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Master Thesis

以 B 型肝炎病毒小鼠模式探討自然殺手 T 細胞的角色

The role of NKT cells in the mouse model

of hepatitis B virus infection

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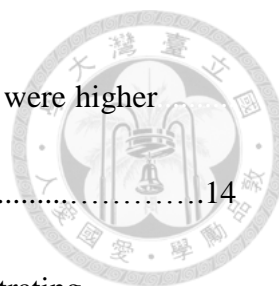
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## Table of contents



<b>Table of contents</b> .....	<b>i</b>
<b>List of figures</b> .....	<b>iii</b>
<b>Abstract</b> .....	<b>iv</b>
<b>中文摘要</b> .....	<b>vi</b>
<b>Chapter 1 Introduction</b> .....	<b>1</b>
Background.....	1
1.1 The liver as a lymphoid organ.....	1
1.2 Adaptive immune responses toward HBV infection.....	2
1.3 Innate immune responses toward HBV infection.....	4
1.4 NKT cells.....	5
1.5 Correlation between viral control and NKT cell activation.....	6
1.6 Rationale.....	8
1.7 Specific aims.....	9
<b>Chapter 2 Materials and Methods</b> .....	<b>10</b>
<b>Chapter 3 Results</b> .....	<b>13</b>
3.1 Impaired NKT cell development in CD1d <sup>-/-</sup> mice.....	13
3.2 NKT cells are essential for the clearance of HBV and the ability to produce protective antibody anti-HBs.....	14



3.3	Immunohistochemistry staining of HBsAg and HBcAg were higher in the livers of CD1d <sup>-/-</sup> mice.....	14
3.4	PD-1 (programmed death-1) is upregulated in liver-infiltrating CD8 <sup>+</sup> T cells from CD1d <sup>-/-</sup> mice with hepatitis B virus infection.....	15
3.5	Liver-infiltrating CD8 <sup>+</sup> lymphocytes in CD1d <sup>-/-</sup> mice displayed the PD-1 <sup>hi</sup> CD127 <sup>low</sup> -exhausted phenotype.....	15
<b>Chapter 4 Discussion.....</b>		<b>17</b>
4.1	Defects of adenoviral delivery of the HBV genome.....	17
4.2	NKT cells are involved in the development of sufficient adaptive immune responses to HBV infection.....	18
4.3	The correlation between NK and NKT cells.....	19
4.4	The limitations of using CD1d <sup>-/-</sup> mice.....	20
<b>Figures.....</b>		<b>22</b>
<b>References.....</b>		<b>32</b>

## List of figures



<b>Figure 1.</b> Impaired NKT cell development in CD1d <sup>-/-</sup> mice.....	23
<b>Figure 2.</b> Percentage of intrahepatic leukocyte subpopulations in CD1d <sup>-/-</sup> mice and C57BL/6 mice.....	25
<b>Figure 3.</b> Delayed HBsAg clearance in CD1d <sup>-/-</sup> mice.....	26
<b>Figure 4.</b> CD1d <sup>-/-</sup> mice failed to induce production of neutralizing anti-HBs antibodies.....	27
<b>Figure 5.</b> Immunohistochemical staining of HBcAg in the liver of C57BL/6 and CD1d <sup>-/-</sup> mice after hydrodynamic injection of HBV plasmid.....	28
<b>Figure 6.</b> Immunohistochemical staining of HBsAg in the liver of C57BL/6 and CD1d <sup>-/-</sup> mice after hydrodynamic injection of HBV plasmid.....	29
<b>Figure 7.</b> Increased programmed death (PD)-1-expressing CD8 <sup>+</sup> T cells in liver-infiltrating lymphocytes from CD1d <sup>-/-</sup> mice compared to C57BL/6 mice after hydrodynamic injection of HBV plasmid.....	30
<b>Figure 8.</b> Liver-infiltrating CD8 <sup>+</sup> lymphocytes in CD1d <sup>-/-</sup> mice displayed the PD-1 <sup>hi</sup> CD127 <sup>low</sup> -exhausted phenotype after hydrodynamic injection of HBV plasmid.....	31

# Abstract



Hepatitis B virus (HBV) infection is a common infection, which can lead to both acute and chronic liver diseases. HBV infection is a major global health problem and chronic viral infection will lead to chronic hepatitis, cirrhosis and hepatocellular carcinoma. However, although the adaptive immunity is essential for viral clearance and controlling HBV infection, the early innate immunity is hard to demonstrate and the innate immune cells involved in HBV clearance are still poorly defined. Recently, results from animal and human studies suggest early response of natural killer T cells (NKT cells) is involved in HBV infection. However, the role of NKT cells in HBV clearance is still not clear. For further exploration of the role of NKT cells in HBV infection, in this study, we use CD1d<sup>-/-</sup> mice—which are deficient of CD1d-restricted NKT cells—to study the role of NKT cells against HBV in a mouse animal model with hydrodynamic injection approach. Our results demonstrated that in the CD1d<sup>-/-</sup> mice, there was a higher HBV-positive rate with prolonged HBV persistence. In addition, the CD1d knockout mice were not able to develop protective antibody compared to wild type C57BL/6 mice. Furthermore, there was increased exhausted phenotype of CD8<sup>+</sup> T cells in liver infiltrating lymphocytes at late phase of HBV transfection, which demonstrated the immune dysfunction of T cells and lead to

impairment of capacity in HBV viral clearance. Thus, our study suggests that NKT cells are involved in the clearance of HBV and may play a role in the development of sufficient adaptive immune responses to HBV infection.



# 中文摘要



B 型肝炎是個常見的感染並且會引起肝臟急性或慢性的病症。而慢性 B 型肝炎的患者會有較高的風險變成肝硬化及肝癌而導致死亡，是全球重要的健康課題之一。雖然後天性免疫反應已經被研究得很清楚，並且已知對於控制 B 型肝炎扮演重要的角色，但是，早期的先天性免疫反應及其參與的細胞仍然不是很清楚。最近，在動物及人類的研究上推測早期自然殺手 T 細胞對於 B 型肝炎是有免疫反應的，但是其角色還不是很清楚。在我們的研究中，我們使用了 CD1d 缺失小鼠，此種老鼠缺失了由 CD1d 限制的自然殺手 T 細胞，並經由高壓注射法使小鼠感染 B 型肝炎病毒來探討自然殺手 T 細胞對於 B 型肝炎扮演的角色。結果顯示，在感染 B 型肝炎病毒後，跟控制組 C57BL/6 小鼠比起來，CD1d 缺失小鼠血清中帶有 B 型肝炎病毒的比率較高，時間也較長，且無法產生保護性抗體。同時，在感染後期，CD1d 缺失小鼠的毒殺性 T 細胞有 exhaustion 的現象，此現象導致 T 細胞毒殺功能受到影響並且無法清除病毒。總結本篇研究，我們推測自然殺手 T 細胞有助於清除 B 型肝炎病毒及發展足夠的後天性免疫反應來控制 B 型肝炎病毒感染。

# Chapter 1

## Introduction



### Background

Hepatitis B is an infectious disease caused by the hepatitis B virus (HBV) which affects the liver and can cause both acute and chronic diseases. And it is a major global health problem because chronic liver diseases will put the people at high risk of death from cirrhosis and liver cancer<sup>1</sup>. Following acute HBV infection, the risk of developing chronic infection varies inversely with age. The reasons why some people become chronic HBV infection but some can successfully clear the virus and develop protective antibodies is still unclear<sup>2,3,4</sup>. So, it is crucial to study the pathogenesis of the diseases, the interplay between virus and host factors and components of successful immune responses during HBV infection.

