



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## 中文摘要

跟著 [1] 中的計算，我們利用特定的次橢圓算子以及最大值原理來證明球面上餘維度 1 曲面的某些性質會在均曲率流中會保持。利用同樣的方法，我們證明雙曲空間中餘維度 1 的均曲率流也會保持某種凸性。

關鍵詞：均曲率流、尺度不變量、最大值原理



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# 1 Introduction

Scale invariant quantities are very usefully in studying the asymptotic behaviour of mean curvature because selfsimilars appear as we do parabolic rescaling near the singularity. In [3] and [4] Huisken and Hamilton both used scale invariant quantity to rule out grim reaper as the limit of curve shortening flow with compact embedding initial condition. In [1], Andrews defined  $\delta$  non-collapsing property for mean convex hypersurface in  $\mathbb{R}^{n+1}$  which is also scale invariant. He used maximal principle to prove this property is preserved under the mean curvature flow and reproved Huisken's celebrated result: every convex hypersurface in  $\mathbb{R}^{n+1}$  would converge to a round point under the mean curvature flow. In the first part of this paper, we follow the calculation in [1] to prove that  $\delta$  non-collapsing is preserved for mean curvature flows in  $S^{n+1}$ . In the second part we use a similar method to prove the preservation of certain convexity condition for mean curvature flows in the hyperbolic space.

**Definition 1** Let  $M^n \hookrightarrow \mathbb{R}^{n+1}$  be a closed mean-convex hypersurface bounding an open region  $\Omega$ . For  $x \in M$ , let  $\nu_x$  be the unit outer normal of  $M$  at  $x$ ,  $H(x)$  be the mean curvature and  $\delta > 0$  be a constant. Denote by  $B(x, \delta)$  the ball with center  $x - \delta/H(x)\nu_x$  and radius  $\delta/H(x)$ .  $M$  is called interior  $\delta$  non-collapsing if for all  $x \in M$   $B(x, \delta)$  is contained in  $\Omega$ . Suppose that  $M$  is convex, then  $M$  is called exterior  $\delta$  non-collapsing if  $\Omega$  is contained in  $B(x, \delta)$  for all  $x \in M$ .

Proposition 1 is proved in [1].

**Proposition 1** Let  $F : [0, T) \times \bar{M}^n \rightarrow \mathbb{R}^{n+1}$  be a family of smooth embeddings evolved by the mean curvature flow.

$$\frac{\partial F}{\partial t} = -H\nu$$

Suppose that  $M_0 = F(0, \bar{M})$  is interior(exterior)  $\delta$  non-collapsing, then  $M_t = F(t, \bar{M})$  is also interior(exterior)  $\delta$  non-collapsing for all  $t \in [0, T)$ .

Consider  $\delta$  non-collapsing for mean-convex hypersurfaces in  $S^{n+1}$ . Note that the mean curvature of a geodesic sphere in  $S^{n+1}$  with radius  $r > 0$  is  $n \cot(r)$ . Here we define  $\delta$  non-collapsing in  $S^{n+1}$ :

**Definition 2** Let  $M^n \hookrightarrow S^{n+1}$  be a closed mean-convex hypersurface and  $\Omega$  is the domain bounded by  $M$ . For  $x \in M$ , denote by  $H(x)$  the mean curvature of  $M$  at  $x$ ,  $B(x, \delta)$  the geodesic ball with center  $\exp_x(-\cot^{-1}(H(x)/\delta)\nu_x)$  and radius  $\cot^{-1}(H(x)/\delta)$ .  $M$  is interior  $\delta$  non-collapsing if for any  $x \in M$ ,  $B(x, \delta)$  is contained in  $\Omega$ . Suppose that  $M$  is convex, then  $M$  is exterior  $\delta$  non-collapsing if  $\Omega$  is contained in  $B(x, \delta)$  for all  $x \in M$ .

In the  $(n+1)$ -dimensional hyperbolic space, a horosphere is a hypersurface which is the limit of geodesic spheres, passing through a same point and whose center goes to infinity along a geodesic. In other words, horospheres are the umbilic hypersurfaces with mean curvature equal to  $n$ .

**Definition 3** Let  $M^n \hookrightarrow \mathbb{H}^{n+1}$  be a closed convex hypersurface,  $\Omega$  be the domain bounded by  $M$  and  $\delta > 0$  be a constant. For any  $x \in M$ , denote by  $N_x$  the complete umbilic hypersurface with mean curvature  $n/\delta$ , tangent to  $M$  at  $x$  and has the same normal vector with  $M$  at  $x$ .  $M$  is called  $\delta$ -convex if  $\Omega$  is enclosed by  $N_x$  for any  $x \in M$ . In particular, a 1-convex hypersurface is supported by horospheres.