### 1.1 The liver as a lymphoid organ

The liver gets a dual blood supply from the arterial system and the portal venous blood returning from the intestine, which is rich in microbial products. So, the liver has a unique immunoregulatory functions mediated by local expression of co-inhibitory molecules and immunosuppressive mediators to prevent inadvertent organ damage<sup>5,6</sup>.





However, these tolerogenic properties make the liver an attractive target site for pathogens, such as hepatitis C virus, hepatitis B virus<sup>1</sup>.

In a healthy liver, hepatocytes constitute two thirds of the total cell population, and the rest are the non-parenchymal cells such as liver sinusoidal endothelial cells (LSECs), hepatic stellate cells (HSCs), kupffer cells, liver resident dendritic cells, and lymphocytes<sup>7</sup>. Notably, the liver's lymphocyte population is selectively enriched in natural killer cells (NK) and natural killer T cells (NKT) compared with the circulation and may contribute to viral defense<sup>8, 9, 10, 11, 12</sup>.

## **1.2 Adaptive immune responses toward HBV infection**

Recovery from acute HBV infection results in lasting protective immunity that is mediated by neutralizing HBsAg-specific antibodies<sup>13</sup> and by vigorous multi-epitope-specific CD4<sup>+</sup> and CD8<sup>+</sup> T-cell responses<sup>1, 14</sup>. Notably, animal models and human studies of acute resolving HBV infection have highlighted that CTL (cytotoxic T lymphocytes) -mediated cytotoxicity is important and required for infection control<sup>15, 16</sup>. In contrast, in chronic HBV infection, the most obvious immune deficiency is the depletion of virus-specific CTLs and their functional inactivation. T cell depletion is mostly due to the enhanced susceptibility of these cells to apoptosis<sup>17, 18</sup>. For example, BIM (BCL-2 interacting mediator of cell death)-mediated apoptosis



which is seen in tolerogenic hepatic priming<sup>19</sup>, may be promoted by co-inhibitory signals through CTLA4<sup>20</sup> (cytotoxic T lymphocyte antigen) or by TGF- $\beta$ <sup>21</sup> (transforming growth factor- $\beta$ ) in the persistent of HBV infection.

The few remaining CTLs in chronic infection have functional defects, also termed exhaustion, which has been reported for persistent viral infections<sup>22, 23</sup>. Classically, in the acute infections, the memory CD8 T cells acquire the ability to persist long term without antigen via IL-7 and IL-15-mediated homeostatic self-renewal. But in chronic infections, exhausted CD8<sup>+</sup> T cells usually have low expression of CD122 (the  $\beta$ -chain of the IL-2 and IL-15 receptor) and CD127 (the IL-7 receptor  $\alpha$ -chain), respond poorly to IL-7 and IL-15 and so failed to acquire the cardinal memory T cell property of long-term survival. In fact, the exhausted CD8<sup>+</sup> T cells become antigen-dependent TCR signaling for the long-term survival<sup>22, 24</sup>. A main cause of T cell exhaustion is due to an excess of co-inhibitory signals that outweighs the co-stimulatory signals<sup>25</sup>, PD-1, which has been reported in many papers that result in functional defects of T cells in chronic HBV infection<sup>26, 27, 28</sup>. Additionally, higher levels of ligands for PD-1, PDL1, expressed by liver antigen-presenting cells, further promoted T cell tolerance<sup>29</sup>.

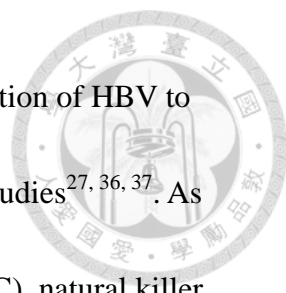
In summary, the immune escape strategies used by HBV, the depletion or exhaustion of CTLs and the tolerogenic hepatic microenvironment together contribute to the persistence of HBV infection, but why the combination of host and viral factors that



leads to persistent infection rather than the clearance of virus remains to be investigated. Our current knowledge suggests that innate immunity may play a pivotal role in inducing adequate adaptive immunity<sup>30, 31, 32</sup>.

### **1.3 Innate immune responses toward HBV infection**


An acute HBV infection of chimpanzees model, which reveals that non-cytolytic, cytokine-mediated pathway could clear most of the HBV DNA from the serum and liver before a detectable adaptive immune responses in the liver<sup>33</sup>, indicating the involvement of innate immunity. It is believed that there exists an innate sensor to recognize HBV to induce immune responses. Indeed, retinoic acid-inducible gene-I (RIG-I) was found to have the ability to sense the 5'-ε region of HBV pregenomic RNA and induce type III interferon in human primary hepatocytes<sup>34</sup>. But whether other sensing molecules other than RIG-I are engaged in the activation of innate responses in other cell types such as antigen presenting cells should be further investigated. Until recently, how the innate immunity modulate the early response toward HBV and then the adaptive immunity was still not clear because lacking of appropriate HBV small animal model. In this study, an immunocompetent mouse model for studying the role of NKT cells in HBV infection is used<sup>35</sup>. Due to the inability to infect the mice with HBV directly, a replication-competent HBV plasmid, pAAV/HBV1.2, was injected



hydrodynamically into the tail veins of mice, which mimics the infection of HBV to hepatocytes, and this model has been commonly used for the HBV studies<sup>27, 36, 37</sup>. As reviewed earlier in the study, the liver is enriched in kupffer cells (KC), natural killer cells (NK) and natural killer T cells (NKT), and the role of kupffer cells has been investigated<sup>38</sup> while NK cells and NKT cells were not fully understood. Kupffer cells-derived IL-10 production, which further promotes the CD4<sup>+</sup> Foxp3<sup>-</sup> type 1 regulatory T (Tr1)-like cells differentiation and inhibit anti-HBV immunity by negatively regulating differentiation of germinal center (GC) B cells, T follicular helper cells (Tfh), or both<sup>36, 38</sup>. And the role of NK cells was proven that if the mice were depleted with NK cells by anti-asialo GM1 (ASGM1), the mice were not able to clear the HBV and became persistent (data not published). NKT cells, which remain controversial toward HBV infection, should further study to find their roles.

#### **1.4 NKT cells**

Natural killer T (NKT) cells are a subset of T lymphocytes that bridge the gap between innate and adaptive immunity. These cells express TCRs, but unlike conventional T cells, they recognize lipid-based antigens present by CD1 families by antigen-presenting cells (APCs)<sup>39, 40</sup>. Humans have five CD1 genes, CD1a through e, whereas mice express only CD1d. The most extensively studied is the CD1d-restricted

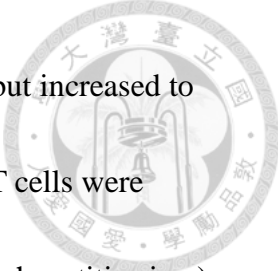


natural killer T (NKT) cells<sup>41</sup>. Upon activation, they rapidly produce a variety of cytokines, such as interferon- $\gamma$  (IFN $\gamma$ ), IL-4, IL-10, IL-13, IL-17, IL-21 and tumor necrosis factor-alpha (TNF- $\alpha$ ), activating other immune cells and consequently playing a key role in early responses to infection.

There are two types of CD1d-restricted NKT cells. Type 1 invariant NKT cells which are defined by their invariant TCR- $\alpha$  chain (V $\alpha$ 24-J $\alpha$ 18 in humans; V $\alpha$ 14-J $\alpha$ 18 in mice) and their ability to recognize the glycolipid  $\alpha$ -galactosylceramide ( $\alpha$ GalCer)<sup>42</sup>. Type 2 noninvariant NKT cells, which express a different and more diverse TCR repertoire than type 1NKT cells and recognize a variety of lipid antigens, including glycolipids and phospholipids<sup>43, 44</sup>.

### **1.5 Correlation between viral control and NKT cell activation**

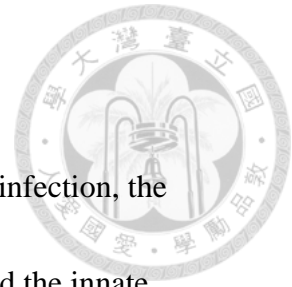
Recently, the results from animal and human studies suggest the early responses of natural killer T cells (NKT cells) toward HBV infection. In HBV-infected human studies, the author has shown the early activation of NK and peripheral natural T cells, a population that phenotypically resemble classical NKT cells, suggesting that the cellular component of innate immune system is able to sense the HBV infection from the beginning. It is noteworthy that natural T cells reached maximal IFN- $\gamma$  production 2-3 weeks before NK cells<sup>10</sup>. In another study, type 1 NKT cell numbers were shown to



be reduced in the blood of patients who have chronic HBV infection but increased to normal levels with viral control<sup>9</sup>. In woodchuck studies, NK and NKT cells were activated 48-72 hr after HBV infection and hepatic WHV (woodchuck hepatitis virus) DNA level was transiently but significantly reduced<sup>45</sup>. Moreover, a study using adenoviral delivery of a replication-competent HBV genome into mice shows HBV-induced lipid alterations contribute to NKT cell-dependent protective immunity. The author finds that HBV infection of hepatocyte results in upregulation of self-phospholipid antigens phosphatidylwthanolamine (PE) and the secretory phospholipase (sPLA<sub>2</sub>) enzymes and that lead to activation of NKT cells. It is noteworthy that NKT activation in response to HBV is mediated by hepatocytes and dependent on hepatocyte CD1d. The absence of NKT cells or CD1d or ER-associated transfer of lipids onto CD1d all lead to defective HBV-specific T cell and B cell responses<sup>8</sup>. These studies demonstrate the correlation between NKT cells and viral control.

## 1.6 Rationale

Whereas the adaptive immunity is essential for controlling the HBV infection, the importance of early innate immune responses is still controversial and the innate immune cells involved are poorly defined. Recently, increasingly studies demonstrate the correlation between NKT cells and viral control, but these effects shown to be short-term and little is known about whether NKT cell can affect the adaptive immune responses toward HBV. In our study, we use a mouse model with hydrodynamic injection approach to further define the role of NKT cells, and how they affect the outcome of HBV infection. Although NKT cells are less abundant in human liver than mice liver, they may have similar role in promoting immunity to HBV infections.





## 1.7 Specific aims

1. To identify whether NKT cells have role in the immune responses of HBV infection in HDI mouse model

Approach: transfection of the hepatitis B virus by hydrodynamic injection of HBV plasmid in C57BL/6 and CD1d<sup>-/-</sup> mice

2. To see whether CD1d<sup>-/-</sup> mice have PD-1<sup>hi</sup>CD127<sup>low</sup>-exhausted phenotype of liver CD8<sup>+</sup> lymphocyte at late phase of HBV infection

Approach: ten weeks after HBV transfection, extract liver non-parenchyma cells (intrahepatic leukocytes) and PD-1 and CD127 expressions by CD8<sup>+</sup> T cells were analyzed by flow cytometry



# Chapter 2

## Materials and Methods



### 2.1 Animals

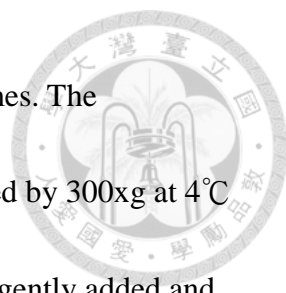
6~8 week old male C57BL/6 mice were obtained from the National Laboratory Animal Center and maintained under specific pathogen-free (SPF) conditions. CD1d knockout mice<sup>46</sup> were obtained from Professor Alice Lin-Tsing, and also maintained under SPF conditions.

### 2.2 Hydrodynamic injection<sup>35</sup>

Ten micrograms of HBV plasmid DNA in 1X PBS in a volume equivalent to 8% of the mouse body weight and was injected via tail vein within 5 seconds. Plasmid DNA were purified by endotoxin-free plasmid DNA purification kit.

### 2.3 Isolation of intrahepatic leukocytes

Mice were anesthetized by intraperitoneal injection of Avertin and the chest and abdomen were opened. Liver was perfused by 10ml of PBS and the whole liver was excised. Then the liver was minced by a plunger and passed through a 100 $\mu$ m nylon mesh by washing with HBSS to final volume 50mL. Hepatocytes and large cell clumps



were removed by centrifuge at 4°C for 2 minutes at 50xg for two times. The supernatants containing intrahepatic leukocytes (IHLs) was descended by 300xg at 4°C for 10 minutes. Cell pellet then was resuspended in 40% percoll and gently added and layered on top of 70% percoll, then centrifuge at 25°C for 20 minutes at 1200xg and viable IHLs would be at the 40%/70% percoll interphase. IHLs were washed with 15 mL HBSS and centrifuge at 4°C for 10 minutes at 300xg. Cell pellet was collected for downstream applications.

#### **2.4 Detection of the HBV surface antigen, DNA, and antibody in serum**

Serum levels of HBsAg were determined using Abbott ARCHITECT i1000 kit.

Anti-HBs and anti-HBc antibodies were determined using CMIA. HBV DNA was detected by real-time PCR.

#### **2.5 Immunohistochemistry**

The perfused liver tissues were embedded in optimal cutting compound (OCT).

Intrahepatic HBsAg and HBcAg were detected by immunohistochemical staining with rabbit anti-HBs antibodies (Biomeda, Foster City, CA) and anti-HBc antibodies (Dako, Glostrup, Denmark) and Envision System, HRP(DAB). Hematoxylin was used to stain liver section nuclei.



## 2.6 Flow cytometry

APC-conjugated anti-mouse CD3, PE-Cy7-conjugated anti-mouse CD8, PE-conjugated anti-mouse NK1.1, PE-conjugated anti-mouse PD-1, and FITC-conjugated anti-mouse CD127 were used for flow cytometry.