The main result of this paper is the following:

**Proposition 2** *Let  $F : [0, T) \times \bar{M}^n \rightarrow \mathbb{S}^{n+1}$  be a family of smooth embeddings evolved by the mean curvature flow. Suppose that  $M_0 = F(0, \bar{M})$  is interior(exterior)  $\delta$  non-collapsing, then  $M_t = F(t, \bar{M})$  is also interior(exterior)  $\delta$  non-collapsing for all  $t \in [0, T)$ .*

**Proposition 3** *Let  $F : [0, T) \times \bar{M}^n \rightarrow \mathbb{H}^{n+1}$  be a family of smooth embeddings evolved by the mean curvature flow. Assume that  $M_0 = F(0, \bar{M})$  is  $\delta$ -convex for some  $0 < \delta \leq 1$ . Then then  $M_t = F(t, \bar{M})$  is also  $\delta$ -convex for all  $t \in [0, T)$ .*

**Remark:** It is easy to see that  $\delta$ -convexity implies  $h_{ij} \geq \delta g_{ij}$ . In [2], it is proved that a closed hypersurface is 1-convex(supported by horospheres) if and only if  $h_{ij} \geq g_{ij}$ .

## 2 Evolution Equations of MCF in $S^{n+1} \hookrightarrow \mathbb{R}^{n+2}$

$\bar{F} : [0, T) \times \bar{M}^n \rightarrow S^{n+1}$  is a family of smooth embeddings evolved by the mean curvature flow in  $S^{n+1}$ .  $i : S^{n+1} \hookrightarrow \mathbb{R}^{n+2}$  is the standard embedding.  $F := i \circ \bar{F}$ . Let  $g_{ij}$  be the induced metric,  $A = \{h_{ij}\}$  be the second fundamental form,  $H$  be the mean curvature and  $\nu$  be the unit outer normal of  $F(t, \bar{M})$  in  $S^{n+1}$ . In the following,  $F$  and  $\nu$  are considered to be vectors in  $\mathbb{R}^{n+2}$ .  $\Delta$  and  $\nabla$  are the Laplace-Beltrami operator and covariant derivative with respect to the induced metric.  $\langle \cdot, \cdot \rangle$  and  $\|\cdot\|$  are the standard inner product and the standard norm in the Euclidean space.

**Lemma 1** *The evolution equations are:*

- (a)  $\frac{\partial F}{\partial t} = \Delta F + nF$
- (b)  $\frac{\partial \nu}{\partial t} = \Delta \nu + |A|^2 \nu + 2HF$
- (c)  $\frac{\partial H}{\partial t} = \Delta H + (|A|^2 + n)H$

**proof:**

We use normal coordinates in the calculation. Since  $F$  is evolved by MCF on sphere,

$$\frac{\partial F}{\partial t} = \Delta F - \langle \Delta F, F \rangle F$$

and

$$0 = \Delta \langle F, F \rangle = 2 \langle \Delta F, F \rangle + 2n$$

Then we have (a). For (b), to find the component of  $\frac{\partial \nu}{\partial t}$  in each direction, we compute

$$\begin{aligned} \left\langle \frac{\partial \nu}{\partial t}, \frac{\partial F}{\partial x^i} \right\rangle &= - \left\langle \nu, \frac{\partial}{\partial x^i} (-H\nu) \right\rangle = \frac{\partial H}{\partial x^i} \\ \left\langle \frac{\partial \nu}{\partial t}, \nu \right\rangle &= 0 \text{ and } \left\langle \frac{\partial \nu}{\partial t}, F \right\rangle = - \langle \nu, -H\nu \rangle = H \end{aligned}$$

Thus

$$\frac{\partial \nu}{\partial t} = \nabla H + HF$$

To calculate  $\nabla_i \nu$

$$\begin{aligned} \langle \nabla_i \nu, \nu \rangle &= 0, \quad \langle \nabla_i \nu, F \rangle = - \left\langle \nu, \frac{\partial F}{\partial x^i} \right\rangle = 0 \\ \left\langle \nabla_i \nu, \frac{\partial F}{\partial x^j} \right\rangle &= - \left\langle \nu, \nabla_i \frac{\partial F}{\partial x^j} \right\rangle = h_{ij} \end{aligned}$$

So we get  $\nabla_i \nu = g^{km} h_{mi} \frac{\partial F}{\partial x^k}$ .

$$\begin{aligned} \Delta \nu &= g^{ij} \nabla_j \nabla_i \nu = g^{ij} \nabla_j g^{km} h_{mi} \frac{\partial F}{\partial x^k} \\ &= g^{ij} (g^{km} \nabla_j h_{mi} \frac{\partial F}{\partial x^k} + g^{km} h_{mi} (-h_{jk} \nu - g_{jk} F)) \end{aligned}$$

by Codazzi equation

$$= \nabla H - |A|^2 \nu - HF$$

Then we obtain (b)

$$\frac{\partial \nu}{\partial t} = \Delta \nu + |A|^2 \nu + 2HF$$

For (c)

$$\begin{aligned} \frac{\partial h_{ij}}{\partial t} &= \frac{\partial}{\partial t} \left\langle \frac{\partial F}{\partial x^i}, \nabla_j \nu \right\rangle = \left\langle \nabla_i (-H \nu), h_{jk} g^{kl} \frac{\partial F}{\partial x^l} \right\rangle + \left\langle \frac{\partial F}{\partial x^i}, \nabla_j (\nabla H + HF) \right\rangle \\ &= -H h_{jk} h_{il} g^{kl} + H g_{ij} + \nabla_j \nabla_i H \\ \frac{\partial H}{\partial t} &= -g^{ik} g^{lj} (-2H g_{kl}) h_{ij} + g^{ij} (-H h_{jk} h_{il} g^{kl} + H g_{ij} + \nabla_j \nabla_i H) = \Delta H + (|A|^2 + n)H \end{aligned}$$

□

### 3 $\delta$ Non-collapsing in $S^{n+1}$

**Lemma 2**  $F : M^n \hookrightarrow S^{n+1}$  is interior(exterior)  $\delta$  non-collapsing if and only if

$$Z(x, y) := \frac{H(x)}{2} \|F(y) - F(x)\|^2 + \delta \langle F(y) - F(x), \nu_x \rangle \geq 0 (\leq 0), \quad \forall x, y \in M$$

**proof:**

It is clear that  $F(x) - \delta/H(x)\nu_x$  and  $\exp_{F(x)}(-\cot^{-1}(H(x)/\delta)\nu_x)$ , considered as vectors in  $\mathbb{R}^{n+2}$ , are parallel because  $\tan(\cot^{-1}(H(x)/\delta)) = \delta/H(x)$ . So the intrinsic distance on  $S^{n+1}$  from a point  $p$  to  $\exp_{F(x)}(-\cot^{-1}(H(x)/\delta)\nu_x)$  is monotone increasing with respect to the extrinsic distance in  $\mathbb{R}^{n+2}$  from  $p$  to  $F(x) - \delta/H(x)\nu_x$ . Together with

$$Z(x, y) = \frac{H(x)}{2} [\|F(y) - F(x) + \frac{\delta}{H(x)}\nu_x\|^2 - \frac{\delta^2}{H(x)^2}]$$

$Z \geq 0$  if and only in  $F(y) \in B(x, \delta)$ . The assertion follows. □

Let

$$Z(t, x, y) := \frac{H(x, t)}{2} \|F(y, t) - F(x, t)\|^2 + \delta \langle F(y, t) - F(x, t), \nu_x(t) \rangle$$

In the following,  $H$  and  $h_{ij}$  are the mean curvature and second fundamental form at  $F(x, t)$ . To use maximal principle we need the evolution equation of  $Z(t, x, y)$ .



**Lemma 3**

$$\begin{aligned} & \left(\frac{\partial}{\partial t} - \Delta_x - \Delta_y\right)Z \\ &= (|A|^2 + n)Z - 2(n - \delta)H + 2g_x^{ij} \frac{\partial H}{\partial x^j} \left\langle F(y) - F(x), \frac{\partial F}{\partial x^i} \right\rangle + 2(n - \delta)H(1 - \langle F(y), F(x) \rangle) \end{aligned} \quad (1)$$

**proof:**

By direct computation,

$$\begin{aligned} \Delta_x Z &= \frac{\Delta_x H}{2} \|F(y) - F(x)\|^2 + H \langle F(y) - F(x), -\Delta_x F(x) \rangle + nH + \langle \nabla_x H, \nabla_x \|F(y) - F(x)\|^2 \rangle \\ &\quad + \delta \langle -\Delta_x F(x), \nu_x \rangle + \delta \langle F(y) - F(x), \Delta_x \nu_x \rangle - 2 \langle \nabla_x F(x), \nabla_x \nu_x \rangle \end{aligned} \quad (2)$$

$$\Delta_y Z = H \langle F(y) - F(x), \Delta_y F(y) \rangle + nH + \delta \langle \Delta_y F(y), \nu_x \rangle \quad (3)$$

and

$$\begin{aligned} \frac{\partial Z}{\partial t} &= \frac{\Delta_x H + (|A|^2 + n)}{2} \|F(y) - F(x)\|^2 + H \langle F(y) - F(x), \Delta_y F(y) - \Delta_x F(x) \rangle + nH \|F(y) - F(x)\|^2 \\ &\quad + \delta \langle \Delta_y F(y) - \Delta_x F(x), \nu_x \rangle + n\delta \langle F(y) - F(x), \nu_x \rangle + \delta \langle F(y) - F(x), \Delta_x \nu_x + |A|^2 \nu_x + 2HF(x) \rangle \end{aligned} \quad (4)$$

So we get

$$\begin{aligned} \left(\frac{\partial}{\partial t} - \Delta_x - \Delta_y\right)Z &= (|A|^2 + n)Z + nH \|F(y) - F(x)\|^2 + 2\delta H \langle F(y) - F(x), F(x) \rangle \\ &\quad - 2nH + 2g_x^{ij} \frac{\partial H}{\partial x^j} \left\langle F(y) - F(x), \frac{\partial F}{\partial x^i} \right\rangle + 2\delta H \\ &= (|A|^2 + n)Z - 2(n - \delta)H + 2g_x^{ij} \frac{\partial H}{\partial x^j} \left\langle F(y) - F(x), \frac{\partial F}{\partial x^i} \right\rangle + 2(n - \delta)H(1 - \langle F(y), F(x) \rangle) \end{aligned}$$

□

**Lemma 4** *At a critical point of  $Z$  we can choose a normal coordinate (with respect to the product metric)  $\{\partial_j^x, \partial_j^y\}_{j=1}^n$  such that  $\partial_j^x = \partial_j^y$ ,  $j = 1, 2, \dots, n-1$  as vectors in  $\mathbb{R}^{n+2}$  at this critical point.*

In the remaining of this section, we always use this normal coordinate to calculate at critical points.