## 2.7 Materials

APC anti-mouse CD3 (145-2C11)	Biolegend, San Diego, CA,USA
PE-Cy7 anti-mouse CD8 (53-6.7)	Biolegend, San Diego, CA,USA
PE anti-mouse PD-1 (29F.1A12)	Biolegend, San Diego, CA,USA
FITC anti-mouse CD127 (A7R34)	Biolegend, San Diego, CA,USA
PE anti-mouse NK1.1 (PK136)	Tonbo biosciences, San Diego, CA,USA
Avertin	Sigma, St.Louis, USA
Percoll	GE Healthcare
HBSS	Corning, Arizona, USA
PBS	0.1M Na <sub>2</sub> HPO <sub>4</sub> , 0.018M KH <sub>2</sub> PO <sub>4</sub> , 0.8% NaCl, 0.02% KCl, pH7.4

# Chapter 3

## Results



### 3.1 Impaired NKT cell development in $CD1d^{-/-}$ mice

We compared the NKT cells from the spleen and liver of  $CD1d^{-/-}$  mice with those from the C57BL/6 mice. The number and percentage of NKT cells were significantly decreased in both spleen and liver in  $CD1d^{-/-}$  mice compared with the control mice.

The percentage of NKT cells in spleen were reduced by nearly 50%. In the liver, the percentage of NKT cells were reduced by nearly 70~80% (Figure 1A). And in particular, the majority of the decreased NKT cells in the liver are  $CD4^{+}NK1.1^{+}$  NKT cells (Figure 1B). The results are same in the published paper<sup>46</sup>.

Also, we analyzed the percentage of liver intrahepatic leukocyte subpopulations in  $CD1d^{-/-}$  mice and C57BL/6 mice and found that despite the decreased number of NKT cells in the  $CD1d^{-/-}$  mice, the CD4 T cells were also decreased (Figure 2A). And figure 2B are the values represent  $\text{mean} \pm \text{SD}$  of figure 2A.



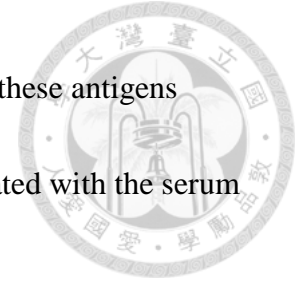
### **3.2 NKT cells are essential for the clearance of HBV and the ability to produce protective antibody anti-HBs**

After injection of HBV plasmid, the mice C57BL/6 and CD1d<sup>-/-</sup> were regularly bled to monitor the serum levels of HBsAg, anti-HBs and anti-HBc. In CD1d<sup>-/-</sup> mice, there was a higher HBsAg level, HBV-positive rate and prolonged HBV persistence while C57BL/6 was able to clear the HBV and can't detect any HBsAg in serum from week 6 (Figure 3A and 3B). In summary, the serum HBsAg levels and HBV persistence rate were enhanced in CD1d<sup>-/-</sup> mice compared to wild-type C57BL/6 mice. The levels of anti-HBs in serum were also analyzed. CD1d<sup>-/-</sup> mice were difficult to produce protective anti-HBs compared to wild-type C57BL/6 mice (Figure 4A). These results tell us that NKT cells have roles for the HBV clearance and are essential for the ability to produce protective antibody anti-HBs.

### **3.3 Immunohistochemistry staining of HBsAg and HBcAg were higher in the livers of CD1d<sup>-/-</sup> mice**

CD1d<sup>-/-</sup> mice showed elevated viral antigens in the serum compared with the wild type C57BL/6 mice. We then further confirmed whether the viral antigens in the liver have the similar results. As expected, immunohistochemistry analysis also revealed that the staining of HBsAg and HBcAg in the livers of CD1d<sup>-/-</sup> mice were still detectable on

day 35 post HBV transfection while in the wild type C57BL/6 mice these antigens were much lower (Figure 5 and Figure 6). These results were correlated with the serum viral loads' data.



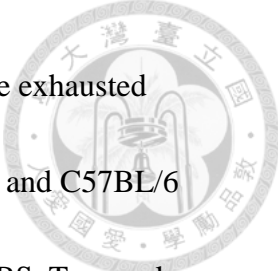
### **3.4 PD-1 (programmed death-1) is upregulated in liver-infiltrating CD8<sup>+</sup> T cells from CD1d<sup>-/-</sup> mice with hepatitis B virus transfection**

Wild type C57BL/6 and CD1d knockout mice were injected hydrodynamically with HBV plasmid or PBS. Ten weeks post-injection, intrahepatic leukocytes were isolated and PD-1 expression by CD8<sup>+</sup> T cells were analyzed by flow cytometry.

PD-1, which has been reported in many papers that results in functional defects of T cells in chronic virus infection<sup>26, 27, 28</sup>. The results (Figure 7) show that PD-1 is highly upregulated in CD8<sup>+</sup> T cells in CD1d<sup>-/-</sup> mice compared with the C57BL/6 mice after HBV transfection and is consistent with the previous data that CD1d<sup>-/-</sup> mice have a higher HBsAg level which means it's not able to clear the virus.

### **3.5 Liver-infiltrating CD8<sup>+</sup> lymphocytes in CD1d<sup>-/-</sup> mice displayed the PD-1<sup>hi</sup>CD127<sup>low</sup>-exhausted phenotype**

Patients with chronic HBV infection express PD-1 positive CD8 T cells also displayed lower levels of the interleukin-7 receptor, CD127, which defined as the exhausted



phenotype<sup>47,48</sup>. To find whether CD1d<sup>-/-</sup> mice were also expressing the exhausted phenotype of CD8<sup>+</sup> T cells after HBV transfection, both CD1d<sup>-/-</sup> mice and C57BL/6 mice were hydrodynamically injected with the HBV plasmid or the PBS. Ten weeks post-injection, intrahepatic leukocytes were isolated and both PD-1 and CD127 expressions by CD8<sup>+</sup> T cells were analyzed by flow cytometry. The results show that CD8<sup>+</sup> lymphocytes in CD1d<sup>-/-</sup> mice displayed much lower CD127 phenotype compared with the C57BL/6 mice after HBV transfection (Figure 8).

# Chapter 4

## Discussion



### 4.1 Defects of adenoviral delivery of the HBV genome

Recently, animal and human studies shed light on the early responses of natural killer T cells toward HBV infection. Though one recent report also investigates the role of NKT cells toward HBV infection<sup>8</sup>, the system they used is adenoviral delivery of a replication-competent HBV genome, which is quite different from us. Although adenoviral vectors are efficient vehicles to transfer target genes into the hepatocytes<sup>49</sup>,<sup>50</sup>, both the adenovirus and the target products can induce the immune responses. Adenovirus infection not only induces proinflammatory cytokines and chemokines<sup>51, 52</sup>,<sup>53</sup>, but also induces type I IFN *in vivo*<sup>54</sup>. The adenovirus-induced type I IFN is critical in both innate and adaptive immune responses against adenoviral infection and that blockade of type I IFN diminishes innate and adaptive immune responses to adenovirus, leading to the reduction of inflammation and the more stable transgene expression *in vivo*. So, since the use of empty adenoviral vectors can cause both the innate and adaptive immune responses in the liver, it's uncertain that the immune responses seen in this system is caused by the HBV or the adenovirus.





## **4.2 NKT cells are involved in the development of sufficient adaptive immune responses to HBV infection**

In our system, after hydrodynamically injection of HBV plasmid into C57BL/6 and CD1d<sup>-/-</sup> mice, we found that the clearance rate of HBV antigen were significantly slower in the CD1d<sup>-/-</sup> mice, though after four months their serum HBsAg were all undetectable. The levels of anti-HBs in serum were also analyzed; we found that CD1d<sup>-/-</sup> mice were difficult to produce protective anti-HBs compared to wild-type C57BL/6 mice. And there were increased exhausted phenotype of CD8<sup>+</sup> T cells in liver infiltrating lymphocytes at late phase of HBV infection in CD1d<sup>-/-</sup> mice, which demonstrated the immune dysfunction of T cells. It raises an important question here that one paper points out that following the resolution of acute infection from HBV, the HBV is controlled but not completely eliminated, and may reactivate under immunosuppression. And strong CTL response will help keep the virus under control<sup>55</sup>.