**proof:**

It is sufficient to show that  $T_x M \cap T_y M$  is a subspace with dimension at least  $n-1$ . Let  $N = (F(x) - \delta/H\nu_x)$ .

Since  $\nabla_y Z = 0$ ,

$$\begin{aligned} F(y) - F(x) + \frac{\delta}{H}\nu_x \perp T_y M &\Rightarrow F(y) - F(x) + \frac{\delta}{H}\nu_x \in \text{span}\{F(y), \nu_y\} \\ &\Rightarrow N \in \text{span}\{F(y), \nu_y\} \Rightarrow T_x M, T_y M \subset N^\perp \end{aligned}$$

Then the assertion follows. □

At a critical point of  $Z$  let  $\tilde{\nu}_y$  be a unit vector orthogonal to  $T_y M$  and  $N$ . Also let  $\tilde{\nu}_x$  be a unit vector orthogonal to  $T_x M$ , and  $N$ . We have  $\text{Span}\{F(y), \nu_y\} = \text{Span}\{N, \tilde{\nu}_y\}$  and  $\text{Span}\{F(x), \nu_x\} = \text{Span}\{N, \tilde{\nu}_x\}$ . Thus

$$F(y) - F(x) + \frac{\delta}{H}\nu_x = \rho_1 \tilde{\nu}_y + \rho_2 N \quad (5)$$

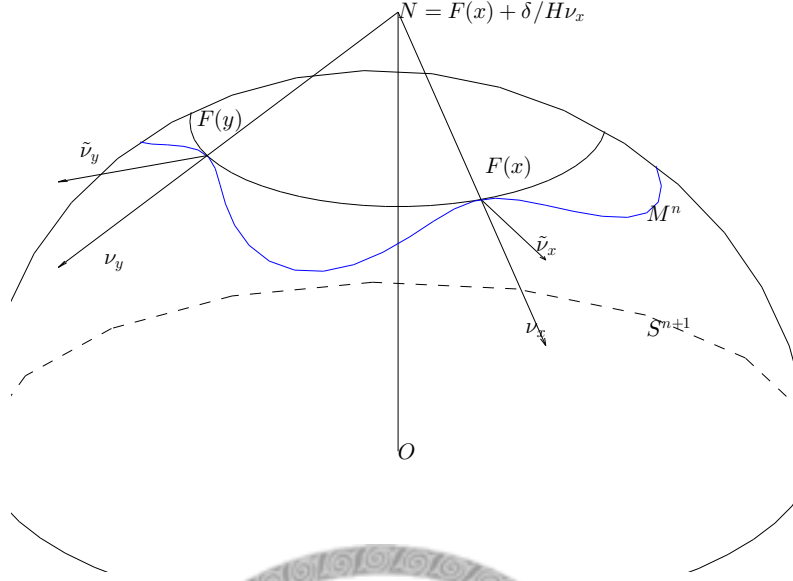


Figure 1:  $Z=0$

for some real number  $\rho_1$  and  $\rho_2$ . Note that  $\rho_1 = 0$  if and only if  $F(y)$  is parallel to  $N$ . In this case

$$Z = H \left( 1 - \sqrt{1 + \frac{H^2}{\delta^2}} \right)$$

So  $\rho_1 \neq 0$  as  $Z$  is closed to 0. The figure above shows the case  $Z(x, y) = 0$  and  $Z(x, \cdot) \geq 0$

Define the differential operator  $L$  by

$$L := \frac{\partial}{\partial t} - \Delta_x - \Delta_y = 2g_x^{ik} g_y^{jl} \langle \partial_i^x, \partial_j^y \rangle \frac{\partial^2}{\partial x^k \partial y^l}$$

**Lemma 5** *The spacial part of  $L$  is subelliptic at critical points of  $Z$ .*

**proof:**

First note that the differential operator is independent of coordinate choice. If  $\{\tilde{\partial}_1^x, \tilde{\partial}_2^x, \dots, \tilde{\partial}_n^x, \tilde{\partial}_1^y, \tilde{\partial}_2^y, \dots, \tilde{\partial}_n^y\}$  is another coordinate. Let  $\tilde{\partial}_\mu^x = A_\mu^i \partial_i^x$  and  $\tilde{\partial}_\nu^y = B_\nu^j \partial_j^y$ . Then

$$\begin{aligned} & \tilde{g}_x^{\mu\rho} \tilde{g}_y^{\nu\sigma} \langle \tilde{\partial}_\mu^x, \tilde{\partial}_\nu^y \rangle \frac{\partial^2}{\partial \tilde{x}^\rho \partial \tilde{y}^\sigma} \\ &= (A^{-1})_\rho^\mu (B^{-1})_\nu^\sigma (A^{-1})_\rho^\mu (B^{-1})_\nu^\sigma A_\mu^i B_\nu^j A_\rho^k B_\sigma^l g_x^{pr} g_y^{qs} \langle \partial_i^x, \partial_j^y \rangle \frac{\partial^2}{\partial x^k \partial y^l} \\ &= g_x^{ik} g_y^{jl} \langle \partial_i^x, \partial_j^y \rangle \frac{\partial^2}{\partial x^k \partial y^l} \end{aligned}$$



$$= \frac{Z}{\rho_1 H} + \frac{1}{2\rho_1} \|F(y) - F(x)\|^2 - \frac{\rho_2}{\rho_1} \langle F(y) - F(x), N \rangle$$

Put them together

$$\begin{aligned} & 2 \frac{\partial H}{\partial x^n} \langle F(y) - F(x), \tilde{\nu}_y \rangle \langle \partial_n^x, \tilde{\nu}_y \rangle \\ = & 4\rho_1 \|F(y) - F(x)\|^{-2} \left( \frac{Z}{\rho_1 H} + \frac{1}{2\rho_1} \|F(y) - F(x)\|^2 - \frac{\rho_2}{\rho_1} \langle F(y) - F(x), N \rangle \right) (H - \delta h_{nn}) \langle \partial_n^x, \tilde{\nu}_y \rangle^2 \\ & = 4 \|F(y) - F(x)\|^{-2} \frac{H - \delta h_{nn}}{H} \langle \partial_n^x, \tilde{\nu}_y \rangle^2 Z + 2(H - \delta h_{nn}) \langle \partial_n^x, \tilde{\nu}_y \rangle^2 \\ & \quad - 4\rho_2 \|F(y) - F(x)\|^{-2} (H - \delta h_{nn}) \langle \partial_n^x, \tilde{\nu}_y \rangle^2 \langle F(y) - F(x), N \rangle \end{aligned} \quad (9)$$

Insert (9) into (8)

$$\begin{aligned} LZ = & \left( |A|^2 + n + 4 \|F(y) - F(x)\|^{-2} \frac{H - \delta h_{nn}}{H} \langle \partial_n^x, \tilde{\nu}_y \rangle^2 \right) Z \\ & - 4\rho_2 \|F(y) - F(x)\|^{-2} (H - \delta h_{nn}) \langle \partial_n^x, \tilde{\nu}_y \rangle^2 \langle F(y) - F(x), N \rangle + 2(n - \delta)H(1 - \langle F(y), F(x) \rangle) \end{aligned} \quad (10)$$

Together with

$$\begin{aligned} & \|F(y) - F(x)\|^{-2} \langle \partial_n^x, \tilde{\nu}_y \rangle^2 = \|F(y) - F(x)\|^{-2} \langle \partial_n^y, \tilde{\nu}_x \rangle^2 \\ = & \left( 1 + \frac{\delta^2}{H^2} \right) \|F(y) - F(x)\|^{-2} \langle \partial_n^y, \nu_x \rangle^2 = \left( \frac{H^2}{\delta^2} + 1 \right) \left\langle \partial_n^y, \frac{F(y) - F(x)}{\|F(y) - F(x)\|} \right\rangle^2 \end{aligned}$$

we have

$$\begin{aligned} LZ = & \left( |A|^2 + n + 4 \frac{H - \delta h_{nn}}{H} \left( \frac{H^2}{\delta^2} + 1 \right) \left\langle \partial_n^y, \frac{F(y) - F(x)}{\|F(y) - F(x)\|} \right\rangle^2 \right) Z \\ & - 4\rho_2 \left( \frac{H^2}{\delta^2} + 1 \right) (H - \delta h_{nn}) \left\langle \partial_n^y, \frac{F(y) - F(x)}{\|F(y) - F(x)\|} \right\rangle^2 \langle F(y) - F(x), N \rangle + 2(n - \delta)H(1 - \langle F(y), F(x) \rangle) \end{aligned} \quad (11)$$

Let  $l = \|F(y) - F(x) + \delta/H\nu_x\|$ , then  $Z$  can be expressed by  $Z = (H/2)(l^2 - \delta^2/H^2)$ . By the cosine law

$$\begin{aligned} \langle F(x), N \rangle = & 1, \quad \langle F(y), N \rangle = \frac{1}{2} (1^2 + (1 + \delta^2/H^2) - l^2) \\ \langle F(y) - F(x), N \rangle = & \frac{1}{2} (\frac{\delta^2}{H^2} - l^2) = \frac{-1}{H} Z \end{aligned} \quad (12)$$