In our studies, although we couldn't detect HBV antigen in serum four months after transfection of HBV to CD1d<sup>-/-</sup> mice, the failure of developing sufficient adaptive immune responses include anti-HBs antibody and CTL responses should be more concerned.



### 4.3 The correlation between NK and NKT cells

Upon activation, NKT cells can produce a large number of cytokines, such as IFN- $\gamma$ , IL-4 and TNF- $\alpha$  and have the ability to activate NK cells, T cells, B cells and dendritic cells<sup>56, 57, 58</sup>. NKT cells are therefore thought to be important for activation of innate immunity and induction of adaptive immunity. In influenza virus infection model, the survival rate of CD1d<sup>-/-</sup> mice was significantly lower than the wild type mice and with delayed virus clearance in the lungs. Moreover, the cytotoxicity of NK cells and antigen-specific CD8 T cells were impaired in CD1d<sup>-/-</sup> mice<sup>59</sup>. In another study using murine cytomegalovirus (MCMV) infection model, NK cells were shown to be the major effectors in improved MCMV clearance mediated by  $\alpha$ -GalCer therapy<sup>60</sup>. These studies suggest that there is a correlation between NK and NKT cells in some virus infection. In our study, we have found that after NK cell-depleting anti-asialo GM1 antibody treatment, most of the NK cells were depleted but also the percentage of NKT cells in the liver were significantly lower than WT mice. And in anti-asialo GM1 treated mice, there was a higher HBV-positive rate with prolonged HBV persistence after HBV transfection (data not shown). In NFIL3<sup>-/-</sup> mice, which lack NK cells, whereas the percentage of NKT cells in the liver was significantly higher than WT mice. And after HBV transfection, NFIL3<sup>-/-</sup> mice clear the HBV more quickly than the WT mice (data not shown). It is of interest to know whether there is a correlation

between NK and NKT cells in HBV infection and further experiments are needed to confirm this question.

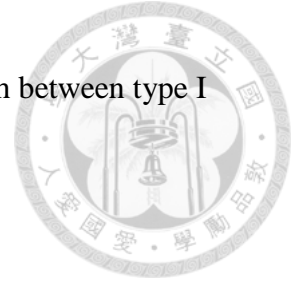


#### **4.4 The limitations of using CD1d<sup>-/-</sup> mice**

The roles of NKT cells in antiviral immune responses have been discussed in several virus infection models using CD1d<sup>-/-</sup> mice<sup>61</sup>. However, CD1d<sup>-/-</sup> mice lack both type I and type II NKT cells. Hence, it is unable to distinguish whether the phenotype we seen in CD1d<sup>-/-</sup> mice is due to type I or type II NKT cells or both. Another mouse strain that is also commonly used to investigate the role of NKT cells, J $\alpha$ 18<sup>-/-</sup> mice, which is specifically deficient in type I NKT cells, can be combined with CD1d<sup>-/-</sup> mice to distinguish the role between type I and type II NKT cells<sup>62</sup>. However, a published study found that the rearrangements of all the J $\alpha$  regions upstream of *Traj18* were suppressed and thereby caused substantial distortion of the TCR $\alpha$  repertoire<sup>63</sup>.

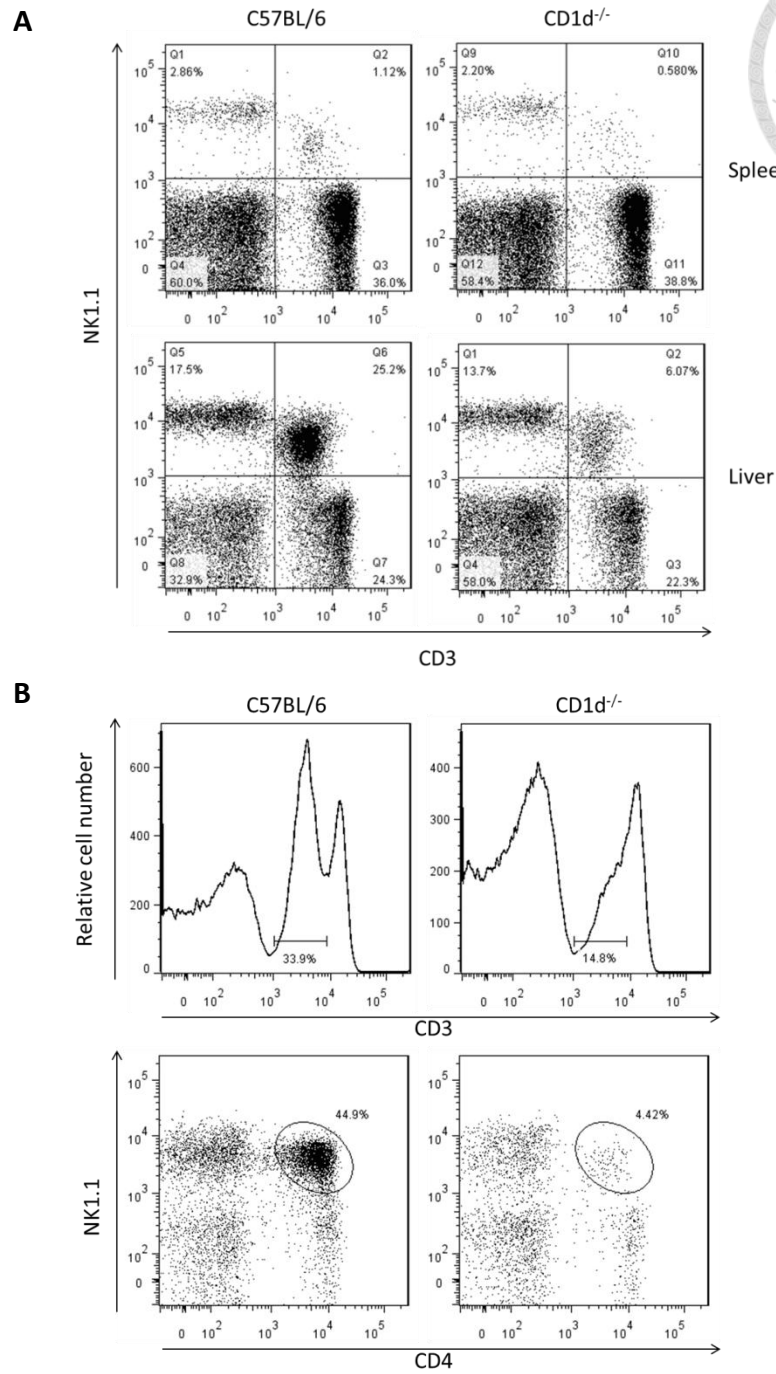
Fortunately, a recent study has generated a new strain of J $\alpha$ 18<sup>-/-</sup> mice that lack type I NKT cells while maintaining a complete TCR repertoire<sup>64</sup>. And in our preliminary study using  $\alpha$ -GalCer to activate type I NKT (iNKT) cells have found that at day 3 after HBV transfection, the serum HBsAg was significantly lower than untreated mice, showing the possibility that type I NKT cells were able to clear most of the HBV (data not shown). However, to clearly clarify the roles of type I and type II NKT cells,

combined use of  $J\alpha 18^{-/-}$  mice and  $CD1d^{-/-}$  mice is useful to distinguish between type I and type II NKT cells at the functional level *in vivo*.