Plug (12) into (11)

$$\begin{aligned} LZ = & \left[ |A|^2 + n + 4 \frac{H - \delta h_{nn}}{H} \left( \frac{H^2}{\delta^2} + 1 \right) \left\langle \partial_n^y, \frac{F(y) - F(x)}{\|F(y) - F(x)\|} \right\rangle^2 (1 + \rho_2) \right] Z \\ & + 2(n - \delta)H(1 - \langle F(y), F(x) \rangle) \end{aligned}$$

is obtained. □

**proof of proposition 2:**

Because

$$|\rho_2| = \left| \left\langle F(y) - F(x) + \frac{\delta}{H}\nu_x, N \right\rangle \right| / (1 + \delta^2/H^2) \leq (2 + \frac{\delta}{H}) / (1 + \delta^2/H^2)$$

for any  $0 < \tau < T$ , there is a constant  $C > 0$  such that

$$|c(x, y, t)| := \left| |A|^2 + n + 4 \frac{H - \delta h_{nn}}{H} \left( \frac{H^2}{\delta^2} + 1 \right) \left\langle \partial_n^y, \frac{F(y) - F(x)}{\|F(y) - F(x)\|} \right\rangle^2 (1 + \rho_2) \right| < C$$

for all  $(x, y, t) \in \bar{M} \times \bar{M} \times [0, \tau]$ . Let  $\bar{Z} = e^{-Ct}Z$ . Suppose that  $Z \geq 0$  as  $t = 0$  and that  $\bar{Z}$  attains  $-\epsilon < 0$  first time at  $(x_0, y_0, t_0)$ ,  $t_0 \leq \tau$ . By the above lemma, we have

$$\begin{aligned} 0 &\geq L\bar{Z} = (c - C)\bar{Z} + e^{-Ct_0}2(n - \delta)H(1 - \langle F(y_0), F(x_0) \rangle) \\ &\geq (c - C)(-\epsilon) > 0 \end{aligned}$$

It is a contradiction. In the second inequality we use the fact that  $Z \geq 0$  implies  $\delta \leq n$ . So  $Z \geq 0$  for all  $t \in [0, \tau]$ . Since  $\tau$  is arbitrary we get  $Z \geq 0$  for all  $t \in [0, T)$ . Hence we know that interior  $\delta$  non-collapsing is preserved. Similarly, suppose that  $Z \leq 0$  as  $t = 0$  and that  $\bar{Z}$  attains  $\epsilon > 0$  first time at  $(x_0, y_0, t_0)$ ,  $t_0 \leq \tau$ . By the above lemma, we have

$$\begin{aligned} 0 &\leq L\bar{Z} = (c - C)\bar{Z} + e^{-Ct_0}2(n - \delta)H(1 - \langle F(y_0), F(x_0) \rangle) \\ &\leq (c - C)\epsilon < 0 \end{aligned}$$

by the same argument, proposition 2 follows. □

#### 4. $\delta$ Convexity in $\mathbb{H}^{n+1}$

In this section,  $\langle \cdot, \cdot \rangle$  is the standard inner product in Minkowski space  $\mathbb{R}^{n+1,1}$  and  $\|p\|^2 = \langle p, p \rangle$  for all  $p \in \mathbb{R}^{n+1,1}$ . Just like above, we can embed the space form  $\mathbb{H}^n$  into  $\mathbb{R}^{n+1,1}$ .  $\mathbb{H}^n = \{p \in \mathbb{R}^{n+1,1} : \|p\|^2 = -1\}$ . Let  $F : [0, T) \times \bar{M}^n \rightarrow \mathbb{H}^{n+1} \hookrightarrow \mathbb{R}^{n+1,1}$  be a family of embeddings evolved by the mean curvature flow in  $\mathbb{H}^{n+1}$ . Let  $g_{ij}$  be the induced metric,  $A = \{h_{ij}\}$  be the second fundamental form,  $H$  be the mean curvature and  $\nu$  be the unit outer normal vector of  $F(t, M)$ . In the following of this section,  $F(x)$  and  $\nu$  are considered to be vectors in  $\mathbb{R}^{n+1,1}$ .

**Lemma 7** *Then the evolution equations are:*

- (a)  $\frac{\partial F}{\partial t} = \Delta F - nF$
- (b)  $\frac{\partial \nu}{\partial t} = \Delta \nu + |A|^2 \nu - 2HF$
- (c)  $\frac{\partial H}{\partial t} = \Delta H + (|A|^2 - n)H$

**proof:**

Since  $F$  is evolved by MCF in  $\mathbb{H}^{n+1}$ ,

$$\frac{\partial F}{\partial t} = \Delta F + \langle \Delta F, F \rangle F$$

and

$$0 = \Delta \langle F, F \rangle = 2 \langle \Delta F, F \rangle + 2n$$

Then we have (a). For (b)

$$\begin{aligned} \left\langle \frac{\partial \nu}{\partial t}, \frac{\partial F}{\partial x^i} \right\rangle &= - \left\langle \nu, \frac{\partial}{\partial x^i} (-H\nu) \right\rangle = \frac{\partial H}{\partial x^i} \\ \left\langle \frac{\partial \nu}{\partial t}, \nu \right\rangle &= 0, \quad \left\langle \frac{\partial \nu}{\partial t}, F \right\rangle = - \langle \nu, -H\nu \rangle = H \end{aligned}$$

Thus

$$\frac{\partial \nu}{\partial t} = \nabla H - HF$$

$$\langle \nabla_i \nu, \nu \rangle = 0, \quad \langle \nabla_i \nu, F \rangle = - \left\langle \nu, \frac{\partial F}{\partial x^i} \right\rangle = 0$$

By

$$\left\langle \nabla_i \nu, \frac{\partial F}{\partial x^j} \right\rangle = - \left\langle \nu, \nabla_i \frac{\partial F}{\partial x^j} \right\rangle = h_{ij}$$

we have  $\nabla_i \nu = g^{km} h_{mi} \frac{\partial F}{\partial x^k}$ .

$$\Delta \nu = g^{ij} \nabla_j \nabla_i \nu = g^{ij} \nabla_j g^{km} h_{mi} \frac{\partial F}{\partial x^k}$$

$$g^{ij} (g^{km} \nabla_j h_{mi} \frac{\partial F}{\partial x^k} + g^{km} h_{mi} (-h_{jk} \nu + g_{jk} F))$$

by Codazzi equation

$$= \nabla H - |A|^2 \nu + HF$$

then we obtain (b)

$$\frac{\partial \nu}{\partial t} = \Delta \nu + |A|^2 \nu - 2HF$$

For (c)

$$\frac{\partial h_{ij}}{\partial t} = \frac{\partial}{\partial t} \left\langle \frac{\partial F}{\partial x^i}, \nabla_j \nu \right\rangle = \left\langle \nabla_i (-H \nu), h_{jk} g^{kl} \frac{\partial F}{\partial x^l} \right\rangle + \left\langle \frac{\partial F}{\partial x^i}, \nabla_j (\nabla H - HF) \right\rangle$$

$$= -H h_{jk} h_{li} g^{kl} - H g_{ij} + \nabla_j \nabla_i H$$

$$\frac{\partial H}{\partial t} = -g^{ik} g^{lj} (-2H g_{kl}) h_{ij} + g^{ij} (-H h_{jk} h_{li} g^{kl} - H g_{ij} + \nabla_j \nabla_i H) = \Delta H + (|A|^2 - n)H$$

□

Note that  $\delta$ -convexity of  $F : M^n \rightarrow \mathbb{H}^{n+1} \hookrightarrow \mathbb{R}^{n+1,1}$  is equivalent to

$$Z_0 := \frac{1}{2} \|F(y) - F(x)\|^2 + \delta \langle F(y) - F(x), \nu_x \rangle \leq 0$$

**Lemma 8** *At a critical point of  $Z_0$ , we can choose a normal coordinate  $\{\partial_j^x, \partial_j^y\}_{j=1}^n$  (with respect to the product metric) such that  $\partial_j^x = \partial_j^y$ ,  $j = 1, 2, \dots, n-1$  as vectors in  $\mathbb{R}^{n+1,1}$  at this point. And we also have  $\langle \partial_n^x, \partial_n^y \rangle = 1$  if  $\delta = 1$  and  $0 \leq \langle \partial_n^x, \partial_n^y \rangle \leq 1$  if  $0 < \delta < 1$ .*

**proof:**

Following the same argument in section 3, we have  $T_x M \cap T_y M$  is at least  $n-1$  dimensional. Let  $N = F(x) - \delta \nu_x$ .  $\partial_n^x$  and  $\partial_n^y$  lie in the 2-dimensional subspace  $\pi = \{\partial_1^x, \partial_2^x, \dots, \partial_{n-1}^x, N\}^\perp$ . If  $\delta = 1$ , then  $N$  is a null vector and the restrict inner product on  $\pi$  degenerates. So we can choose  $\langle \partial_n^x, \partial_n^y \rangle = 1$ . For  $\delta < 1$ ,  $N$  is a timelike vector and  $\pi$  is isometric to  $\mathbb{R}^2$ . Thus  $\langle \partial_n^x, \partial_n^y \rangle \leq 1$  □

By the above lemma, the spacial part of operator  $L$  defined in section 3 is still subelliptic. Note that if  $N$  is spacelike then it is not necessarily subelliptic since  $\langle \partial_n^x, \partial_n^y \rangle$  might be greater than 1.