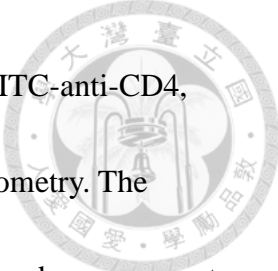


# Figures



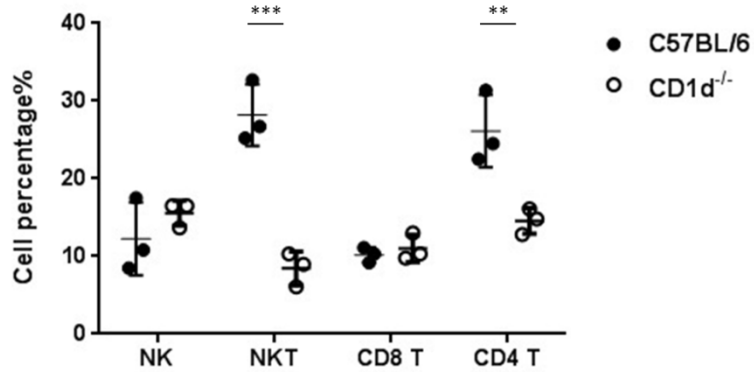
**Figure 1 Impaired NKT cell development in CD1d<sup>-/-</sup> mice**

(A) Spleen and liver intrahepatic leukocytes were stained with APC-anti-CD3 and PE-anti-NK1.1 and were analyzed by flow cytometry. The results are representative of 3 C57BL/6 mice and 3 CD1d<sup>-/-</sup> mice 6-8 weeks old.



(B) Liver intrahepatic leukocytes were stained with APC-anti-CD3, FITC-anti-CD4, PE-Cy7-anti-CD8 and PE-anti-NK1.1 and were analyzed by flow cytometry. The surface expression of CD3 was displayed as a histogram (Top). The numbers represent the percentage of CD3<sup>int</sup> cells relative to the total population of gated intrahepatic leukocytes. The bottom row of CD4 and NK1.1 staining was gated on CD3<sup>int</sup> population and the CD4<sup>+</sup> NK1.1<sup>+</sup> cells are circled. The numbers represent the percentage relative to CD3<sup>int</sup> cells. The results are representative of 3 C57BL/6 mice and 3 CD1d<sup>-/-</sup> mice 6-8 weeks old.

A



B

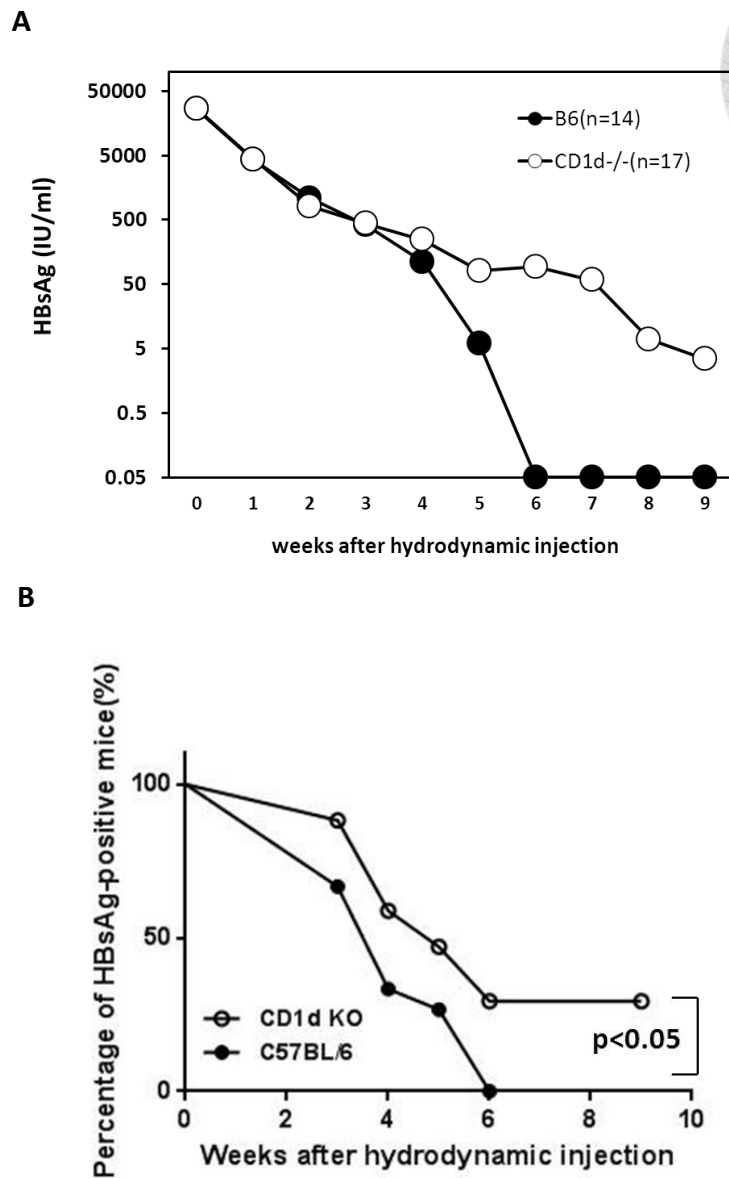
Tissue	Percentage of lymphocytes		
	Phenotype	C57BL/6	CD1d <sup>-/-</sup>
Liver	NK	12.3±4.7	15.6±1.6
	NKT	28.2±4	8.5±2.2
	CD4	26.1±4.7	14.6±1.7
	CD8	10.2±1	11±1.7

**Figure 2 Percentage of intrahepatic leukocyte subpopulations in CD1d<sup>-/-</sup> mice and C57BL/6 mice**

(A) The percentage of liver intrahepatic leucocytes include NK, NKT, CD4 T cells and CD8 T cells were analyzed by flow cytometry. The results are representative of 3 C57BL/6 mice and 3 CD1d<sup>-/-</sup> mice 6-8 weeks old.

(B) Values represent mean±SD of figure (A).





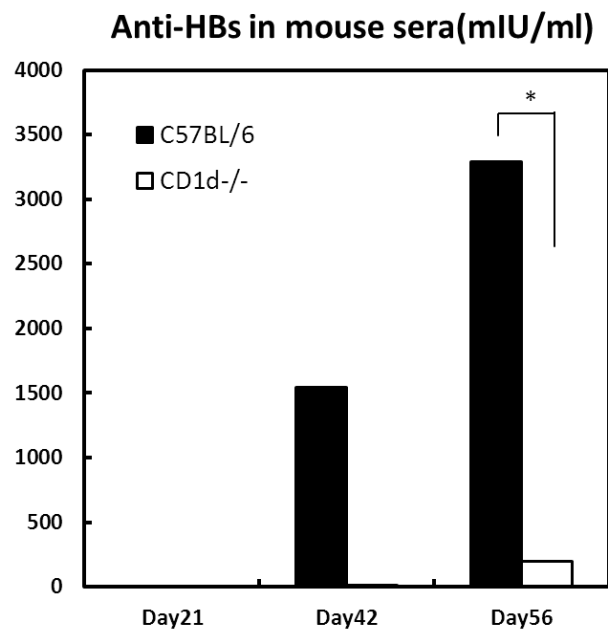
**Figure 3 Delayed HBsAg clearance in CD1d<sup>-/-</sup> mice**

Wild type C57BL/6 and CD1d knockout mice were injected hydrodynamically with HBV plasmid. The serum HBsAg levels (A) and percentage of HBsAg-positivity (B) were measured every week. HBsAg-positivity defined as levels greater than 0.05 IU/ml.