**Lemma 9** *Assume  $Z_0 > -2(1 - \sqrt{1 - \delta^2})$  at a critical point, then*

$$LZ_0 = (|A|^2 - n)Z_0 - \frac{1}{2n} (n|A|^2 - 2\delta nH + n^2) \|F(y) - F(x)\|^2$$

**proof:**

$$\begin{aligned}\Delta_x Z_0 &= \langle F(y) - F(x), -\Delta_x F(x) \rangle + n + \delta \langle -\Delta_x F(x), \nu_x \rangle + \langle F(y) - F(x), \Delta_x \nu_x \rangle - 2\delta H \\ \Delta_y Z_0 &= \langle F(y) - F(x), \Delta_y F(y) \rangle + n + \delta \langle \Delta_y F(y), \nu_x \rangle \\ \frac{\partial Z_0}{\partial t} &= \langle F(y) - F(x), \Delta_y F(y) - \Delta_x F(x) \rangle - n \|F(y) - F(x)\|^2 + \delta \langle \Delta_y F(y) - \Delta_x F(x), \nu_x \rangle \\ &\quad - n\delta \langle F(y) - F(x), \nu_x \rangle + \delta \langle F(y) - F(x), \Delta_x \nu_x + |A|^2 \nu_x - 2HF \rangle\end{aligned}$$

So

$$\begin{aligned}\left(\frac{\partial}{\partial t} - \Delta_x - \Delta_y\right)Z_0 &= (|A|^2 - n)Z_0 \\ -\frac{|A|^2}{2}\|F(y) - F(x)\|^2 - \frac{n}{2}\|F(y) - F(x)\|^2 + \delta H\|F(y) - F(x)\|^2 - 2n + 2\delta H\end{aligned}$$

And at a critical point

$$\begin{aligned}\frac{\partial Z_0}{\partial x^j} &= \langle F(y) - F(x), -\partial_j^x \rangle + \delta h_j^k \langle F(y) - F(x), \partial_k^x \rangle \\ \frac{\partial^2 Z_0}{\partial x^j \partial y^j} &= \langle \partial_j^y, -\partial_j^x \rangle + \delta h_j^k \langle \partial_j^y, \partial_k^x \rangle = \begin{cases} -1 + \delta h_{jj} & \text{if } j \neq n \\ \langle \partial_n^y, \partial_n^x \rangle (-1 + \delta h_{nn}) & \text{if } j = n \end{cases}\end{aligned}$$

Then we have

$$\begin{aligned}LZ_0 &= \left(\frac{\partial}{\partial t} - \Delta_x - \Delta_y - 2g_x^{ik} g_y^{jl} \langle \partial_k^x, \partial_l^y \rangle \frac{\partial^2}{\partial x^i \partial y^j}\right) Z_0 \\ &= (|A|^2 - n)Z_0 - \frac{1}{2n}(n|A|^2 - 2\delta nH + n^2)\|F(y) - F(x)\|^2 + (1 - \langle \partial_n^x, \partial_n^y \rangle^2)(-1 + \delta h_{nn})\end{aligned}$$

If  $(-1 + \delta h_{nn}) = 0$  then we have done. By

$$0 = \frac{\partial Z_0}{\partial x^n} = (-1 + \delta h_{nn}) \langle F(y) - F(x), \partial_n^x \rangle$$

If  $(-1 + \delta h_{nn}) \neq 0$ , then  $\partial_n^x \perp F(y)$ . So  $T_x M \subset \{F(y), N\}^\perp$ . Because  $F(y)$  is parallel to  $N$  if and only if  $Z_0 = -2(1 - \sqrt{1 - \delta^2})$ ,  $F(y)$  and  $N$  are linearly independent. Then  $T_x M = \text{span}\{F(y), N\}^\perp = T_y M$  and  $\langle \partial_n^x, \partial_n^y \rangle = 1$ .

In both cases we have

$$LZ_0 = (|A|^2 - n)Z_0 - \frac{1}{2n}(n|A|^2 - 2\delta nH + n^2)\|F(y) - F(x)\|^2$$

□

**proof of proposition 3:**

For the case  $\delta = 1$ , fix  $0 < \tau < T$ . There is a constant  $C > 0$  such that

$$|(|A|^2 - n)| \leq C$$

in  $M \times [0, \tau]$ . Let  $\bar{Z}_0 = e^{-Ct} Z_0$ . By  $M_0$  is 1-convex we know  $\bar{Z}_0 \leq 0$  as  $t = 0$ . If  $\bar{Z}_0 = \epsilon > 0$  first time at  $(x_0, y_0, t_0) \in M \times M \times [0, \tau]$ , by lemma 9 we have at  $(x_0, y_0, t_0)$

$$0 \leq L\bar{Z}_0 \leq (|A|^2 - n - C)\bar{Z}_0 - e^{-Ct} \frac{1}{2n}(H - n)^2 \|F(y) - F(x)\|^2 \leq (|A|^2 - n - C)\epsilon < 0$$

It is a contradiction. So  $\bar{Z}_0$  and  $Z_0$  remain nonpositive in  $[0, \tau]$ . Thus  $Z_0$  remains nonpositive as long as the solution exists.

For the case  $\delta < 1$ ,

$$0 \leq L\bar{Z}_0 \leq (|A|^2 - n - C)\bar{Z}_0 - e^{-Ct} \frac{1}{2n} (H - n)^2 \|F(y) - F(x)\|^2 - 2ne^{-Ct}(1 - \delta)H \|F(y) - F(x)\|^2 \leq (|A|^2 - n - C)\epsilon < 0$$

we use that 1-convexity implies  $H > n > 0$  in the second inequality. Then the result follows.  $\square$





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