\*P<0.05, \*\*P<0.01



A

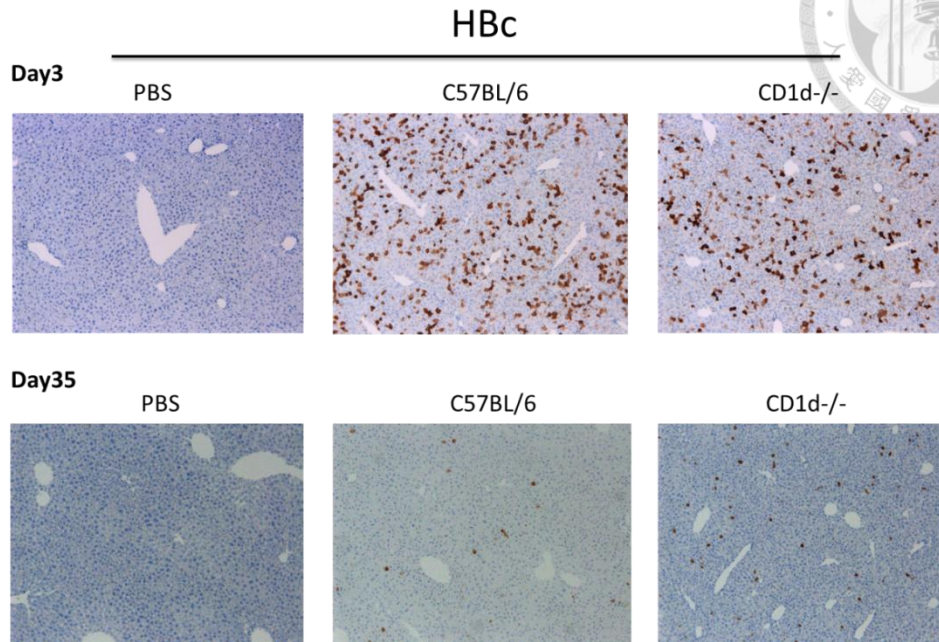


**Figure 4 CD1d<sup>-/-</sup> mice failed to induce production of neutralizing anti-HBs**

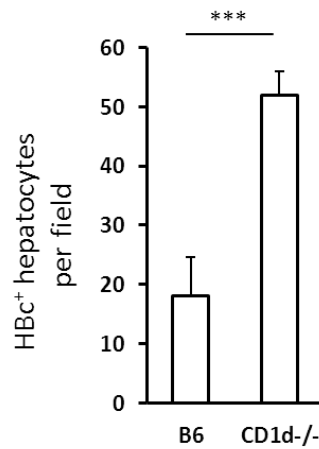
**antibodies**

In both C57BL/6 and CD1d knockout mice, titers of anti-HBs in their serum were measured every week after hydrodynamically injection of HBV plasmid. The success of producing anti-HBs defined as levels greater than 10 mIU/ml.

A



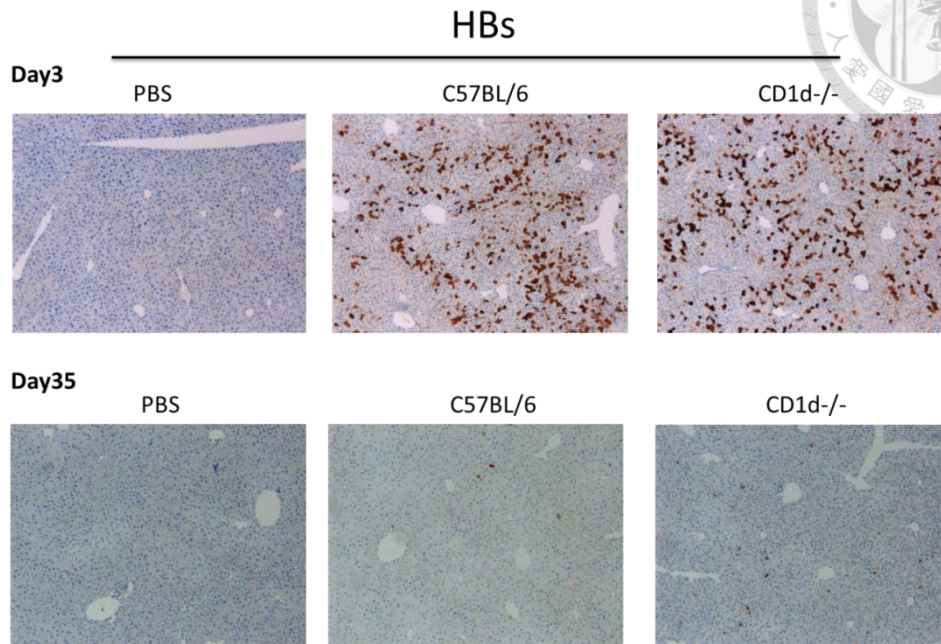
B



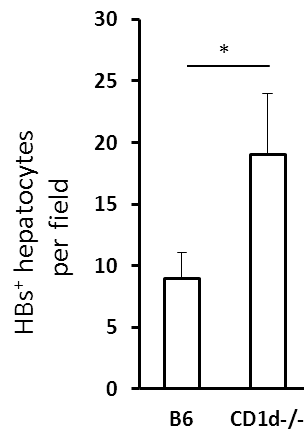
**Figure 5 Immunohistochemical staining of HBcAg in the liver of C57BL/6 and CD1d<sup>-/-</sup> mice after hydrodynamic injection of HBV plasmid**

(A) Immunohistochemical staining of HBcAg in the liver of CD1d knockout mice compared to C57BL/6 mice at day3 and day35 after hydrodynamically injection of HBV plasmid. (B) Quantification of HBc<sup>+</sup> hepatocytes in figure (A) at day35.

A

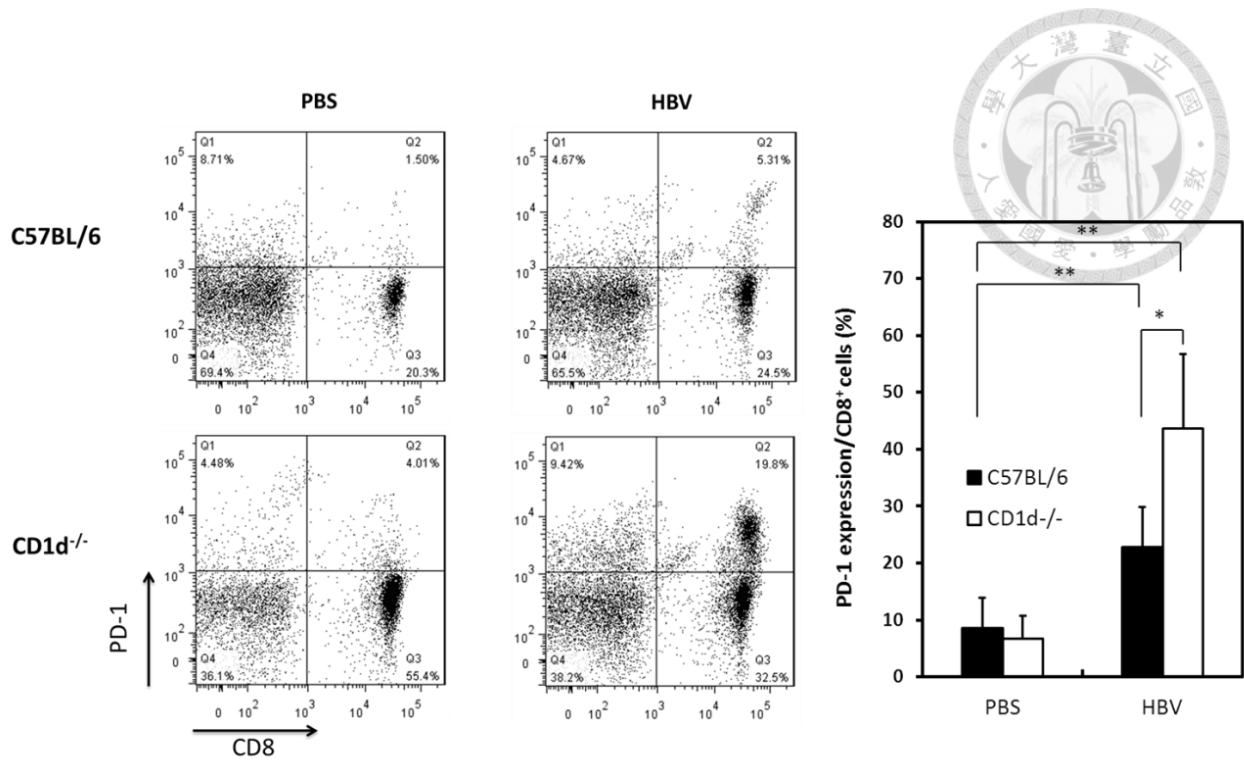


B



**Figure 6 Immunohistochemical staining of HBsAg in the liver of C57BL/6 and CD1d<sup>-/-</sup> mice after hydrodynamic injection of HBV plasmid**

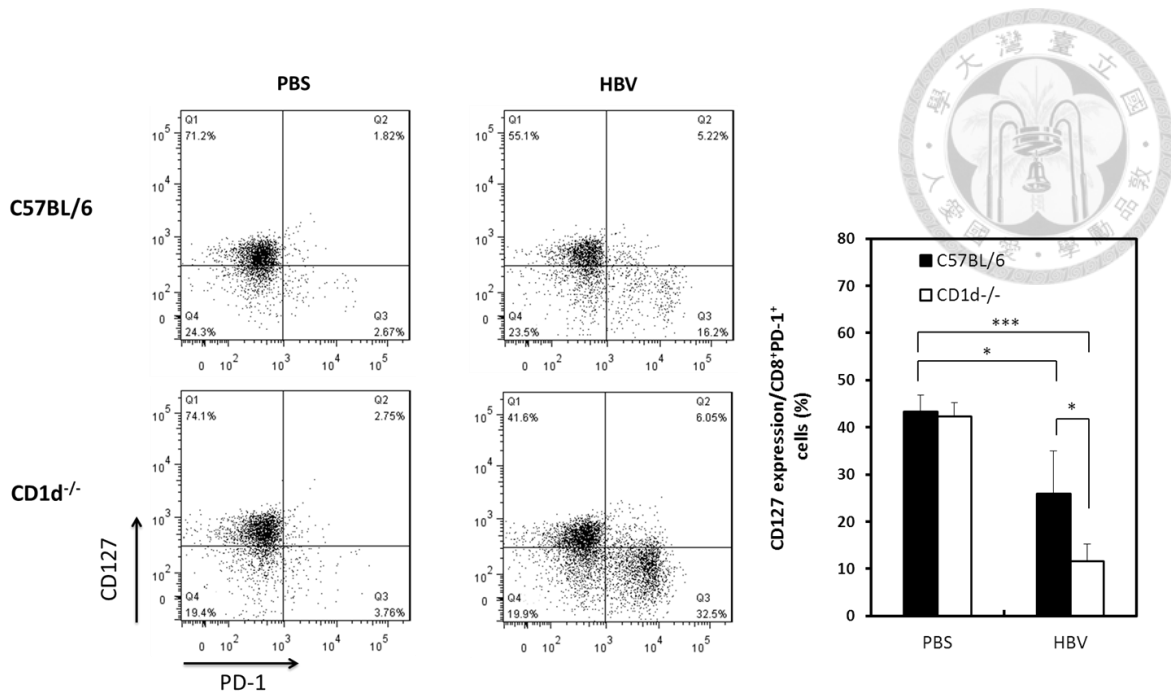
(A) Immunohistochemical staining of HBsAg in the liver of CD1d knockout mice compared to C57BL/6 mice at day3 and day35 after hydrodynamically injection of HBV plasmid. (B) Quantification of HBs<sup>+</sup> hepatocytes in figure (A) at day35.



**Figure 7 Increased programmed death (PD)-1-expressing CD8<sup>+</sup> T cells in liver-infiltrating lymphocytes from CD1d<sup>-/-</sup> mice compared to C57BL/6 mice after hydrodynamic injection of HBV plasmid**

Wild type C57BL/6 and CD1d knockout mice were injected hydrodynamically with HBV plasmid or PBS. Ten weeks post-injection, intrahepatic leukocytes were isolated and PD-1 expression were analyzed by flow cytometry. Error bars represent the SD.

\*P<0.05, \*\*P<0.01 and \*\*\*P<0.001

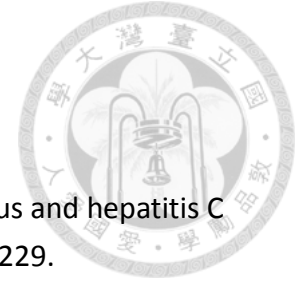


**Figure 8 Liver-infiltrating CD8<sup>+</sup> lymphocytes in CD1d<sup>-/-</sup> mice displayed the PD-1<sup>hi</sup>CD127<sup>low</sup>-exhausted phenotype after hydrodynamic injection of HBV plasmid**

Wild type C57BL/6 and CD1d knockout mice were injected hydrodynamically with HBV plasmid or PBS. Ten weeks post-injection, intrahepatic leukocytes were isolated and PD-1 and CD127 expression by CD8<sup>+</sup> T cells were analyzed by flow cytometry.

Error bars represent the SD. \*P<0.05, \*\*P<0.01 and \*\*\*P<0.001

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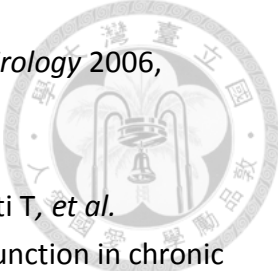
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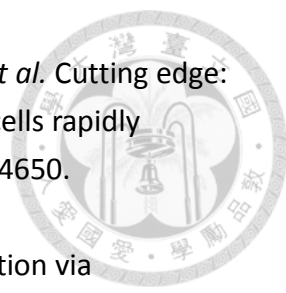
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